

THE OHIO STATE UNIVERSITY
OFFICIAL PROCEEDINGS OF THE
ONE THOUSAND THREE HUNDRED AND EIGHTY-FOURTH MEETING
OF THE BOARD OF TRUSTEES

Columbus, Ohio, July 12, 2002

The Board of Trustees met at its regular monthly meeting on Friday, July 12, 2002, at The Ohio State University Arden Shisler Center for Education and Economic Development, OARDC, Wooster, Ohio, pursuant to adjournment.

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Minutes of the last meeting were approved.

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The Chairman, Mr. Patterson, called the meeting of the Board of Trustees to order on July 12, 2002, at 10:30 a.m. He requested the Secretary to call the roll.

Present: James F. Patterson, Chairman, Zuheir Sofia, Tami Longaberger, Daniel M. Slane, Robert M. Duncan, Karen L. Hendricks, Dimon R. McFerson, Jo Ann Davidson, Douglas G. Borrer, and Joseph A. Shultz.

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CHAIRMAN'S REPORT

Mr. Patterson:

Good morning. I am happy that the Board has the opportunity to be here at OARDC and ATI in Wooster. It was one of the things that I wanted to do during my chairmanship. It has been several years -- Ted Celeste was chairman -- since the Board was here last. This gives the Board an opportunity to see some of the things OARDC and ATI faculty and staff are working on and to meet in this wonderful facility that Ohio State University can take such pride in.

As you might imagine from my background, I have had the opportunity to visit a few of these facilities around the country. I still maintain that this is one of the finest facilities in the whole country. We have some extremely gifted and national professors working at OARDC and ATI, and a few will be at lunch today. Diane Miller is here in the audience this morning. Diane, wave your hand. Diane is a professor in horticulture and is one of the individuals that in my business I would work very closely with and rely heavily upon. There will be a few others at lunch that I'll introduce. Many of these people are nationally recognized as the best in the country, and many of them by virtue of that are the best in the world at what they do. We'll try and recognize them later.

Let me just say a brief word about our search process and the fact that we are in the midst of looking for a new president. As you can imagine, we are in the midst of it and my comments are not going to be terribly enlightening to you, but there are two things that have surfaced during the search that I think are worth noting.

As we begin to "spread the net," I feel very good about the extent of the people who we have begun to look at. I think I feel even better than we did the last time, to give you some comparison. We are looking at a lot of different folks. Not individually, but the groups that we're looking at and the universities that they're coming from are rather extensive.

Also, we're looking at what appears to me to be a very talented group of people. Perhaps as a whole, my judgment is -- because I had the opportunity to serve on the last search committee -- that the level of talent is even greater than during the last search.

There is an emphasis on people with a strong academic background and -- recognizing those things that came up as we developed our Presidential Profile -- people who have expertise in working with donors, legislators, alumni, and external constituencies. It's quite obvious that this facet of being a president is taking up more and more of any president's time.

I think we're on schedule -- whatever that is. We have maintained all along that we would like to have someone in place by the first of the calendar year. I have no reason to believe that we're either ahead or behind on that at this point in time. I think that's all, but I did want to mention we are looking at some good people.

CHAIRMAN'S REPORT (contd)

Mr. Patterson: (contd)

I'll conclude with that. I want to make some more remarks at lunch regarding OARDC and we will have a chance to hear a presentation on that this morning.

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Mr. Patterson:

I want to introduce two new people who will be attending our Board meetings. One is Mr. Robert Meier. Robert is the new chairman of the Staff Advisory Committee, taking Willa Young's place. Robert, will you please tell us what area you are from?

Mr. Robert F. Meier:

I work with the Managed Health Care Systems at the University and also the Faculty and Staff Assistance Program.

Mr. Patterson:

Thank you very much. The new chair of the Senate Steering Committee is Professor Stanley Ahalt. Stanley, would you want to say a few remarks about the area that you're from?

Professor Stanley C. Ahalt:

I'm a computer and electrical engineer and I'm glad to be here. I'd be interested in speaking to any of your sons or daughters who are interested in coming to our department.

Mr. Patterson:

Karen Hendricks' son is really going to be under the gun. He now has you, along with his mother, twisting his arm to be an engineer.

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PRESIDENT'S REPORT

President Edward H. Jennings:

Thank you, Mr. Chairman – members of the Board. You mention the meeting being here at OARDC. It was either my first or second meeting as president -- I'm not sure which one, that's 20 years ago -- but we had it here at OARDC, so it's fitting that my first meeting back is at OARDC.

I'd like to start by thanking, first of all, Steve Slack, Chris Igodan, and the OARDC and ATI faculty and staff for hosting our meeting today. It's a delight to be back in this community and to note especially some of the great things that are happening on this campus.

As all of you know, last month Professor Linda Saif of the Food Animal Research Program at the Ohio Agricultural Research and Development Center here and the Department of Veterinary Preventive Medicine was named one of only two Distinguished University Professors for this year. This is truly the highest honor that we can confer upon our faculty, and I think it speaks volumes for the quality of teaching and research going on here in Wooster. The faculty, staff, and

PRESIDENT'S REPORT (contd)

President Jennings: (contd)

students at OARDC and ATI truly are doing some terrific work, and you'll hear more about some of that work in the next presentation on our agenda.

I also want to add that this new Shisler Conference Center is already proving to be a great resource to our students, to our faculty and staff, and to the community, as well. It is certainly, again, a telling example of the good things that happen from partnerships between Ohio State and the business community like the support from Nationwide Insurance that made this center possible. Dimon, I think Chairman Shisler will be joining us for lunch later today.

In my first report to the Board of Trustees as interim president of the University, my principal message is plain and simple: While the search for a new University president progresses, it's absolutely imperative that we also continue to make progress with our Academic Plan and our drive to advance our great University.

As Brit Kirwan said in his final report to this Board, "The debate is over." This is a University that is now on a trajectory to realize the aspirations of the Academic Plan and expand our reputation and scope as one of our nation's very best teaching, research, and service universities. And this is an enormously significant statement about The Ohio State University in 2002.

A great many people across this University have invested their time, their intellect, their creativity, and their passion for Ohio State into creating and implementing our ambitious plan – and we owe it to them, to our students, and to the people of Ohio to carry that plan forward.

The progress we have made shows that Ohio State is on the right track. But our drive to improve quality will never end. We can always get better. Excellence is truly a journey, not a destination.

The most effective way for us to keep moving on this track is to continue hiring and retaining outstanding faculty and staff. The University is no better or no worse than the quality of its faculty and staff. We, in recent years, have added a number of noteworthy faculty members, and I'd like to mention – with your indulgence – a few of them.

Winston Ho will be joining our Department of Chemical Engineering this fall and will be that department's second member of the National Academy of Engineering. The other is Department Chair L.S. Fan.

Also this fall, Roger Ratcliff will be joining the Department of Psychology from Northwestern University as a distinguished professor of Social and Behavioral Sciences. Professor Ratcliff is an internationally renowned expert on the mathematical modeling of human cognitive processes. And he is the most recent recipient of the Warren Medal from the Society of Experimental Psychology – the top research prize from the most prestigious group of experimental psychologists in the nation.

We will also be soon welcoming a new eminent scholar to the Department of Physics. Professor Chris Hammel is coming to Ohio State from Los Alamos National Laboratory. He is world-renowned for his work on high-temperature superconductivity and the development of the magnetic-resonance-force microscope. He will truly be a great addition to the Ohio State family.

Another new hire is Benjamin David, who is joining the History of Art Department. Professor David is one of the rising young scholars in the field of Italian

PRESIDENT'S REPORT (contd)

President Jennings: (contd)

Renaissance Art, and he has had several publications in prestigious collections and periodicals.

I am very pleased to welcome these individuals to a team of faculty and staff that is already truly outstanding. Many of our current faculty members are active doing truly outstanding work and receiving national and international recognition. And we must always keep in mind that it is our current faculty and staff doing excellent work that make Ohio State such an attractive University for our new teachers and scholars. Let me cite some examples of our current faculty and staff and their work.

Professor of Mathematics David Terman has led a team of researchers who, by using computer models, may have found the origins of tremors suffered by people with Parkinson's disease. Professor Frank DeLucia and his colleagues have developed an instrument that is allowing them to become the world's first researchers to study a rare, sulfur-containing molecule that was first synthesized by Markus Behnke, who is now, incidentally, a postdoctoral student at Ohio State. Their groundbreaking studies may help us better understand combustion, atmospheric pollution, and interstellar chemistry.

NASA selected Professor of Civil and Environmental Engineering and Geodetic Science Ron Li as a scientist for the Mars Exploration Rover 2003 mission. He is helping to design the mapping system that will help the new rover explore the surface of Mars in 2004. *Technology Review* magazine named Assistant Professor of Materials Science and Engineering and Biomedical Engineering Derek Hansford as one of the world's "100 Top Young Innovators." The magazine recognized Professor Hansford for his work fabricating very tiny medical devices out of plastic.

Parwinder Grewal, assistant professor of Entomology with OARDC here in Wooster, traveled to Spain last month to receive the Society of Nematologists' Syngenta Recognition Award for Excellence for his significant contributions to advancements in agriculture. And Professor of Art Ann Hamilton is the subject of a newly published book that analyzes two decades of her work.

I also might add that three of our coaches were named Big Ten Coach of the Year in their respective sports: Linda Kalafatis in softball; Therese Hession in women's golf; and Andy Teitelbaum in women's rowing.

I'm also very pleased to report that Judy Fountain – who is in the audience today -- the current director of The Women's Place at Ohio State, will be named today as the assistant vice provost for Women's Policy Initiatives. Judy has made The Women's Place a model program for other universities, and she has been an instrumental leader in the first year of activity of the President's Council on Women's Issues. She is a tremendous asset to the University and to the growth of opportunities for women at Ohio State. I can say that because I've had a long association with Judy, as she has been around here probably longer than I have, haven't you Judy? She is a tremendous addition to the Provost's staff.

I can talk for a long time about the importance of recruiting and retaining outstanding faculty and staff. But one new and exciting development at the University tells the story much better than I can. The Selective Investment Award that the Department of Mathematics received in 2000 enabled the department to hire National Academy of Sciences member Avner Friedman, an international expert in the combination of mathematics and the life sciences. Now, Professor Friedman is one of the leading figures responsible for the National Science

PRESIDENT'S REPORT (contd)

President Jennings: (contd)

Foundation awarding Ohio State the nation's first-ever Mathematical Biosciences Institute. Professor Friedman will serve as director of that institute.

This \$10 million institute is unique in the world, and it represents a tremendous opportunity for Ohio State. It combines our expertise in mathematics and statistics with our biomedical research initiative, and the collaborative research that takes place at the institute will put Ohio State -- and Ohio -- at the forefront of some of the most exciting and the most significant research going on in the early 21st century.

Another major new research award to our current faculty has come from NASA. A team of scientists from Ohio State, Georgia Tech, Florida A&M, and NASA Glenn Research Center in Cleveland was selected to establish a new NASA Center in Aero Propulsion and Power. The total award is for \$15 million over five years, and Ohio State will receive approximately half of that money to conduct research in turbo machinery and materials for turbine engines. Basically, our researchers will be trying to make airplanes lighter, tougher, cleaner, and faster. I might add that we won the award over competition from MIT, Penn State, Stanford, and the University of Cincinnati. Ohio State's principals in this effort are Professors Mike Dunn and Dean Jim Williams.

I also want to note that Professor of Chemistry Sheldon Shore recently received a \$500,000 grant from the National Science Foundation. With that award, Professor Shore has now received NSF funding for 44 consecutive years. He has truly had an incredibly productive career, and it's not over yet.

These awards represent the culmination of another exceptional year for research at Ohio State. In fact, preliminary figures indicate that research awards at the University increased more than 18 percent in Fiscal Year 2002. For the first time ever, Ohio State's research awards totaled almost \$400 million. Moreover, over the past three years, our research awards have increased by a total of about 58 percent. Clearly, these awards reflect directly on the tremendous quality of our faculty. And they represent an important part of our efforts to increase funding at the University beyond our state subsidy.

We all know these are difficult times for state agencies and state institutions. And we are very grateful to the governor for protecting higher education from the latest round of state budget cuts -- other than the six percent announced last October. We greatly appreciate that the governor and the General Assembly are aware of the higher education challenges facing Ohioans.

During these times, it is vital that we at the University on our own expand our funding sources. Obviously, our faculty are doing just that, through their hard work in attracting research awards from government, industry, and private foundations.

I am pleased to point out that the efforts of our hard-working development staff, led by Jerry May, have paid off with another very good year. We do not have final numbers yet, but based on early information, we estimate that the total private support for Ohio State will be around \$175 to \$180 million -- which is about what the total would have been for Fiscal Year 2001 without the very generous Moritz gift to the law college.

Under the difficult economic times of the past years, these are extraordinary numbers. Our development team and our very loyal alumni continue to generate funds that help us on the margin of excellence, hiring exceptional faculty, building

PRESIDENT'S REPORT (contd)

President Jennings: (contd)

state-of-the-art facilities, and promoting academic excellence across the entire University.

I'd also like to note, Mr. Chairman, one item from Athletics. Not only do we expect to have very strong teams on the playing fields this year in many sports, but we also lead the entire Big Ten in the number of student-athletes named to all-conference academic teams this past year. One hundred twenty Buckeye student-athletes received this honor. I'm enormously proud of the commitment to academics that has been made by Andy Geiger and Coach Tressel, Coach O'Brien, and all of our coaches.

The pursuit of academic excellence as well as athletic excellence is a hallmark of Ohio State. If I can just take a minute – it reminds me of a story about Woody Hayes.

Several years ago, I was at a reception for a past championship football team and the players then were in their late 30s and early 40s. It was a very happy reunion, but I noticed that about five or six of the guys wouldn't go near Woody. They would avoid Woody whenever possible. Woody would come up to them, and they'd run away.

Greg Lashutka was there, the former mayor of Columbus, the former tight end on the Ohio State football team, and a graduate of our law school. I asked Greg, "How come those guys are staying away from Woody?" And he said, "That's simple. Woody would have grabbed them by the lapels and said, 'Why haven't you finished your degree?'"

You can say what you want about Woody, but Woody was interested in academics, and so is Ohio State, and so are our current coaches.

Finally I'd like to conclude with a "thank you" to the vice presidents and deans, as well as the members of the Board of Trustees, who have already helped me tremendously in this transition. I have inherited, Mr. Chairman, a very high caliber of leadership across this University, and my job has been remarkably easy because of their insight, their expertise, and their support.

Mr. Chairman and members of the Board, that completes my report.

Mr. Patterson:

Thank you, Ed. It bears noting that while our agreement with Ed indicated that he would start July 1, in fact, he literally started immediately after our last meeting. He has been on a whirlwind meeting tour with deans, staff, legislators, and people in the community, trying to get up to speed very quickly. In the opportunities that we have to meet, he's deeply involved in this. Next thing we know, he'll be putting an application in! Barbara will be grabbing him by the neck! But the Trustees are just overwhelmed by the way he has stepped in and taken a hold of the position. For those concerned that we may lose momentum, let me assure you that it is pushing forward.

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OARDC PRESENTATION

Mr. Patterson:

I want to introduce to you the gentleman who has the responsibility for OARDC. The direct responsibility ultimately rests with Bobby Moser, vice president for Agricultural Administration and University Outreach, and executive dean for Food, Agricultural, and Environmental Sciences. But here on the Wooster campus, Steve Slack is responsible for 4,000 plus acres, multiple buildings, and lots of staff. Steve will be telling you about the work that is done here at OARDC.

Dr. Steven A. Slack:

Thank you very much, Mr. Chairman, President Jennings, and the Board of Trustees. We very much appreciate the fact that you've taken time out of your busy schedules to visit with us, to give us an opportunity to share with you some of the activities that we have going on here in Wooster, and to show you some of the programs and facilities of which we are exceedingly proud and pleased.

I think it goes without saying that you're sitting in one of the jewels of the campus and that's also been referred to previously by President Jennings. It really represents a lot of the program effort that goes on through OARDC and ATI. That is that it is a joint partnership -- a public-private partnership. Simply, this facility would not be here without that kind of a relationship.

We hold that kind of relationship broadly with stakeholders across the State of Ohio. Those stakeholders deal with us in terms of support councils, other advisory groups -- both on limited scales and regions -- as well as on a statewide basis. I think that does a lot of things. One, it helps focus our programs and make sure that we're working on the right things, the things that are important to the State of Ohio. But I think the other thing it does is that it really brings home to people on a local basis the presence of The Ohio State University. They recognize that we're dealing with the issues that they're dealing with and they have an opportunity to reach out to us on a very personal level. So, I think that there are a number of things that we would really point to when we start looking at what OARDC actually is.

We think of the Wooster campus, as having a couple of different functions, and I'm speaking for Chris Igodan, director of ATI, as well as myself for OARDC. Yesterday, Chris very clearly pointed out the contributions that are being made in terms of the technical training of people who go back into our rural and agricultural communities. Within three to four months of graduation, there is 99 percent placement of those students. That is an outstanding statement about the regard in which their graduates are held, and it tells you that it really is providing a very critical function.

I think the other thing is that when you look at this location, and you look at OARDC, you're talking about something that is a tremendous extension of the fact that we have a great enterprise in Columbus. It is centrally located in the state and we have 19 outstanding colleges that work together to come to bring the University together. But with this location, you also have the opportunity to have the rural setting with the acreage necessary to do a lot of the kind of work that is necessary for us to address particularly rural problems as well as the rural-urban interface problems. So I think that it gives us a presence in the northeast part of the state, as well as with our branches in the rest of the state.

The other thing I would point out is that rightfully you pointed to my boss, Bobby Moser, but you should recognize that, on this campus, we have faculty that are really tenured in four different colleges out of the total colleges at the University. We have faculty that are not only in Food, Agricultural, and Environmental

OARDC PRESENTATION (contd)

Dr. Slack: (contd)

Sciences, but we have faculty that are in the College of Veterinary Sciences. President Jennings mentioned Linda Saif, an outstanding professor in the Food Animal Health Program, who is actually a member of the College of Veterinary Medicine. We have multiple colleges represented, along with the Colleges of Human Ecology and Biological Sciences.

I think the setting here not only allows us to support those different functions, but it gives us a unique opportunity to bring those faculty together. It allows them to work across interdisciplinary lines in such a way that we can focus on some big problems in a unique manner.

One of the things that we supplied you with earlier was an annual report, and many of the things that I would point out are focused on in that report. This is information that we want you to have and we want other people in the state -- that make decisions about our programs -- to have so they understand where our resources come from, how we spend those resources, and what the impact of those resources are.

As I've indicated, this particular location has a unique aspect about it. We can not only do very basic research like Linda Saif does, which makes use of extraordinary facilities in our Molecular Cellular Imaging Center that has both scanning and transmission electron microscopes. Many of you saw this yesterday. But we also can do the field activities that address growing aspects of the agricultural community.

For example, one of the areas that has been underserved for many years has been the organic farming community. We have dedicated 40 acres of land strictly to trying to develop science-based information that underpins the directions that those programs are going. Much of that information that is relied upon in that particular community is word-of-mouth information -- it's not replicated, trialed information. That is the kind of thing that we're trying to provide, and we try to work across a lot of different groups in order to get that information together.

So we can work all the way from a very applied setting to a very basic setting. In fact, in many cases, on the large issues that we deal with, we can put interdisciplinary teams together so that we can address some of these larger areas.

One of the things that is unique about those -- and it is something that we've tried to stress in our programs in recent years -- is the fact that when we look at a problem it's not simply a production problem. Yes, we have excellence in our scientific faculty in terms of production agriculture, but it doesn't start and end with production agriculture. We have faculty who are outstanding in the environmental sciences. You cannot separate agriculture and the environment -- they go hand-in-hand. Oftentimes we tend to try and put things in boxes, they don't operate very well that way.

Another component that should be very obvious, but sometimes gets left out of the equation, is the social component. Many times we can come up with excellent ideas, programs, and ways to answer problems with very good technical solutions, but in fact if they're not socially acceptable, they don't go very far. We have to have people on our interdisciplinary teams who represent that part of the component.

OARDC PRESENTATION (contd)

Dr. Slack: (contd)

Finally, and certainly not last, they have to make economic sense. Very few things really go very far in this world unless they make economic sense. So we have to take these four areas and mold them together. Again, we have a unique opportunity for doing that.

I'd like to point out that even though we're about 90 miles removed from the Columbus campus, there's a tremendous amount of education that goes on up here. ATI has an enrollment of about 1,000 students. In addition, OARDC has about 80 graduate students pursuing masters and Ph.D. degrees at this location. So, we do a fair amount of graduate training as well.

I would indicate that when you look at the size of the campus, we are talking about 4,000 acres, which is pretty much evenly divided between ATI and OARDC. It sounds like a lot of acreage, but when you start looking at the animal agriculture, both in terms of the training as well as the research programs, that acreage gets utilized in multiple ways. We certainly recognize that we're blessed with the land, but we also feel that we really return to the state multiple times on the use of that land through the various agricultural enterprises that we have.

I might indicate that for OARDC, we have 75 faculty located on a full-time basis on this campus and we have about 450 people total that are employed. ATI has approximately 40 faculty and employees another 150 people. So it is not a small enterprise and you can see that by the infrastructure that is supported up here.

In terms of some programmatic things, I really would like to point out a few items. As I mentioned earlier, stakeholders are a very important part of our enterprise. We have really focused, particularly with the hires that we've made in the last decade, on bringing in people that are entrepreneurial, that can work well with other people, and they're very competitive for extramural grants. In the last five years, we've doubled the extramural granting activity of OARDC. We've become exceedingly competitive, particularly for federal extramural dollars and we see that trajectory continuing to go up.

At the same time, we've tried to set aside dollars for competitive programs that allow us to deal with a lot of the infrastructure in the state – different commodity groups and so forth. We can do matching grant programs that they can put money in. They know we're putting money in, and with these proposals they know what they're investing in. This has increased the amount of investment that we see. It's also increased the reaction and buy-in to what we're doing. It's a good way to do business.

I would indicate also that federally there is a very recent national competitive program that has been instituted called, "Future Agriculture and Food Systems." It has been in place for about two years. There is \$120 million available of competitive funding for that each year. In those two years of funding, we've had 12 projects funded and \$12 million worth of projects funded. So when I say that we do have excellence in our faculty – yes, we do. We have the ability to put interdisciplinary teams together, which is inherent in that program. It's a strength that we have and we can show that on a national level through the kinds of competitions that we're involved in.

I would also point out that over the last four years our faculty have become quite active in terms of licensing of technology. In that time period, 40 percent of the invention disclosures and licenses have actually come through OARDC-supported faculty. We have technologies that are licensed in that period, over 30 companies, and currently there are 25 patents that have been applied for.

OARDC PRESENTATION (contd)

Dr. Slack: (contd)

So we recognize the things that Dr. Jennings was pointing out. It is very critical that when we talk about excellence that we're having an impact beyond our boundaries and there are different measures that we can use. Clearly one of those strong measures is how we're valued by others and the utility of things that we do. I think that is a real hallmark of what we do.

In closing, I would like to point out in the materials that you have you'll notice that there is an insert called, "Seeds." One of the things that we've tried to do to bring these points more closely to home -- for some of those programs that are hallmarks -- is we tried to put together, in very straightforward language, what is being done, where the source of funds are coming from, and what the impact of those funds are.

For those of you last night that had an opportunity to participate in the cookout, if you ate any of the beef it was provided from one of our local businesses and it was certified Angus Beef. The caterer utilized their source of beef for Bueller's, which was the source of the beef. What I would point out is that they have supported some of the activities that you have, the title of it is, "Genetic and Nutritional Factors Affecting Muscle Characteristics in Carcass Value of Beef Cattle." That is a fairly complicated title, but the reality of it is that genetically an animal has an opportunity to have different qualities in its meat characteristics. One of the tricks is defining early on what that genetic potential is so that you can essentially handle that animal for that final use.

In fact what these investigators have done -- Drs. Jackwood and Fluharty, who do represent faculty in two different colleges working together -- is using a molecular marker, they have identified tenderness characteristics in a very young animal. The impact of that is that you can essentially determine what beef will be made into hamburger early on. This makes for a very focused -- in terms of programming and efficiency -- use of economy. This is going to have not only an impact in the State of Ohio, but will have a national impact. Genetic markers are literally worth millions of dollars. We're simply on the front end of that at this point.

Again, I would like to thank all of you for coming and allowing us to share a little bit of time ourselves with you. You're welcome back any time, and it has been our privilege and pleasure. Thank you.

Mr. Patterson:

Thank you, Steve. Are there any questions or comments for Steve? If not, thank you very much for being our host. We look forward to meeting with some of the faculty and community representatives from Wooster, who have been involved with OARDC, at lunch.

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CONSENT AGENDA

President Edward H. Jennings:

We have 17 resolutions on the Consent Agenda today, but I would like to ask that number 17, the FY 2002-03 Current Funds Budget, be considered separately. Unless there are any objections, I would like to recommend the following 16 resolutions to the Board:

REGIONAL CAMPUS BOARD APPOINTMENTS

Resolution No. 2003-1

Synopsis: Approval of appointments to The Ohio State University Regional Campus Boards is proposed.

WHEREAS the Board of Trustees in 1994 approved the establishment of The Ohio State University Regional Campus Boards; and

WHEREAS it has been previously stipulated that "the board shall be composed of eleven members appointed by The Ohio State University Board of Trustees in consultation with the president of the university" (one member of the board shall be a member of the university board of trustees; nine members shall be private citizens; and one member shall be a student); and

WHEREAS the following named persons have been nominated and selected for appointments to the following Regional Campus Boards for the terms as specified:

Lima Board Appointments

Ted Griffith, 3-year term
Nancy J. Knight, 3-year term
James W. Unverferth, 3-year term

Newark Board Appointment

Robert Coffman, 2-year term

NOW THEREFORE

BE IT RESOLVED, That the foregoing nominees be approved as members of The Ohio State University-Lima Board and Newark Board, effective July 1, 2002.

AMENDMENTS TO THE RULES OF THE UNIVERSITY FACULTY

Resolution No. 2003-2

Synopsis: Approval of the following amendments to the *Rules of the University Faculty* are recommended.

WHEREAS the University Senate pursuant to rule 3335-1-09 of the Administrative Code is authorized to recommend through the President to the Board of Trustees the adoption of amendments to the *Rules of the University Faculty* as approved by the University Senate; and

WHEREAS the proposed changes in the *Rules of the University Faculty* were approved by the University Senate on June 5, 2002:

Amended Rules

3335-5-19 Faculty.

As used in these rules the term "faculty" shall include persons appointed by the board of trustees with regular, regular clinical, auxiliary, and emeritus faculty titles on full- or part-time appointments, with or without salary.

AMENDMENTS TO THE RULES OF THE UNIVERSITY FACULTY (contd)

Amended Rules (contd)

(A) Unchanged.

(B) ~~"Regular clinical faculty": persons with the titles of professor of clinical (division, department or college), associate professor of clinical (division, department or college), assistant professor of clinical (division, department or college), and instructor of clinical (division, department or college), who serve on appointments totaling fifty per cent or more service to the university. Regular clinical faculty appointments are made only in the college of dentistry, the college of nursing, the college of optometry, the departments of veterinary clinical sciences and veterinary preventive medicine in the college of veterinary medicine, the divisions of pharmacy practice and pharmaceutical administration in the college of pharmacy, and the following departments in the college of medicine and public health: anesthesiology, emergency medicine, family medicine, internal medicine, neurology, obstetrics and gynecology, ophthalmology, orthopaedics, otolaryngology, pathology, pediatrics, physical medicine and rehabilitation, preventive medicine, psychiatry, radiology, and surgery. Regular clinical faculty serve under fixed term contracts and are not eligible for tenure. Regular clinical faculty appointments are described in Chapter 3335-48 of the Administrative Code.~~

~~The number of persons holding regular clinical faculty titles in each division, department or college authorized to make such appointments cannot be greater than forty per cent of the combined total of regular and regular clinical faculty in that division, department or college. Individuals appointed to the regular clinical faculty are limited to participating in governance at the division, departmental and college levels, but may not participate in promotion and tenure matters of regular faculty.~~

Balance Unchanged.

3335-5-482 The library council on libraries and information technology.

(A) Membership.

The library council on libraries and information technology shall consist of ~~fifteen~~ thirteen members.

(1) ~~Nine~~ Seven regular faculty selected by the faculty council. The term of service is three years.

(2) ~~Four~~ Three students.

(a) and (b) unchanged.

(c) ~~Two~~ One undergraduate ~~students~~ student selected by the undergraduate student government. The term of service is one year.

(3) ~~Two~~ Three administrators (or their designees).

(a) and (b) unchanged.

(c) The chief information officer.

(B) Duties and responsibilities.

(1) ~~Formulate, in cooperation~~ In collaboration with the director of libraries and the chief information officer, the to formulate policies governing the ~~operation of the university~~

AMENDMENTS TO THE RULES OF THE UNIVERSITY FACULTY (contd)

Amended Rules (contd)

educational and research activities and services of libraries as an educational and research facility and information technology.

- (2) Assist the director of libraries and the chief information officer in the interpretation of library and information technology services to the university community.
- (3) Assist in the presentation of major library and information technology needs to the university administration.
- (4) Advise the executive vice president and provost on the appointment of a the director of libraries or the chief information officer.

(C) Organization.

- (1) The chair shall be elected from among the voting members of the ~~library~~ council.
- (2) and (3) unchanged.

3335-5-4811 Fiscal committee.

(A) Membership.

The fiscal committee shall consist of ~~sixteen~~ twenty-one members.

- (1) ~~Eight~~ Nine regular faculty members.
 - (a) ~~Six~~ Eight regular faculty, at least one of whom is a department chair, and at least two of whom are members of the senate, selected by the faculty council. The term of service is three years.
 - (b) ~~Two~~ One regular faculty appointed by the president. The term of service is three years.
- (2) Unchanged.
- (3) ~~Two~~ Three staff members appointed ~~by the president~~ for three-year terms.
 - (a) Two department-, school-, center- or college-level staff members with extensive fiscal and budgetary experience and expertise, one selected by the faculty council in consultation with the university staff advisory committee, and one selected by the executive deans in consultation with the senior fiscal officers.
 - (b) One staff member appointed by the president.
- (4) ~~Two~~ Five administrators.
 - (a) Two central administration officials appointed by the president. These members are non-voting.
 - (b) The three executive deans.

(B) Duties and responsibilities.

The committee shall have full access to all fiscal documentation necessary to perform the following functions:

AMENDMENTS TO THE RULES OF THE UNIVERSITY FACULTY (contd)

Amended Rules (contd)

- (1) Review, on a continuing basis, the fiscal policies and resources of the university;
- (2) and (3) unchanged.
- (4) Advise the president, in the event of an imminent financial crisis, whether a determination of financial exigency is warranted; and
- (5) Report annually to the faculty council and the senate on the budgetary and fiscal condition of the university.

(C) Organization.

- (1) The committee shall annually elect a chair ~~shall be elected from among the voting members of the committee~~ its regular faculty membership.
- (2) Unchanged.

Chapter 3335-47

Additional rules of the university faculty
concerning tenure-track faculty appointments, reappointments, promotion
and tenure

3335-47-02 Criteria for appointment, reappointment, and promotion and tenure of tenure-track faculty.

(A) through (D) unchanged.

- (E) Each tenure initiating unit shall have an appointments, promotion, and tenure document. The document shall describe, in qualitative terms, the unit's criteria for appointments, promotion, and tenure and evidence to be provided to support a case within the context of the unit's mission and the standards set forth in this rule as well as the mission and standards of the college. The document should indicate with some specificity how the quality and effectiveness of teaching, the quality and significance of scholarship, and the quality and effectiveness of service are to be documented and assessed. The document of a tenure initiating unit with regional campus faculty must establish criteria for appointments, promotion, and tenure for these faculty within the context of the regional campuses' mission as well as that of the tenure initiating unit. The document shall also describe the unit's procedures for conducting annual performance reviews of probationary faculty and reviews for promotion and tenure.

The document must be drawn up or amended through broad faculty consultation with all voting members of the tenure initiating unit according to the principles articulated in paragraphs (C)(2) and (D) of rule 3335-3-35 of the Administrative Code and must be approved by the dean of the college and the executive vice president and provost. ~~An academic unit that believes there is a reason for the unit to have policies and procedures differing from those set forth in this chapter may petition the office of academic affairs through the dean of the college and must set forth a rationale for why approval of the request is in the best interests of the unit and of the university. The office of academic affairs will consult with the rules committee or its designee in considering such petitions.~~

Balance unchanged.

3335-47-03 Probationary service, and duration of appointments for ~~regular~~ tenure-track faculty.

Balance unchanged.

AMENDMENTS TO THE RULES OF THE UNIVERSITY FACULTY (contd)

Amended Rules (contd)

3335-47-04 Promotion and tenure review procedures for tenure-track faculty.

Balance unchanged.

3335-47-05 Criteria and procedures for appeals of negative promotion and tenure decisions and appointment nonrenewals and for seventh year reviews for tenure-track faculty.

Balance unchanged.

3335-47-06 Tenure initiating unit.

- (A) A tenure initiating unit is a division, department, school, or college approved by the council on academic affairs, the university senate, and the board of trustees. A tenure initiating unit has the following responsibilities for all faculty members assigned to it: to assist in professional development; to evaluate; to maintain official personnel records; and to initiate promotion, tenure, reappointment, and nonrenewal recommendations. The office of academic affairs shall be responsible for maintaining the official list of tenure initiating units.
- (B) A single division, department, school, or college must serve as the tenure initiating unit for each regular tenure-track faculty member, including individuals with multiple appointments. Multiple appointments to the regular tenure-track faculty totaling fifty per cent or more of service to the university shall be considered to be the same as a single appointment of fifty per cent or more for the purpose of determining eligibility for tenure.

Balance unchanged.

3335-47-09 ~~Regular clinical faculty ranks~~ Exceptions.

~~The titles of regular clinical faculty are professor of clinical (division, department or college), associate professor of clinical (division, department or college), assistant professor of clinical (division, department or college), and instructor of clinical (division, department or college). Individuals in this track may have a full range of responsibilities to appropriate academic units with emphasis on patient care and clinical teaching activities. Clinical activities for faculty members in this category are to be included in approved practice plans. Any unit which believes that there is a reason for it to have policies and procedures differing from those set out in this chapter may request an exception. The request must be approved by the procedures established in the unit's pattern of administration and by the dean of the college, after broad and meaningful consultation with the unit's tenure-track faculty. The request will then be submitted to the executive vice president and provost and, if approved, to the university senate for approval.~~

~~(A) Appointment criteria.~~

~~Initial appointments, or transfer, to the regular clinical faculty will be made for individuals with the following experience or credentials:~~

- ~~(1) They are strict or geographic full-time faculty members in academic units authorized to make regular clinical faculty appointments (see paragraph (B) of rule 3335-5-19 of the Administrative Code).~~
- ~~(2) They engage in activities which consist primarily of patient care and clinical teaching and supervision.~~
- ~~(3) They have the appropriate educational background and credentials to engage in the activities outlined in paragraph (A)(2) of this rule. Formal requisite: D.D.S.,~~

AMENDMENTS TO THE RULES OF THE UNIVERSITY FACULTY (contd)

Amended Rules (contd)

~~D.V.M., M.D., Pharm. D., D.N.S., R.N., or Ph.D., R.N., or Ed.D., R.N., or O.D. degree or equivalent qualification.~~

- ~~(4) They are essential to the continuing mission of the relevant college as determined by the appropriate clinical division or department chair, dean of the college, and executive vice president and provost.~~

(B) Term of appointment.

- ~~(1) The initial appointment of a person to a regular clinical faculty position is probationary in nature. Probationary appointments are for a one-year period, and faculty members on such appointments shall be reviewed annually. The university does not assume any continuing obligation to renew the appointment of a regular clinical faculty member during the probationary period. Nonrenewal may be based upon such factors as an assessment of inadequate performance or insufficient development, or on any one of a number of academic, financial, or policy reasons, but must be the result of formal annual review in accord with review procedures established by the appropriate academic unit of the appointee in accord with paragraph (B)(3) of rule 3335-3-35 of the Administrative Code. The nonrenewal of an appointment in and of itself, however, should not carry with it the implication of either incompetence or misconduct on the part of the faculty member.~~
- ~~(2) During the fourth year of the initial appointment, a formal review will be conducted. The faculty member will be informed if the appointment is to be renewed for an additional term of five years.~~
- ~~(3) If subsequent appointments are made, they will be made for periods of five years. A formal review will be conducted in the fourth year of each five-year term and the faculty member will be informed if the appointment is to be renewed after the fifth year for another five-year term. Termination of employment during a five-year term, other than during the probationary period, shall be for reasons of cause or financial exigency and shall result from procedures established by faculty rules.~~
- ~~(4) All appointments will be based upon criteria established by the academic unit authorized to make regular clinical faculty appointments (see paragraph (B) of rule 3335-5-19 of the Administrative Code), approved by the dean of the college, and the executive vice president and provost.~~
- ~~(5) If the appointment is not renewed, standards of notice must be in accordance with rule 3335-47-08 of the Administrative Code.~~

(C) Transfers.

~~It is generally expected that the needs of the academic unit and the career goals of the prospective faculty member will result in meshing of need, expectation, responsibility, and expertise to allow a long-term appointment to either the regular faculty or regular clinical faculty. Should a transfer be considered appropriate, the following must be accomplished:~~

- ~~(1) The process of transfer from the regular faculty to the regular clinical faculty or from the regular clinical faculty to the regular faculty is initiated only upon the written request of the faculty member. A recommendation for transfer must indicate clear evidence of a change in the individual's career goals and expectations, duties, and activities.~~
- ~~(2) An individual appointed to a tenure-eligible position as an instructor or assistant professor requesting transfer to the regular clinical faculty must do so no later than the beginning of the fourth year of service or after tenure has been granted. Transfer of a~~

AMENDMENTS TO THE RULES OF THE UNIVERSITY FACULTY (contd)

Amended Rules (contd)

~~tenured faculty member to the regular clinical faculty requires resignation of the tenured position.~~

~~An individual from outside the university appointed to a tenure-eligible position as an associate professor requesting transfer to the regular clinical faculty must do so no later than the beginning of the second year of service, or after tenure has been granted.~~

- ~~(3) An individual appointed to the regular clinical faculty at the instructor, assistant professor, or associate professor level, upon transfer to a tenure-eligible position, must meet the criteria for the granting of tenure within the established time limits including that time already served in the regular clinical faculty appointment. Transfer at the professor level can be made only with concurrent granting of tenure.~~
- ~~(4) All transfers must be approved by the department appointments, promotions and tenure committee (or college if no departments exist), the division or department chair, the dean of the college, and the executive vice president and provost.~~
- ~~(5) Only one transfer to or from the regular clinical faculty will be approved during an individual's period of employment at the Ohio state university.~~

~~(D) Promotions:~~

~~Nominations for promotion originate in the department (or college if no departments exist) in accordance with criteria and procedures established by departmental (or college) faculty.~~

- ~~(1) A department or college may recommend the promotion of an instructor of clinical (division, department or college) to the rank of an assistant professor of clinical (division, department or college) or the promotion of an assistant professor of clinical (division, department or college) to the rank of associate professor of clinical (division, department or college) at any time up to the end of the ninth year. An individual who is not promoted by the end of nine years will be reviewed by the chair or dean and the appointments, promotions and tenure committee of the department or college to determine if continued employment is warranted. All recommendations will be reviewed by the appointments, promotions and tenure committee of the department, the college, and the office of academic affairs.~~
- ~~(2) A department or college may recommend the promotion of an associate professor of clinical (division, department or college) to professor of clinical (division, department or college) in recognition of outstanding accomplishments. Promotion may be recommended no earlier than the third year of service as an associate professor of clinical (division, department or college). All recommendations will be reviewed by the appointments, promotions and tenure committee of the department, the college, and the office of academic affairs. (B/T 10/5/84, B/T 5/4/89, B/T 7/6/90, B/T 11/2/90, B/T 3/6/92, B/T 4/8/94, B/T 5/3/96, B/T 4/4/97, B/T 12/4/98, B/T 7/12/2002)~~

New Chapter

Chapter 3335-48

Additional rules of the university faculty concerning regular clinical track faculty appointment, reappointment and nonreappointment, and promotion.

AMENDMENTS TO THE RULES OF THE UNIVERSITY FACULTY (contd)

Amended Rules (contd)

3335-48-01 Definition.

Regular clinical track faculty appointments are fixed term contract appointments that do not entail tenure. Regular clinical track faculty are teacher/practitioners and shall be engaged primarily in teaching activities related to: a) courses or instructional situations involving live patients or live clients, b) courses or instructional situations involving the simulation of live patients or live clients, or c) courses or instructional situations involving professional skills.

3335-48-02 Titles.

The term "regular clinical track faculty" will exist solely for the purpose of recording this type of appointment in the university's employment system data base. Individual colleges, schools, and departments with regular clinical track faculty may provide them with faculty rank titles that are distinct from tenure-track titles and are descriptive of the functions regular clinical track faculty perform in that college. Examples include, but are not limited to, professor of clinical (name of college, school, or department) and associate professor of professional practice.

3335-48-03 Appointment cap.

Unless an exception is approved by the university senate and the board of trustees, regular clinical faculty may comprise no more than forty per cent of the total regular faculty (as defined in rule 3335-5-19 of the Administrative Code) in each of the departments, schools, and colleges of the health sciences and no more than twenty per cent of the tenure-track faculty in other departments, schools, and colleges.

3335-48-04 Proposals and approval process.

(A) Proposals to establish or amend a regular clinical faculty track in a college must be submitted to the provost by the dean of the college. Proposals must include a clear rationale for establishing a regular clinical faculty track and address how the terms and conditions of the appointments will be consistent with the rules set forth in Chapter 3335-48, what titles will be given to regular clinical track faculty, and what department and college governance rights will be extended to regular clinical track faculty. Regular clinical faculty may not participate in the promotion and tenure reviews of tenure-track faculty. In addition, proposals must include the following components:

- (1) A definition of the role in teaching and scope of professional practice duties of clinical faculty, identifying specifically how those differ from duties of tenure-track faculty;
- (2) Identification of the requirements for a clinical faculty appointment, including appropriate terminal degrees and any credential or licensure requirements; and
- (3) A list of courses that could be taught by clinical faculty and the relationship of those courses to the general curriculum. The expectation would be that clinical faculty should teach primarily courses involving professional practice in the clinical setting or courses designed to teach professional skills.

Proposals must comply with any additional guidelines promulgated by the council on academic affairs and will be reviewed according to criteria specified by the council on academic affairs.

(B) College proposals to establish or amend a regular clinical faculty track must be approved by a majority of the tenure-track faculty of the college proposing to have a regular clinical faculty track, by the dean of the college, by the executive vice president and provost, by the university senate, and by the board of trustees. The executive vice president and

AMENDMENTS TO THE RULES OF THE UNIVERSITY FACULTY (contd)

Amended Rules (contd)

provost will transmit all proposals to the council on academic affairs, which will review proposals and make a recommendation to the university senate.

3335-48-05 Criteria for appointment, for reappointment and nonreappointment, and for promotion.

Regular clinical faculty may be appointed by colleges that do not have schools or departments and by schools and departments in colleges that have such subunits. The criteria for appointment, for reappointment and nonreappointment, and for promotion for regular clinical track faculty shall be established by the college, school, or department making such appointments and shall be set forth in that unit's appointments, promotion, and tenure document. The criteria must be consistent with the rationale for having a regular clinical faculty track in the unit in question and must be distinct from the criteria for tenure-track faculty appointments. However, normally regular clinical track faculty will have an earned doctorate or other terminal degree in the relevant field or equivalent experience. The criteria for appointment, for reappointment and nonreappointment, and for promotion should reflect the importance of the responsibilities of regular clinical track faculty.

3335-48-06 Procedures for appointment.

Procedures for appointment of regular clinical track faculty shall be established by the college, school, or department making such appointments and shall be set forth in that unit's appointments, promotion, and tenure document. Appointments at the rank of associate professor or professor require prior approval of the office of academic affairs.

3335-48-07 Term of appointment.

- (A) Contracts must be for a period of at least three years and for no more than five years.
- (B) The initial contract is probationary and a faculty member will be informed by the end of each probationary year as to whether he or she will be reappointed for the following year. By the end of the second to final year of the probationary contract, the faculty member will be informed as to whether a new contract will be extended at the conclusion of the probationary contract period. In the event that a new contract is not extended, the final year of the probationary contract is the terminal year of employment. There is no presumption that a new contract will be extended. In addition, the terms of a contract may be renegotiated at the time of reappointment.
- (C) During and until the end of the second and subsequent contract periods, regular clinical faculty appointments may only be terminated for cause (see rule 3335-5-04 of the Administrative Code) or financial exigency (see faculty rule 3335-5-021 of the Administrative Code) and the termination decision for either of these reasons shall result from procedures established by faculty rules. In addition, a contract may be renegotiated during a contract period only with the voluntary consent of the faculty member. By the end of the second to final year of each contract period, the faculty member will be informed as to whether a new contract will be extended at the conclusion of the current contract period. If a new contract is not extended, the final year of the current contract is a terminal year of employment. There is no presumption that a new contract will be extended. In addition, the terms of a contract may be renegotiated at the time of reappointment.
- (D) The standards of notice set forth in rule 3335-47-08 of the Administrative Code apply to regular clinical faculty track appointments.

AMENDMENTS TO THE RULES OF THE UNIVERSITY FACULTY (contd)

Amended Rules (contd)

3335-48-08 Annual review, reappointment/nonreappointment, and promotion review procedures.

The procedures for reviewing regular clinical track faculty annually and for reappointment/nonreappointment and promotion shall be set forth in the relevant college, school or department appointments, promotion, and tenure document and shall be consistent with review procedures established for tenure-track faculty, including those set forth in rules 3335-47-03 and 3335-47-04 of the Administrative Code with the following exceptions:

(A) The college dean's decision shall be final with respect to reappointment and nonreappointment and with respect to denial of promotion; and

(B) External evaluations are optional.

3335-48-09 Transfers from the tenure-track to the regular clinical track.

A college, school, or department may provide for the possibility of transfers from the tenure faculty track to the regular clinical faculty track if appropriate to its circumstances. A unit that permits transfers must abide by the following:

(A) The request for transfer must be initiated by the faculty member in writing and must state clearly how the individual's career goals and activities have changed;

(B) When a tenured faculty member transfers to the regular clinical faculty track, tenure is lost; and

(C) All transfers must be approved by the school director or department chair, the college dean, and the executive vice president and provost.

3335-48-10 Transfers from the regular clinical faculty track to the tenure-track.

Transfers from the regular clinical faculty track to the tenure-track are not permitted. Regular clinical faculty may apply for tenure track positions and compete in regular national searches for such positions.

NOW THEREFORE

BE IT RESOLVED, That the foregoing amendments to the *Rules of the University Faculty* be adopted as recommended by the University Senate.

DEGREES AND CERTIFICATES – SUMMER QUARTER COMMENCEMENT

Resolution No. 2003-3

Synopsis: Approval of Degrees and Certificates for Summer Quarter is proposed.

WHEREAS pursuant to paragraph (E) of rule 3335-1-06 of the Administrative Code, the Board has authority for the issuance of degrees and certificates; and

WHEREAS the faculties of the colleges and schools shall transmit, in accordance with rule 3335-9-29 of the Administrative Code, for approval by the Board of Trustees the names of persons who have completed degree and certificate requirements:

DEGREES AND CERTIFICATES – SUMMER QUARTER COMMENCEMENT (contd)

NOW THEREFORE

BE IT RESOLVED, That the degrees and certificates be conferred on August 30, 2002, to those persons who have completed the requirements for their respective degrees and certificates and are recommended by the colleges and schools, and that the names of those persons awarded degrees and certificates be included in the minutes of this meeting.

PERSONNEL ACTIONS

Resolution No. 2003-4

BE IT RESOLVED, That the personnel actions as recorded in the Personnel Budget Records of the University since the June 7, 2002 meeting of the Board, including the following Appointment/Reappointments, Promotions, Appointments/Reappointments of Chairpersons/Director, Leaves of Absence Without Salary, Professional Improvement Leaves, Promotions/Tenure/Reappointments, Emeritus Titles, and salary rolls with promotion, as detailed in the University Budget be approved.

Appointment

Name: RONALD L. WHISLER
Title: Professor (The Martha Morehouse Chair in Arthritis and Immunology Research)
College: Medicine and Public Health
Term: August 1, 2002, through June 30, 2006

Reappointments

Name: RICHARD W. HALL
Title: Interim Dean
College: Biological Sciences
Term: July 1, 2002, through August 31, 2002

Name: LAWRENCE W. LIBBY
Title: Professor (The C. William Swank Chair in Rural and Urban Policy)
College: Food, Agricultural, and Environmental Sciences
Term: August 1, 2002, through July 31, 2007

Name: CARL R. ZULAUF
Title: Professor (The Francis B. McCormick Professorship in Agricultural Marketing and Policy)
College: Food, Agricultural, and Environmental Sciences
Term: July 1, 2002, through June 30, 2007

Promotions

Name: JUDITH B. FOUNTAIN
Title: Assistant Vice Provost for Women's Policy Initiatives
Office: Academic Affairs
Effective: July 1, 2002
Concurrent Appointment: Director, The Women's Place

PERSONNEL ACTIONS (contd)

Promotions (contd)

Name: THOMAS J. ROSOL
Title: Senior Associate Vice President
Office: Research
Effective: July 1, 2002
Present Position: Professor, Department of Veterinary Biosciences

Appointment of Chairpersons/Director

July 1, 2002, through July 31, 2002

School of Educational Policy and Leadership

James W. Altschuld*

July 1, 2002, through June 30, 2006

Art Education
History

Patricia L. Stuhr
Kenneth J. Andrien

October 1, 2002, through September 30, 2006

Finance

Anil K. Makhija

Reappointment of Chairpersons

July 1, 2002, through September 30, 2006

Mathematics

Peter D. March

October 1, 2002, through September 30, 2006

Physics

William F. Saam

*Interim

Leaves of Absence Without Salary

ILEANA RODRIGUEZ, Professor, Department of Spanish and Portuguese, effective Winter Quarter 2003, to accept a visiting professorship at Michigan State University.

ERIC O. FISHER, Associate Professor, Department of Economics, effective Autumn Quarter 2002, Winter Quarter and Spring Quarter 2003, to accept the AGIP Professor of International Economics at The Johns Hopkins University, School of Advanced International Studies, Bologna Center, Bologna, Italy.

JANE HATHAWAY, Associate Professor, Department of History, effective Winter Quarter 2003, to accept an appointment as Ertegun Visiting Associate Professor of Ottoman history in the Department of Near Eastern Studies at Princeton University.

Leave of Absence Without Salary--Continuation

JENNIFER C. TERRY, Associate Professor, Department of Comparative Studies, effective Autumn Quarter 2002, Winter Quarter and Spring Quarter 2003, to continue a visiting position in the Department of Women's Studies at the University of California at Berkeley.

PERSONNEL ACTIONS (contd)

Professional Improvement Leaves

JONATHAN P. PELZ, Professor, Department of Physics, effective Autumn Quarter 2002.

XIAOTONG SHEN, Associate Professor, Department of Statistics, effective Autumn Quarter 2002.

LAURA L. STAFFORD, Associate Professor, School of Journalism and Communication, effective Winter Quarter, Spring Quarter, and Autumn Quarter 2003.

Professional Improvement Leave—Cancellation

PATRICIA L. STUHR, Professor, Department of Art Education, effective Autumn Quarter 2002, Winter Quarter and Spring Quarter 2003.

Professional Improvement Leave—Change in Dates

MICHAEL L. BENEDICT, Professor, Department of History, change dates from Autumn Quarter 2002, Winter Quarter and Spring Quarter 2003, to Winter Quarter, Spring Quarter, and Autumn Quarter 2003.

Emeritus Titles

ROBERT C. MACCALLUM, Department of Psychology, with the title Professor Emeritus, effective October 1, 2002.

PEGGY S. SCHEAR, Ohio State University Extension, with the title Associate Professor Emeritus, effective July 1, 2002.

FELICISIMA C. SERAFICA, Department of Psychology, with the title Associate Professor Emeritus, effective August 1, 2002.

GORDON A. YOUNG, Department of Pediatrics, with the title Associate Professor Emeritus, effective July 1, 2002.

F. LEE ST. JOHN, Department of Evolution, Ecology, and Organismal Biology (Newark Campus), with the title Associate Professor Emeritus, effective July 1, 2002.

JUANITA E. MILLER, Ohio State University Extension, with the title Assistant Professor Emeritus, effective July 1, 2002.

ANN C. SMITH, Ohio State University Extension, with the title Assistant Professor Emeritus, effective July 1, 2002.

PERSONNEL ACTIONS (contd)

Promotions, Tenure, and Reappointments

COLLEGE OF THE ARTS

PROMOTION TO PROFESSOR

Susan B. Hadley, Dance - effective 10/1/2002
Antonio G. Mendoza, Art - effective 10/1/2002
Anne M. Morganstern, History of Art - effective 10/1/2002

PROMOTION TO ASSOCIATE PROFESSOR WITH TENURE

Todd J. DeVriese, Art, Marion - effective 10/1/2002
Robert J. D. Frego, School of Music - effective 10/1/2002
Daniel A. Gray, Theatre - effective 10/1/2002
Rebecca C. Harvey, Art - effective 10/1/2002
Thomas N. Koran, School of Music - effective 10/1/2002
Valarie W. Mockabee, Dance - effective 10/1/2002
Georgianna Short, Art Education - effective 10/1/2002
Steven M. Thurston, Art - effective 10/1/2002
Loretta R. Woliver, School of Music - effective 10/1/2002

COLLEGE OF BIOLOGICAL SCIENCES

PROMOTION TO PROFESSOR

Peter S. Curtis, Evolution, Ecology and Organismal Biology - effective 10/1/2002
Norman F. Johnson, Entomology - effective 10/1/2002
Joseph A. Krzycki, Microbiology - effective 10/1/2002

PROMOTION TO ASSOCIATE PROFESSOR WITH TENURE

Maria A. Gilles-Gonzalez, Biochemistry - effective 10/1/2002
Parwinder Grewal, Entomology/OARDC - effective 7/1/2002
Daniel A. Herms, Entomology/OARDC - effective 7/1/2002
Johannes S. Klompen, Entomology - effective 10/1/2002
Hay-Oak Park, Molecular Genetics - effective 10/1/2002
Mark A. Seeger, Molecular Genetics - effective 10/1/2002
Joseph B. Williams, Evolution, Ecology and Organismal Biology - effective 10/1/2002

TENURE

Biao Ding, Plant Biology - effective 10/1/2002

MAX M. FISHER COLLEGE OF BUSINESS

PROMOTION TO ASSOCIATE PROFESSOR WITH TENURE

Bernadette A. Minton, Finance - effective 10/1/2002
Jeffrey J. Reuer, Management and Human Resources - effective 10/1/2002

COLLEGE OF DENTISTRY

PROMOTION TO PROFESSOR

Phillip T. Marucha - effective 7/1/2002
Robert R. Seghi - effective 7/1/2002

COLLEGE OF DENTISTRY
REGULAR CLINICAL

PROMOTION TO PROFESSOR-CLINICAL AND REAPPOINTMENT

Joel I. Haring - effective 7/1/2002 and 7/1/2003

PERSONNEL ACTIONS (contd)

Promotions, Tenure, and Reappointments (contd)

COLLEGE OF DENTISTRY
REGULAR CLINICAL (contd)

REAPPOINTMENT

John R. Kalmar - effective 7/1/2003

Constance R. Kuntupis - effective 7/1/2003

COLLEGE OF EDUCATION

PROMOTION TO PROFESSOR

Donna L. Pastore, School of Teaching and Learning - effective 10/1/2002

Vladimir M. Sloutsky, School of Teaching and Learning - effective 10/1/2002

PROMOTION TO ASSOCIATE PROFESSOR WITH TENURE

Janet Buckworth, School of Teaching and Learning - effective 10/1/2002

Patricia E. Enciso, School of Teaching and Learning - effective 10/1/2002

Nancy A. Neef, School of Teaching and Learning - effective 10/1/2002

Phillip C. Ward, School of Teaching and Learning - effective 10/1/2002

Ian A. G. Wilkinson, School of Teaching and Learning - effective 10/1/2002

COLLEGE OF ENGINEERING

PROMOTION TO PROFESSOR

Anish K. Arora, Computer and Information Science - effective 10/1/2002

Roberto G. Rojas-Teran, Electrical Engineering - effective 10/1/2002

Yann G. Guezennec, Mechanical Engineering - effective 10/1/2002

PROMOTION TO ASSOCIATE PROFESSOR WITH TENURE

Shahrukh A. Irani, Industrial, Welding and Systems Engineering - effective 10/1/2002

Anthony F. Luscher, Mechanical Engineering - effective 10/1/2002

Nadine B. Sarter, Industrial, Welding and Systems Engineering - effective 10/1/2002

Harold W. Walker, Civil and Environmental Engineering & Geodetic Science - effective 10/1/2002

Yunzhi Wang, Materials Science and Engineering - effective 10/1/2002

COLLEGE OF FOOD, AGRICULTURAL, AND ENVIRONMENTAL SCIENCES

PROMOTION TO PROFESSOR

Harold M. Keener, Food, Agricultural and Biological Engineering - effective 7/1/2002

David S. Kraybill, Agricultural, Environmental and Development Economics - effective 7/1/2002

Stephen G. P. Nameth, Plant Pathology - effective 7/1/2002

Ahmed E. Yousef, Food Science and Technology - effective 7/1/2002

PROMOTION TO ASSOCIATE PROFESSOR WITH TENURE

Douglas J. Doohan, Horticulture and Crop Science - effective 7/1/2002

Robert J. Gates, School of Natural Resources - effective 7/1/2002

Sophien Kamoun, Plant Pathology - effective 7/1/2002

OHIO STATE EXTENSION

PROMOTION TO ASSOCIATE PROFESSOR WITH TENURE

Diane E. Johnson - effective 7/1/2002

Yu Gao - effective 7/1/2002

Edwin M. Lentz - effective 7/1/2002

PERSONNEL ACTIONS (contd)

Promotions, Tenure, and Reappointments (contd)

OHIO STATE EXTENSION (contd)

PROMOTION TO ASSOCIATE PROFESSOR

James A. Chatfield - effective 7/1/2002

Steve D. Ruhl - effective 7/1/2002

Deanna L. Tribe - effective 7/1/2002

PROMOTION TO ASSISTANT PROFESSOR WITH TENURE

Erik A. Draper - effective 7/1/2002

Nancy G. Stehulak - effective 7/1/2002

Marisa B. Warrix - effective 7/1/2002

Gregory M. Yost - effective 7/1/2002

COLLEGE OF HUMANITIES

PROMOTION TO PROFESSOR

Robert W. Batterman, Philosophy - effective 10/1/2002

Kathy M. Fagan, English - effective 10/1/2002

Helen Fehervary, Germanic Languages and Literatures - effective 10/1/2002

Leigh Gilmore, English - effective 10/1/2002

John F. Guilmartin, Jr., History - effective 10/1/2002

Glenn A. Hartz, Philosophy, Mansfield - effective 10/1/2002

Donald C. Hubin, Philosophy - effective 10/1/2002

Keith Johnson, Linguistics - effective 10/1/2002

Nancy J. Johnson, English - effective 10/1/2002

Peter O. King, Philosophy - effective 10/1/2002

Claire C. Robertson, History - effective 10/1/2002

Allan J. Silverman, Philosophy - effective 10/1/2002

PROMOTION TO ASSOCIATE PROFESSOR WITH TENURE

Ignacio Corona, Spanish & Portuguese - effective 10/1/2002

Mary M. Fonow, Women's Studies - effective 10/1/2002

PROMOTION TO ASSOCIATE PROFESSOR WITH TENURE (contd)

Chan E. Park-Miller, East Asian Languages and Literatures - effective 10/1/2002

Dorothy P. Noyes, English - effective 10/1/2002

Roxann Wheeler, English - effective 10/1/2002

Victoria J. Wohl, Greek and Latin - effective 10/1/2002

COLLEGE OF HUMAN ECOLOGY

PROMOTION TO ASSOCIATE PROFESSOR WITH TENURE

Michael J. Glassman, Human Development & Family Science - effective 10/1/2002

COLLEGE OF LAW

PROMOTION TO PROFESSOR

Sharon L. Davies – effective 8/16/2002

Katherine H. Federle – effective 8/16/2002

Alan C. Michaels – effective 8/16/2002

LIBRARIES

PROMOTION TO PROFESSOR

Carol P. Diedrichs - effective 7/1/2002

James Murphy - effective 7/1/2002

PERSONNEL ACTIONS (contd)

Promotions, Tenure, and Reappointments (contd)

LIBRARIES (contd)

PROMOTION TO ASSOCIATE PROFESSOR WITH TENURE

Guoqing Li - effective 7/1/2002

PROMOTION TO ASSOCIATE PROFESSOR

Alan A. Green - effective 7/1/2002

TENURE

Tschera Connell - effective 7/1/2002

COLLEGE OF MATHEMATICAL AND PHYSICAL SCIENCES

PROMOTION TO PROFESSOR

Jeffrey J. Daniels, Geological Sciences - effective 10/1/2002

Darren L. Depoy, Astronomy - effective 10/1/2002

Samir D. Mathur, Physics - effective 10/1/2002

Andras Nemethi, Mathematics - effective 10/1/2002

Ralph R. Vonfrese, Geological Sciences - effective 10/1/2002

David H. Weinberg, Astronomy - effective 10/1/2002

PROMOTION TO ASSOCIATE PROFESSOR WITH TENURE

Motomu Ibaraki, Geological Sciences - effective 10/1/2002

Thomas Kerler, Mathematics - effective 10/1/2002

Todd L. Lowary, Chemistry - effective 10/1/2002

Omer Ozturk, Statistics, Marion - effective 10/1/2002

Jonathan R. Parquette, Chemistry - effective 10/1/2002

Douglass W. Schumacher, Physics - effective 10/1/2002

Fei-Ran Tian, Mathematics - effective 10/1/2002

Claudia Turro, Chemistry - effective 10/1/2002

COLLEGE OF MEDICINE AND PUBLIC HEALTH

PROMOTION TO PROFESSOR

Arthur H. M. Burghes, Molecular and Cellular Biochemistry - effective 10/1/2002

Jane D. Case-Smith, School of Allied Medical Professions - effective 7/1/2002

Charis Eng, Internal Medicine - effective 7/1/2002

William B. Farrar, Surgery - effective 7/1/2002

Jeffrey M. Fowler, Obstetrics and Gynecology - effective 7/1/2002

James W. Hoekstra, Family Medicine - effective 7/1/2002

Jeffrey A. Kuret, Molecular and Cellular Biochemistry - effective 10/1/2002

William P. Lafuse, Molecular Virology, Immunology and Medical Genetics - effective 10/1/2002

Christopher M. Walker, Pediatrics - effective 7/1/2002

PROMOTION TO ASSOCIATE PROFESSOR WITH TENURE

D. Michele Basso, School of Allied Medical Professions - effective 10/1/2002

William E. Carson III, Surgery - effective 7/1/2002

Kelly R. Clark, Pediatrics - effective 7/1/2002

Joan E. Durbin, Pediatrics - effective 7/1/2002

Phillip D. Hoberty, School of Allied Medical Professions - effective 7/1/2002

Steven E. Katz, Ophthalmology - effective 7/1/2002

Ronald P. Pelletier, Surgery - effective 7/1/2002

Christoph Plass, Molecular Virology, Immunology and Medical Genetics - effective 7/1/2002

Dara P. Schuster, Internal Medicine - effective 7/1/2002

Miguel A. Villalona, Internal Medicine - effective 7/1/2002

Gregory J. Wiet, Otolaryngology - effective 7/1/2002

PERSONNEL ACTIONS (contd)

Promotions, Tenure, and Reappointments (contd)

COLLEGE OF MEDICINE AND PUBLIC HEALTH (contd)

PROMOTION TO ASSOCIATE PROFESSOR [without tenure]

Thomas J. Sferra, Pediatrics - effective 7/1/2002

Lisa D. Yee, Surgery - effective 7/1/2002

COLLEGE OF MEDICINE AND PUBLIC HEALTH
REGULAR CLINICAL

PROMOTION TO PROFESSOR-CLINICAL AND REAPPOINTMENT

Mark W. Arnold, Surgery - effective 7/1/2002 and 7/1/2003

PROMOTION TO ASSOCIATE PROFESSOR-CLINICAL

James C. Tober, Surgery - effective 7/1/2002

PROMOTION TO ASSOCIATE PROFESSOR-CLINICAL AND REAPPOINTMENT

James L. Moore, Neurology - effective 7/1/2002 and 7/1/2003

Douglas W. Scharre, Neurology - effective 7/1/2002 and 7/1/2003

REAPPOINTMENT

William J. Barson, Pediatrics - effective 7/1/2003

Jennifer I. Chapman, Pediatrics - effective 7/1/2003

Elmahdi A. Elkhammas, Surgery - effective 7/1/2003

Lucy R. Freedy, Radiology - effective 7/1/2003

Stephen P. Hoffmann, Internal Medicine - effective 7/1/2003

Grant L. Jones, Orthopaedics - effective 7/1/2003

Christopher C. Kaeding, Orthopaedics - effective 7/1/2003

Sedigheh Keyhani-Rofagha, Pathology - effective 7/1/2003

Nadeem Khan, Pediatrics - effective 7/1/2003

Sorabh Khandelwal, Emergency Medicine - effective 7/1/2003

Kathryn J. Klopfenstein, Pediatrics - effective 7/1/2003

Susan L. Koletar, Internal Medicine - effective 7/1/2003

Cynthia G. Kreger, Internal Medicine - effective 7/1/2003

Mary R. Leder, Pediatrics - effective 7/1/2003

Kathryn E. Nuss, Pediatrics - effective 7/1/2003

Stephen F. Pariser, Psychiatry - effective 7/1/2003

Radu V. Saveanu, Psychiatry - effective 7/1/2003

Tara M. Smith, Pediatrics - effective 7/1/2003

Doris E. Walzak, Internal Medicine - effective 7/1/2003

COLLEGE OF NURSING

PROMOTION TO ASSOCIATE PROFESSOR WITH TENURE

Susan Frazier - effective 10/1/2002

COLLEGE OF NURSING
REGULAR CLINICAL

REAPPOINTMENT

Linda S. Daley - effective 7/1/2003

Mary M. Gottesman - effective 7/1/2002

PERSONNEL ACTIONS (contd)

Promotions, Tenure, and Reappointments (contd)

COLLEGE OF OPTOMETRY

PROMOTION TO PROFESSOR

Paulette P. Schmidt - effective 7/1/2002

Karla S. Zadnik - effective 7/1/2002

PROMOTION TO ASSOCIATE PROFESSOR WITH TENURE

Nicklaus F. Fogt - effective 7/1/2002

Marjean T. Kulp - effective 7/1/2002

REGULAR CLINICAL

PROMOTION TO ASSOCIATE PROFESSOR-CLINICAL

Michael J. Earley - effective 7/1/2002

COLLEGE OF PHARMACY

PROMOTION TO ASSOCIATE PROFESSOR WITH TENURE

Peter Swaan - effective 10/1/2002

Robert J. Lee - effective 10/1/2002

COLLEGE OF PHARMACY
REGULAR CLINICAL

REAPPOINTMENT

Kristin A. Casper - effective 7/1/2003

COLLEGE OF SOCIAL AND BEHAVIORAL SCIENCES

PROMOTION TO PROFESSOR

Jan Edwards, Speech and Hearing Science - effective 10/1/2002

Masao Ogaki, Economics - effective 10/1/2002

Caroline M. Palmer, Psychology - effective 10/1/2002

PROMOTION TO ASSOCIATE PROFESSOR WITH TENURE

Theodore G. Hopf, Political Science - effective 10/1/2002

Dean P. Lacy, Political Science - effective 10/1/2002

Alan T. Murray, Geography - effective 10/1/2002

Paul F. Robbins, Geography - effective 10/1/2002

Yongmin Sun, Sociology, Mansfield - effective 10/1/2002

TENURE

Jeffrey K. McKee, Anthropology - effective 10/1/2002

COLLEGE OF SOCIAL WORK

PROMOTION TO ASSOCIATE PROFESSOR WITH TENURE

Thomas Gregoire - effective 10/1/2002

Margaret E. Vonk - effective 10/1/2002

COLLEGE OF VETERINARY MEDICINE

PROMOTION TO PROFESSOR

Teresa Y. Morishita, Veterinary Preventive Medicine - effective 7/1/2002

Michael Podell, Veterinary Clinical Sciences - effective 7/1/2002

PERSONNEL ACTIONS (contd)

Promotions, Tenure, and Reappointments (contd)

COLLEGE OF VETERINARY MEDICINE (contd)

PROMOTION TO ASSOCIATE PROFESSOR WITH TENURE

Joanne Hardy, Veterinary Clinical Sciences - effective 7/1/2002

Andrew Hillier, Veterinary Clinical Sciences - effective 7/1/2002

REGULAR CLINICAL

PROMOTION TO ASSOCIATE PROFESSOR-CLINICAL AND REAPPOINTMENT

Shane W. Bateman, Veterinary Clinical Sciences - effective 7/1/2002 and 7/1/2003

REAPPOINTMENT

Richard W. Meiring, Veterinary Preventive Medicine - effective 7/1/2003

RESOLUTIONS IN MEMORIAM

Resolution No. 2003-5

Synopsis: Approval of Resolutions in Memoriam is proposed.

RESOLVED, That the Board adopt the following Resolutions in Memoriam and that the President be requested to convey a copy to the families of the deceased.

C. Earl Baylor

The Board of Trustees of The Ohio State University expresses its sorrow upon the death on June 5, 2002, of C. Earl Baylor, Associate Professor Emeritus in the Ohio State University Extension.

Mr. Baylor was born July 6, 1925, in Stockton, Illinois. He received a Bachelor of Science degree in agriculture from the University of Illinois in 1950. He also earned a Master's degree with a double major in extension education and dairy science from the University of Illinois in 1963.

Earl Baylor began his Extension career in Ohio in 1966, as the county agent for agriculture in Brown County. He held this position until his retirement in 1985. Throughout Mr. Baylor's career with Extension he was the county chair and also served on local committees. During his leadership, he was instrumental in leading the dairy farm management industry in Brown County to bring added income to those farmers. In addition, he worked with zoning programs and farmers who had production of cash tobacco as the chief source of agriculture income. He was a member of the Extension fraternity, Epsilon Sigma Phi, and participated in both state committees and meetings.

His contributions in providing excellent Extension educational programs during his career earned him the respect and admiration of co-workers and associates throughout the state.

On behalf of the University community, the Board of Trustees expresses to the family of Professor C. Earl Baylor its deepest sympathy and sense of understanding of their loss. It was directed that this resolution be inscribed upon the minutes of the Board of Trustees and that a copy be tendered to his family as an expression of the Board's heartfelt sympathy.

RESOLUTIONS IN MEMORIAM (contd)

Mario Iglesias

The Board of Trustees of The Ohio State University expresses its sorrow upon the death on May 16, 2002, of Mario Iglesias, Associate Professor Emeritus, in the Department of Spanish and Portuguese.

Professor Iglesias completed his undergraduate education in Havana, Cuba. He held a Master of Arts degree from Western Reserve University in Cleveland, Ohio, and a Ph.D. degree from the University of Havana.

Professor Iglesias began his teaching career at the Ruston Academy in Havana, his birthplace. In 1961, he and his family fled Cuba and immigrated to the United States. He joined the faculty of The Ohio State University in 1967, where for 28 years he taught Spanish language and Hispanic cultures and mentored hundreds of graduate teaching associates in methods of teaching Spanish at the college level. Many secondary school language teachers and their students also benefited from Professor Iglesias' support through his many outreach workshops.

Over the years, Professor Iglesias served as director of the Spanish Language Program, director of Spanish Individualized Instruction, and chair of Undergraduate Studies, in the Department of Romance Languages and later in the Department of Spanish and Portuguese. His dedication to undergraduate teaching was reflected in the textbooks and pedagogical articles that he published throughout his distinguished career.

Among his students and colleagues, Professor Iglesias was known for his integrity, his untiring efforts to promote the study of Spanish and Hispanic cultures, and for his lively sense of humor.

On behalf of the University community, the Board of Trustees expresses to the family of Professor Mario Iglesias its deepest sympathy and understanding of their loss. It was directed that this resolution be inscribed upon the minutes of the Board of Trustees and that a copy be tendered to his family as an expression of the Board's heartfelt sympathy.

George M. Lawrence

The Board of Trustees of The Ohio State University expresses its sorrow upon the death on May 18, 2002, of George M. Lawrence, Assistant Professor Emeritus in the Department of Electrical Engineering.

Professor Lawrence graduated from Oberlin College in 1930, and taught math and science at schools in Crestline, Norwalk, and Sandusky, Ohio, from the time of his graduation until 1946. He served as a lieutenant, USNR, 1944-1946, with duty in Pearl Harbor and other locations in the United States. After the war, he obtained the degree of Master of Science in Electrical Engineering from Ohio State, and began his career of service to OSU. He was an assistant professor in the Department of Electrical Engineering from 1949 to 1952. In 1952, he became an assistant dean in the College of Engineering, a position he held until his retirement in 1970.

Professor Lawrence's work at the College of Engineering primarily involved undergraduate students. As a former public school teacher, he knew the importance of helping students make the transition between high school and the university, and set in place several policies and practices to help make the process smoother. Always an advocate for the student, he truly believed in the value of higher education, and worked tirelessly to help create and maintain the quality of the academic offerings of the College of Engineering.

On behalf of the university community, the Board of Trustees expresses to the family of Professor George Lawrence its deepest sympathy and sense of understanding of their loss. It was directed that this resolution be inscribed upon the minutes of the Board of Trustees and that a copy be tendered to his family as an expression of the Board's heartfelt sympathy.

REPORT OF RESEARCH CONTRACTS AND GRANTS

Resolution No. 2003-6

Synopsis: The reports on research and other sponsored program contracts and grants and the summary for May 2002 are presented for Board acceptance.

WHEREAS monies are solicited and received on behalf of the University from governmental, industrial, and other agencies in support of research, instructional activities, and service; and

WHEREAS such monies are received through The Ohio State University Research Foundation:

NOW THEREFORE

BE IT RESOLVED, That the research agreement between The Ohio State University and The Ohio State University Research Foundation for the contracts and grants reported herein during the month of May 2002 be approved.

REPORT ON UNIVERSITY DEVELOPMENT

Resolution No. 2003-7

Synopsis: The report on the receipt of gifts and the summary for May 2002 are presented for Board acceptance.

WHEREAS monies are solicited and received on behalf of the University from alumni, industry, and various individuals in support of research, instructional activities, and service; and

WHEREAS such gifts are received through The Ohio State University Development Fund and The Ohio State University Foundation; and

WHEREAS this report includes the establishment of The Department of Pathology Professorship, The University Pathology Services Professorship, The Honorable William B. Saxbe Designated Professorship in Law, and The Howard E. LeFevre 1929 Fellowship; and

WHEREAS this report includes the establishment of fifteen (15) new named endowed funds and the amendment of seven (7) named endowed funds:

NOW THEREFORE

BE IT RESOLVED, That the acceptance of the report from The Ohio State University Development Fund and The Ohio State University Foundation during the month of May 2002 be approved.

REPORT ON UNIVERSITY DEVELOPMENT (contd)

TOTAL UNIVERSITY PRIVATE SUPPORT

July through May
2000-2001 Compared to 2001-2002

GIFT RECEIPTS BY DONOR TYPE

	Dollars July through May		
	<u>2000-2001</u>	<u>2001-2002</u>	<u>%Change</u>
Individuals:			
Alumni (Current Giving)	\$28,287,918	\$30,404,491	7
Alumni (From Bequests)	<u>6,659,028</u>	<u>5,382,380</u>	(19)
Alumni Total	\$34,946,946	\$35,786,871	2
Non-Alumni (Current Giving)	\$22,870,120	\$14,588,779	(36)
Non-Alumni (From Bequests)	<u>10,002,002</u>	<u>3,572,731</u>	(64)
Non-Alumni Total	\$32,872,122	\$18,161,510	(45)
Individual Total	\$67,819,068	\$53,948,381	(20) ^A
Corporations/Corp/Foundations	\$36,315,055	\$39,130,941	8 ^B
Private Foundations	\$14,545,406	\$18,838,877	30 ^C
Associations and Other Organizations	<u>\$4,032,186</u>	<u>\$4,417,380</u>	10 ^D
Total	\$122,711,715	\$116,335,579	(5)

NOTES

- A Individual giving at the \$10,000 or more level is down 19% for this year (\$50.0 million from 536 gifts last year; \$35.1 million from 498 gifts this year).
- B Corporate giving at the \$10,000 or more level is up 9% so far this year (\$29.1 million from 614 gifts last year; \$31.6 million from 641 gifts this year).
- C Foundation giving at the \$10,000 or more level is up 32% so far this year (\$13.6 million from 174 gifts last year; \$18.0 million from 187 gifts this year).
- D Other organizations and associations have given about \$100,000 more at the \$10,000 or more level so far this year compared with last year.

REPORT ON UNIVERSITY DEVELOPMENT (contd)

TOTAL UNIVERSITY PRIVATE SUPPORT (contd)

July from May
2000-2001 Compared to 2001-2002

GIFT RECEIPTS BY PURPOSE

	Dollars July through May		
	<u>2000-2001</u>	<u>2001-2002</u>	<u>% Change</u>
Gift Receipts to Current Use and Endowment Funds:			
Buildings/Equipment	\$17,399,361	\$19,369,448	11
Faculty Support	\$7,493,540	\$11,633,557	55
Program Support	\$75,856,235	\$64,336,265	(15)
Student Financial Aid	\$11,939,240	\$12,184,735	2
Annual Funds-Colleges/Departments	\$8,289,537	\$7,617,111	(8)
Annual Funds-University	<u>\$1,733,802</u>	<u>\$1,194,463</u>	(31)
Total	\$122,711,715	\$116,335,579	(5)

GIFT ADDITIONS TO ENDOWMENT

Dollars July through May		
<u>2000-2001</u>	<u>2001-2002</u>	<u>% Change</u>
29,437,105	\$35,469,956	20

REPORT ON UNIVERSITY DEVELOPMENT (contd)

THE OHIO STATE UNIVERSITY DEVELOPMENT FUND

	<u>Previous Gifts</u>	<u>Current Gifts</u>	<u>Total Gifts</u>
<u>Establishment of Named Endowed Professorships</u>			
The Department of Pathology Professorship (Used to provide a professorship in the College of Medicine and Public Health; provided by gifts from friends of the Department of Pathology)		\$750,000.00	\$750,000.00
The University Pathology Services Professorship (Used to provide a professorship in the College of Medicine and Public Health; provided by gifts from friends of the Department of Pathology)		\$750,000.00	\$750,000.00
<u>Establishment of Named Designated Professorship</u>			
The Honorable William B. Saxbe Designated Professorship in Law (Used to provide salary and research support for a professorship in the Moritz College of Law; provided from a gift from William B. Saxbe)	(\$37,500 per year for five years -- \$187,500.00)		
<u>Establishment of Named Endowed Fellowship</u>			
The Howard E. LeFevre 1929 Fellowship (Used to support an emerging practitioner in the Knowlton School of Architecture; provided by a gift from Howard E. LeFevre and The LeFevre Foundation)	\$273,064.09		\$273,064.09
<u>Establishment of Named Endowed Funds</u>			
The Neva Peecher Endowment Fund for the Prevention of Blindness (Used to provide research support to the College of Optometry faculty; provided by a gift from the estate of Neva Peecher)	\$92,087.84		\$92,087.84
The Goldberg Program for Excellence in Teaching Fund in History (Used to support teaching in the Department of History; provided by gifts from numerous donors)		\$31,430.00	\$31,430.00
The James C. Lewis Technical Theatre Fund (Used to support the activities of the OSU Mansfield Theatre program and to recognize an undergraduate student in theatre at OSU Mansfield; provided by gifts from Carl and Bessie Lewis, Robert Lewis, Larry J. Evans, and many friends)		\$25,035.00	\$25,035.00

REPORT ON UNIVERSITY DEVELOPMENT (contd)

THE OHIO STATE UNIVERSITY DEVELOPMENT FUND (contd)

	<u>Previous Gifts</u>	<u>Current Gifts</u>	<u>Total Gifts</u>
<u>Establishment of Named Endowed Funds (contd)</u>			
The Daniel Renn Memorial Ohio International Agricultural and Horticultural Intern Program Scholarship Endowment Fund (Used to provide travel scholarships through The OSU International Program in the College of Food, Agricultural, and Environmental Sciences; provided by gifts from the Renn family and friends of the Intern Program, Michael Chrisman, and Walter Schug)		\$25,000.00	\$25,000.00

Change in Name and Description of Named Endowed Professorship

From: The Joanne Wharton Murphy/Class of '65 Professorship of Law
To: The Joanne Wharton Murphy/Classes of 1965 and 1973 Professorship in Law

Change in Description of Named Endowed Fund

The LeFevre Foundation Fund

Change in Name and Description of Named Endowed Funds

From: The Dr. Eli G. Alcorn and Dr. John B. Alcorn Prize in Ophthalmology
To: The Dr. Eli G. Alcorn and Dr. John B. Alcorn Prize Fund in Ophthalmology

From: The Thomas E. Cavendish Scholarship Fund
To: The Thomas E. and Joanna L. Cavendish Scholarship Fund

From: The Procter & Gamble Faculty Excellence Award
To: The Robert J. Watkins/Procter & Gamble Professorship Fund

THE OHIO STATE UNIVERSITY FOUNDATION

	<u>Previous Gifts</u>	<u>Current Gifts</u>	<u>Total Gifts</u>
<u>Establishment of Named Endowed Funds</u>			
The Rachel Hoover Carter Scholarship Fund (Used to provide scholarships for students at the Columbus campus of The OSU; provided by a gift from the estate of Rachel Hoover Carter)		\$302,485.00	\$302,485.00
The Harlan Hatcher Memorial Award Fund for Academic Excellence (Used to provide awards for outstanding faculty members in the Colleges of the Arts and Sciences; provided by gifts from Mrs. Anne Hatcher and her family)		\$100,000.00	\$100,000.00

REPORT ON UNIVERSITY DEVELOPMENT (contd)

THE OHIO STATE UNIVERSITY FOUNDATION (contd)

	<u>Previous Gifts</u>	<u>Current Gifts</u>	<u>Total Gifts</u>
<u>Establishment of Named Endowed Funds (contd)</u>			
The Raul A. Hernandez, Jr., M.D. Nephrology and Critical Care Medicine Fellowship Fund (Used to provide a joint fellowship in the Divisions of Nephrology and Pulmonary and Critical Care Medicine; provided by gifts from Raul A. Hernandez and Constance McCourry Hernandez)		\$78,500.00	\$78,500.00
The Mildred Munday Scholarship Fund in Women's Studies (Used to provide a scholarship in the Department of Women's Studies; provided by gifts from numerous donors in memory of Mildred Munday)		\$50,985.00	\$50,985.00
The John E. and Charlotte B. Moore 4-H, Minority Scholarship Endowment Fund (Used to provide scholarships for 4-H minority undergraduate students; provided by gifts from family and friends of John E. Moore)		\$31,697.00	\$31,697.00
The John Bruce Family Swimming Scholarship Fund (Used to supplement the scholarship costs of a student-athlete member of the men's swimming team; provided by gifts from Dr. Timothy Duffey, Sean Sentz, Joseph Budde, family, friends, and former athletes of the late swimming coach)		\$29,096.00	\$29,096.00
The Raymond and Shirley Reiser/John F. Lyman Fund (Used for scholarships for graduate students in the College of Food, Agricultural, and Environmental Sciences; provided by gifts from Dr. Raymond Reiser and Shirley Reiser in memory of Dr. John F. Lyman)		\$25,587.00	\$25,587.00
The Gary L. Wilson Athletic Scholarship Fund (Used to supplement scholarship costs of a student athlete member of the football team; provided by gifts from Gary L. Wilson in memory of Ernie Wilson)		\$25,579.00	\$25,579.00
OSU Men's Varsity "O" Alumni Association Athletic Scholarship Fund (Used to supplement the grant-in-aid scholarship costs of a scholar-athlete member of a men's varsity team; provided by gifts from OSU Men's Varsity "O" Alumni Association)		\$25,025.00	\$25,025.00

REPORT ON UNIVERSITY DEVELOPMENT (contd)

THE OHIO STATE UNIVERSITY FOUNDATION (contd)

	<u>Previous Gifts</u>	<u>Current Gifts</u>	<u>Total Gifts</u>
<u>Establishment of Named Endowed Funds (contd)</u>			
The Keith M. Russ Chemical Engineering Endowment Fund (Used to advance the quality of teaching and research within the Department of Chemical Engineering; provided by a gift from Keith M. Russ)		\$25,000.00	\$25,000.00
David and Ellen Ryan Athletic Scholarship Fund (Used to provide scholarship costs of undergraduate athletes on the varsity men's hockey team; provided by gifts from David and Ellen Ryan)		\$25,000.00	\$25,000.00
<u>Change in Name and Description of Named Endowed Funds</u>			
From: The Herb and Dee Dee Glimcher Visiting Professorship Fund			
To: The Herb and DeeDee 1988 Glimcher Distinguished Visiting Professorship Fund			
From: Morse Scholarship Fund for Mount Scholars/ Leaders in the College of Engineering			
To: The Reginald Morse Scholarship Fund for Mount Scholars in the College of Engineering			
Total	\$365,151.93	\$2,300,419.00	\$2,665,570.93

THE OHIO STATE UNIVERSITY DEVELOPMENT FUND

Establishment of Named Endowed Professorships

The Department of Pathology Professorship

The Department of Pathology Professorship was established July 12, 2002, by the Board of Trustees of The Ohio State University with gifts from friends of the Department of Pathology.

All gifts are to be invested in the University's Permanent Endowment Fund, under the rules and regulations adopted by the Board of Trustees of The Ohio State University, with the right to invest and reinvest as occasion dictates.

The annual income shall support a professorship position that will support the advancement of medical knowledge in the field of pathology research, both basic and clinical, and shall be held by an individual who is or shall be a member of the Department of Pathology in the College of Medicine and Public Health. The position shall be held by a nationally eminent faculty member who has distinguished himself/herself in the performance of pathology research, who is NIH-funded as a principal investigator, who maintains an active productive research program, and who is an effective educator-teacher.

REPORT ON UNIVERSITY DEVELOPMENT (contd)

THE OHIO STATE UNIVERSITY DEVELOPMENT FUND (contd)

Establishment of Named Endowed Professorships (contd)

The Department of Pathology Professorship (contd)

Selection shall be made as recommended by the senior vice president for health sciences and dean of the College of Medicine and Public Health in consultation with the chairperson of the Department of Pathology. The activities of the endowed professorship holder shall be reviewed no less than every five years by the senior vice president for health sciences and dean of the College of Medicine and Public Health to determine compliance with the intent of the donor as well as the academic and research standards of the University.

It is the desire of the donors that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Board of Trustees in consultation with the senior vice president for health sciences and dean of the College of Medicine and Public Health, and the chairperson of the Department of Pathology in order to carry out the desire of the donors.

\$750,000.00

The University Pathology Services Professorship

The University Pathology Services Professorship was established July 12, 2002, by the Board of Trustees of The Ohio State University with gifts from friends of the Department of Pathology.

All gifts are to be invested in the University's Permanent Endowment Fund, under the rules and regulations adopted by the Board of Trustees of The Ohio State University, with the right to invest and reinvest as occasion dictates.

The annual income shall support a professorship position that will support the advancement of medical knowledge in the field of pathology research, both basic and clinical, and shall be held by an individual who is or shall be a member of the Department of Pathology in the College of Medicine and Public Health. The position shall be held by a nationally eminent faculty member who has distinguished himself/herself in the performance of pathology research, who is NIH-funded as a principal investigator, who maintains an active productive research program, and who is an effective educator-teacher.

Selection shall be made as recommended by the senior vice president for health sciences and dean of the College of Medicine and Public Health in consultation with the chairperson of the Department of Pathology. The activities of the endowed professorship holder shall be reviewed no less than every five years by the senior vice president for health sciences and dean of the College of Medicine and Public Health to determine compliance with the intent of the donor as well as the academic and research standards of the University.

It is the desire of the donors that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Board of Trustees in consultation with the senior vice president for health sciences and dean of the College of Medicine and Public Health, and the chairperson of the Department of Pathology in order to carry out the desire of the donors.

\$750,000.00

REPORT ON UNIVERSITY DEVELOPMENT (contd)

THE OHIO STATE UNIVERSITY DEVELOPMENT FUND (contd)

Establishment of Named Designated Professorship

The Honorable William B. Saxbe Designated Professorship in Law

The Honorable William B. Saxbe Designated Professorship in Law at The Michael E. Moritz College of Law was established July 12, 2002, by the Board of Trustees of The Ohio State University. It is being created as a result of an unrestricted gift from William B. Saxbe (B.A. 1940, J.D. 1948) of Mechanicsburg, Ohio.

A sum of \$37,500 per year will be spent from this fund over a five-year period which will provide salary and research support of a professorship position at the Moritz College of Law. Appointment to the professorship shall be recommended by the dean of the Moritz College of Law and provost and approved by the Board of Trustees.

The designated professorship may be renewed at the prevailing amount and term at the time of renewal.

\$37,500.00 for five years

Establishment of Named Endowed Fellowship

The Howard E. LeFevre 1929 Fellowship

The Howard E. LeFevre 1929 Fellowship was established July 12, 2002, by the Board of Trustees of The Ohio State University with a transfer from The LeFevre Foundation Fund.

All gifts are to be invested in the University's Permanent Endowment Fund, under the rules and regulations adopted by the Board of Trustees of The Ohio State University, with the right to invest and reinvest as occasion dictates.

The annual income shall be used only to create The Howard E. Lefevre 1929 Fellowship at the Austin E. Knowlton School of Architecture to support an "emerging" practitioner in the School who will teach an undergraduate studio and produce a monograph or exhibition. The fellowship shall be administered in accordance with the fellowship credentials of The Ohio State University and the Knowlton School of Architecture. The fellowship will be awarded in consultation with the University Committee on Student Financial Aid.

It is the desire of the donor that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Board of Trustees in consultation with the appropriate college dean, school director, department chairperson, or program administrative officer in order to carry out the desire of the donor.

\$273,064.09

Establishment of Named Endowed Funds

The Neva Peecher Endowment Fund for the Prevention of Blindness

The Neva Peecher Endowment Fund for the Prevention of Blindness was established July 12, 2002, by the Board of Trustees of The Ohio State University with a gift from the estate of Neva Peecher.

REPORT ON UNIVERSITY DEVELOPMENT (contd)

THE OHIO STATE UNIVERSITY DEVELOPMENT FUND (contd)

Establishment of Named Endowed Funds (contd)

The Neva Peecher Endowment Fund for the Prevention of Blindness (contd)

All gifts are to be invested in the University's Permanent Endowment Fund, under the rules and regulations adopted by the Board of Trustees of The Ohio State University, with the right to invest and reinvest as occasion dictates.

Neva "Peaches" Peecher was born on August 3, 1895. Her parents came to the U.S. by boat from Germany. They settled in Chillicothe, Ohio. When Neva married a young builder, she moved to Columbus. Mr. Peecher helped build Ohio Stadium in 1923. In addition, he built many homes in the Clintonville and Upper Arlington areas, a number of those still very stately and occupied. Neva once told her husband she wished he would build a house with no corners because she was tired of cleaning them – so he did. You can still see this house on the corner of Rustic Bridge and Sheffield Streets in Old Clintonville. The house is square on the outside, but there is a round frame inside it.

Everyone in Neva's family required vision correction from childhood, so she had a deep sympathy for people living with blindness. The annual income for this endowed gift shall provide research support to the College of Optometry faculty studying issues related to the prevention of blindness.

It is the desire of the donor that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Board of Trustees in consultation with the dean of the College of Optometry in order to carry out the desire of the donor.

\$92,087.84

The Goldberg Program for Excellence in Teaching Fund in History

The Goldberg Program for Excellence in Teaching Fund in History in the College of Humanities was established July 12, 2002, by the Board of Trustees of The Ohio State University with gifts from numerous donors.

All gifts are to be invested in the University's Permanent Endowment Fund, under the rules and regulations adopted by the Board of Trustees of The Ohio State University, with the right to invest and reinvest as occasion dictates.

The annual income shall be used to support teaching by both graduate teaching associates and faculty in the Department of History, based on the decision of the chairperson of the Department of History.

It is the desire of the donors that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Board of Trustees as recommended by the dean of the College of Humanities in consultation with the chairperson of the Department of History in order to carry out the desire of the donors.

\$31,430.00

REPORT ON UNIVERSITY DEVELOPMENT (contd)

THE OHIO STATE UNIVERSITY DEVELOPMENT FUND (contd)

Establishment of Named Endowed Funds (contd)

The James C. Lewis Technical Theatre Fund

The James C. Lewis Technical Theatre Fund was established July 12, 2002, by the Board of Trustees of The Ohio State University with gifts from his parents, Carl and Bessie Lewis, his brother Robert Lewis, his colleague Larry J. Evans, and his many friends.

The prize is named in honor of James C. Lewis (1945-1994), a theatre artist who, as designer, performer, and director, significantly contributed to approximately 30 theatre productions at The Ohio State University at Mansfield between 1977 and 1993. As a friend and artist, his memory and the professional level of his creative work will live on in the future theatre productions of the OSU Mansfield Campus.

All gifts are to be invested in the University's Permanent Endowment Fund, under the rules and regulations adopted by the Board of Trustees of The Ohio State University, with the right to invest and reinvest as occasion dictates.

The annual income shall be used to support the activities of the OSU Mansfield Theatre program and to recognize an undergraduate student who has made outstanding contributions to the technical areas of theatre at OSU Mansfield.

It is the desire of the donors that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Board of Trustees in consultation with the dean/director of the Mansfield Campus and the director of the OSU Mansfield Theatre Program in order to carry out the desire of the donors.

\$25,035.00

The Daniel Renn Memorial Ohio International Agricultural and Horticultural Intern Program Scholarship Endowment Fund

The Daniel Renn Memorial Ohio International Agricultural and Horticultural Intern Program Scholarship Endowment Fund was established July 12, 2002, by the Board of Trustees of The Ohio State University with gifts from the Renn family and friends of the Intern Program, Michael Chrisman, and Walter Schug to honor the memory of Daniel Renn.

All gifts are to be invested in the University's Permanent Endowment Fund, under the rules and regulations adopted by the Board of Trustees of The Ohio State University, with the right to invest and reinvest as occasion dictates.

The annual income shall be used to provide undergraduate international educational travel scholarships for German students to wineries in the U.S. through The Ohio State University International Program in the College of Food, Agricultural, and Environmental Sciences. In any given year that the endowment income is not fully expended, the unused portion should be reinvested in the endowment principal.

It is the desire of the donors that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Board of Trustees in consultation with the vice president for Agricultural Administration and University Outreach, and executive dean for Food, Agricultural and Environmental Sciences, or their successor, or an appropriate administrative officer, or their successor, in order to carry out the desire of the donors.

\$25,000.00

REPORT ON UNIVERSITY DEVELOPMENT (contd)

THE OHIO STATE UNIVERSITY DEVELOPMENT FUND (contd)

Change in Name and Description of Named Endowed Professorship

The Joanne Wharton Murphy/Classes of 1965 and 1973 Professorship in Law

The Law Class of '65 Endowed Fund was established February 4, 1983, by the Board of Trustees of The Ohio State University with gifts to The Ohio State University Development Fund from members of the Class of 1965 of the OSU College of Law. The professorship was established and the name was changed to The Joanne Wharton Murphy/Class of '65 Professorship of Law on November 5, 1999. The name and description were revised further on July 12, 2002.

All gifts are to be invested in the University's Permanent Endowment Fund, under the rules and regulations adopted by the Board of Trustees of The Ohio State University, with the right to invest and reinvest as occasion dictates.

The annual income shall be used to fund a professorship in The Michael E. Moritz College of Law which recognizes a distinguished scholar and teacher. Selection will be made by the dean of the Moritz College of Law.

It is the desire of the donors that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Board of Trustees in consultation with the appropriate college dean, department chairperson, or program administrative officer in order to carry out the desire of the donors.

Change in Description of Named Endowed Fund

The LeFevre Foundation Fund

The Howard E. and Catharine Bonner LeFevre Scholarship Fund was established April 14, 1966, by the Board of Trustees of The Ohio State University with gifts to The Ohio State University Development Fund from Howard E. LeFevre (B.Arch.E. 1929) and Catharine Bonner LeFevre (B.S.Ed. 1929). The name was changed to The LeFevre Foundation Fund and the description was revised on July 2, 1999, and the description was further revised on July 12, 2002.

All gifts are to be invested in the University's Permanent Endowment Fund, under the rules and regulations adopted by the Board of Trustees of The Ohio State University, with the right to invest and reinvest as occasion dictates.

The annual income shall be used at The Ohio State University Newark Campus for purposes selected at least annually by The LeFevre Foundation.

It is the desire of the donors that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Board of Trustees in consultation with the appropriate University officials and The Lefevre Foundation in order to carry out the desire of the donors.

Change in Name and Description of Named Endowed Funds

The Dr. Eli G. Alcorn and Dr. John B. Alcorn Prize Fund in Ophthalmology

The Dr. Eli G. Alcorn Prize in Ophthalmology was established December 14, 1925, by the Board of Trustees of The Ohio State University with a gift from Dr. Eli G. Alcorn of Columbus, Ohio. The name was changed to The Dr. Eli G. Alcorn and Dr. John B. Alcorn Prize in Ophthalmology and the description was revised on November 5, 1999. The name and description were revised further on July 12, 2002.

REPORT ON UNIVERSITY DEVELOPMENT (contd)

THE OHIO STATE UNIVERSITY DEVELOPMENT FUND (contd)

Change in Name and Description of Named Endowed Funds (contd)

The Dr. Eli G. Alcorn and Dr. John B. Alcorn Prize Fund in Ophthalmology (contd)

Gifts were made in memory of Dr. John B. Alcorn, father-in-law of Edgar T. Wolfe and grandfather of John W. Wolfe, as well as son of Dr. Eli G. Alcorn. Dr. John Alcorn was an ophthalmology physician and assistant professor emeritus in the College of Medicine, 1940. Additional gifts were received from the Robert F. Wolfe and Edgar T. Wolfe Foundation of Columbus, Ohio.

All gifts are to be invested in the University's Permanent Endowment Fund, under the rules and regulations adopted by the Board of Trustees of The Ohio State University, with the right to invest and reinvest as occasion dictates.

The annual income shall support student awards and fellowships in the Department of Ophthalmology. The Alcorn Medical Student Prize in Ophthalmology shall be awarded each year to the student in the College of Medicine and Public Health who receives the highest grade in ophthalmology or to the student who writes the most original paper on some subject connected with the eye. As income allocation permits, the Alcorn Fellowship Prize and Alcorn Research Prize shall be awarded in support of post-doctoral fellows in ophthalmology and to support graduate students who are undertaking research, both basic and clinical, in the field of ophthalmology, respectively.

It is the desire of the donors that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Board of Trustees in consultation with the senior vice president for Health Sciences and dean of the College of Medicine and Public Health, and the chairperson of the Department of Ophthalmology in order to carry out the desire of the donors.

The Thomas E. and Joanna L. Cavendish Scholarship Fund

The Thomas E. Cavendish Scholarship Fund of The Porter, Wright, Morris & Arthur Endowment was established July 8, 1994, by the Board of Trustees of The Ohio State University with founding gifts to The Ohio State University Development Fund from the law firm of Porter, Wright, Morris & Arthur, and The Hubert A. and Gladys C. Estabrook Charitable Trust and friends and colleagues of Thomas E. Cavendish. The name and description were revised on July 12, 2002.

All gifts are to be invested in the University's Permanent Endowment Fund, under the rules and regulations adopted by the Board of Trustees of The Ohio State University, with the right to invest and reinvest as occasion dictates.

The annual income shall be used to provide financial assistance to one or more outstanding students who are enrolled in The Michael E. Moritz College of Law. Recipients should demonstrate an interest participating in activities other than pure academic pursuit. Awards, and the determination of size thereof, shall be made by the dean of the Moritz College of Law in consultation with the University Committee on Student Financial Aid and a committee of not more than five, nor less than three, partners of the firm Porter, Wright, Morris & Arthur; but the concurrence of such committee shall not be required as to the identity of any specific award recipient.

It is the desire of the donors that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Board of Trustees in consultation with the appropriate administrative official who is then directly responsible for legal education in order to carry out the desire of the donors.

REPORT ON UNIVERSITY DEVELOPMENT (contd)

THE OHIO STATE UNIVERSITY DEVELOPMENT FUND (contd)

Change in Name and Description of Named Endowed Funds (contd)

The Robert J. Watkins/Procter & Gamble Professorship Fund

The Procter & Gamble Faculty Excellence Award was established November 7, 1997, by the Board of Trustees of The Ohio State University with gifts from Ohio State College of Law Alumni employed by Procter & Gamble. The name and description were revised on July 12, 2002.

All gifts are to be invested in the University's Permanent Endowment Fund, under the rules and regulations adopted by the Board of Trustees of The Ohio State University, with the right to invest and reinvest as occasion dictates.

The annual income shall be used to fund a designated professorship in The Michael E. Moritz College of Law until such time when the fund reaches \$750,000 to provide a fully endowed professorship. Selection shall be made by the dean of the Moritz College of Law.

It is the desire of the donors that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Board of Trustees as recommended by the dean of the Moritz College of Law in order to carry out the desire of the donors.

THE OHIO STATE UNIVERSITY FOUNDATION

Establishment of Named Endowed Funds

The Rachel Hoover Carter Scholarship Fund

The Rachel Hoover Carter Scholarship Fund was established July 12, 2002, in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with a gift from the estate of Rachel Hoover Carter (B.S.Bus.Admin. 1953) of Santa Rosa, California.

All gifts are to be invested by the Foundation, under the rules and regulations adopted by the Foundation's Board of Directors, with the right to invest and reinvest as occasion dictates.

The annual income shall be used to provide general scholarships for students at the Columbus Campus of The Ohio State University. These scholarships will be awarded by the Office of Student Financial Aid.

It is the desire of the donor that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Foundation Board in consultation with the president of The Ohio State University in order to carry out the desire of the donor.

\$302,485.00

The Harlan Hatcher Memorial Award Fund for Academic Excellence

The Harlan Hatcher Memorial Award Fund for Excellence was established July 12, 2002, in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with gifts from Mrs. Anne Hatcher and her family from Ann Arbor, Michigan.

All gifts are to be invested by the Foundation, under the rules and regulations adopted by the Foundation's Board of Directors, with the right to invest and reinvest as occasion dictates.

REPORT ON UNIVERSITY DEVELOPMENT (contd)

THE OHIO STATE UNIVERSITY FOUNDATION (contd)

Establishment of Named Endowed Funds (contd)

The Harlan Hatcher Memorial Award Fund for Academic Excellence (contd)

The annual income shall be used to provide awards for up to five outstanding faculty members in the Colleges of Arts and Sciences as selected by the provost on recommendations from the executive dean of the Colleges of the Arts and Sciences.

It is the desire of the donors that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Foundation Board in consultation with the appropriate college dean in order to carry out the desire of the donors.

\$100,000.00

**The Raul A. Hernandez, Jr., M.D. Nephrology and
Critical Care Medicine Fellowship Fund**

The Raul A. Hernandez, Jr., M.D. Nephrology and Critical Care Medicine Fellowship Fund was established July 12, 2002, in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with a gift from Raul A. Hernandez (B.S.Bus.Admin. 1983, M.D. 1989, Internal Medicine Residency 1992, and Nephrology Fellowship 1992-1994) and Constance McCourry Hernandez (B.S.Nurs. 1989), Nashport, Ohio.

All gifts are to be invested by the Foundation, under the rules and regulations adopted by the Foundation's Board of Directors, with the right to invest and reinvest as occasion dictates.

The annual income shall be used to provide a joint fellowship in the Divisions of Nephrology and Pulmonary and Critical Care Medicine in the Department of Internal Medicine for the training of physicians in nephrology and critical care medicine. Distribution shall be made at the recommendation of the directors of the Divisions of Nephrology and Pulmonary and Critical Care Medicine in consultation with the chairperson of the Department of Internal Medicine and the senior vice president for health sciences and dean of the College of Medicine and Public Health.

It is the desire of the donors that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Foundation Board in consultation with the directors of the Divisions of Nephrology and Pulmonary and Critical Care Medicine, the chairperson of the Department of Internal Medicine, and the senior vice president for health sciences and dean of the College of Medicine and Public Health in order to carry out the desire of the donors.

\$78,500.00

The Mildred Munday Scholarship Fund in Women's Studies

The Mildred Munday Scholarship Fund in Women's Studies in the College of Humanities was established July 12, 2002, in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with gifts from numerous donors in memory of Mildred Munday.

All gifts are to be invested by the Foundation, under the rules and regulations adopted by the Foundation's Board of Directors, with the right to invest and reinvest as occasion dictates.

REPORT ON UNIVERSITY DEVELOPMENT (contd)

THE OHIO STATE UNIVERSITY FOUNDATION (contd)

Establishment of Named Endowed Funds (contd)

The Mildred Munday Scholarship Fund in Women's Studies (contd)

The annual income shall be used to provide a scholarship for students in the Department of Women's Studies. The scholarship will be awarded in consultation with the University Committee on Student Financial Aid.

It is the desire of the donors that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Foundation Board as recommended by the dean of the College of Humanities in consultation with the chairperson of the Department of Women's Studies in order to carry out the desire of the donors.

\$50,985.00

The John E. and Charlotte B. Moore 4-H, Minority Scholarship Endowment Fund

The John E. and Charlotte B. Moore 4-H, Minority Scholarship Endowment Fund was established July 12, 2002, in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with gifts from family and friends of John E. Moore to The Ohio State University College of Food, Agricultural, and Environmental Sciences.

All gifts are to be invested by the Foundation, under the rules and regulations adopted by the Foundation's Board of Directors, with the right to invest and reinvest as occasion dictates.

With all other qualities being equal or unless prohibited by specific federal or state law, the annual income from this endowment shall be used for scholarships with preference given to, but not limited to, incoming minority undergraduate students who are, or have been, members of the 4-H program. Scholarships will be awarded in consultation with the University Committee on Student Financial Aid.

It is the desire of the donors that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Foundation Board in consultation with the vice president for Agricultural Administration and University Outreach, and executive dean for Food, Agricultural and Environmental Sciences in order to carry out the desire of the donors.

\$31,697.00

The John Bruce Family Swimming Scholarship Fund

The John Bruce Family Swimming Scholarship Fund was established July 12, 2002, in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with seed gifts from Dr. Timothy Duffey, Sean Sentz and Joseph Budde and other gifts from family, friends, and former athletes of the late swimming coach.

All gifts are to be invested by the Foundation, under the rules and regulations adopted by the Foundation's Board of Directors, with the right to invest and reinvest as occasion dictates.

The annual income shall be used to supplement the student grant-in-aid scholarship costs of a student-athlete who is a member of the men's swimming team pursuing an undergraduate degree at The Ohio State University. The recipient will be selected by the director of the Department of Athletics in consultation with the University Committee on Student Financial Aid.

REPORT ON UNIVERSITY DEVELOPMENT (contd)

THE OHIO STATE UNIVERSITY FOUNDATION (contd)

Establishment of Named Endowed Funds (contd)

The John Bruce Family Swimming Scholarship Fund (contd)

It is the desire of the donors that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Foundation Board as recommended by the director of the Department of Athletics in order to carry out the desire of the donors.

\$29,096.00

The Raymond and Shirley Reiser/John F. Lyman Fund

The Raymond and Shirley Reiser/John F. Lyman Fund was established July 12, 2002, in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with gifts from Dr. Raymond Reiser (Ph.D. 1936) and Shirley Reiser, College Station, Texas, in memory of Dr. John F. Lyman.

All gifts are to be invested by the Foundation, under the rules and regulations adopted by the Foundation's Board of Directors, with the right to invest and reinvest as occasion dictates.

The annual income shall be used for scholarships for graduate students in the Department of Food Science and Technology who are involved in research of Dr. Lyman's interest, such as nutrition or nutritional biochemistry, especially of lipids. Selection of recipients shall be made by the chairperson of the Department of Food Science and Technology, or his/her designee in consultation with the University Committee on Student Financial Aid.

It is the desire of the donors that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Foundation Board in consultation with the vice president for Agricultural Administration and University Outreach, and executive dean for Food, Agricultural and Environmental Sciences, and the chairperson of the Department of Food Science and Technology in order to carry out the desire of the donors.

\$25,587.00

The Gary L. Wilson Athletic Scholarship Fund

The Gary L. Wilson Athletic Scholarship Fund was established July 12, 2002, in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with gifts from Gary L. Wilson of Fort Myers, Florida. The scholarship is established in memory of Gary's father, Ernie Wilson, a great supporter of the Ohio State Buckeyes.

All gifts are to be invested by the Foundation, under the rules and regulations adopted by the Foundation's Board of Directors, with the right to invest and reinvest as occasion dictates.

The annual income shall be used to supplement scholarship costs of a student athlete who is a member of the football team and pursuing an undergraduate degree at The Ohio State University. The recipient shall be selected by the director of the Department of Athletics in consultation with the University Committee on Student Financial Aid.

It is the desire of the donor that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Foundation Board as recommended by the director of the Department of Athletics in order to carry out the desire of the donor.

\$25,579.00

REPORT ON UNIVERSITY DEVELOPMENT (contd)

THE OHIO STATE UNIVERSITY FOUNDATION (contd)

Establishment of Named Endowed Funds (contd)

OSU Men's Varsity "O" Alumni Association Athletic Scholarship Fund

The OSU Men's Varsity "O" Alumni Association Athletic Scholarship Fund be established July 12, 2002, in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with gifts from OSU Men's Varsity "O" Alumni Association, Columbus, Ohio.

All gifts are to be invested by the Foundation, under the rules and regulations adopted by the Foundation's Board of Directors, with the right to invest and reinvest as occasion dictates.

The annual income shall be used to supplement the grant-in-aid scholarship costs of a scholar-athlete who is a member of a men's varsity team pursuing an undergraduate degree at The Ohio State University. Recipients shall be selected by the director of the Department of Athletics in consultation with the University Committee on Student Financial Aid.

It is the desire of the donors that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Foundation Board as recommended by the director of the Department of Athletics in order to carry out the desire of the donors.

\$25,025.00

The Keith M. Russ Chemical Engineering Endowment Fund

The Keith M. Russ Chemical Engineering Endowment Fund was established July 12, 2002, in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with a gift from Keith M. Russ (M.S., Chemical Engineering, 1988, Ph.D. 1991) of Corpus Christi, Texas.

All gifts are to be invested by the Foundation, under the rules and regulations adopted by the Foundation's Board of Directors, with the right to invest and reinvest as occasion dictates.

The annual income shall be used to advance the quality of teaching and research within the Department of Chemical Engineering. The department's chairperson will be responsible for allocating these discretionary earnings.

It is the desire of the donor that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Foundation Board in consultation with the appropriate department chairperson, or college dean in order to carry out the desire of the donor.

\$25,000.00

David and Ellen Ryan Athletic Scholarship Fund

The David and Ellen Ryan Athletic Scholarship Fund was established July 12, 2002, in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with gifts from David and Ellen Ryan of New Albany, Ohio, and Naples, Florida.

All gifts are to be invested by the Foundation, under the rules and regulations adopted by the Foundation's Board of Directors, with the right to invest and reinvest as occasion dictates.

REPORT ON UNIVERSITY DEVELOPMENT (contd)

THE OHIO STATE UNIVERSITY FOUNDATION (contd)

Establishment of Named Endowed Funds (contd)

David and Ellen Ryan Athletic Scholarship Fund (contd)

The annual income shall be used to provide scholarship costs of student athletes who are pursuing undergraduate degrees at The Ohio State University and participating on the varsity men's hockey team. Recipients shall be selected by the director of the Department of Athletics in consultation with the University Committee on Student Financial Aid.

It is the desire of the donors that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Foundation Board as recommended by the director of the Department of Athletics in order to carry out the desire of the donors.

\$25,000.00

Change in Name and Description of Named Endowed Funds

The Herb and DeeDee 1988 Glimcher Distinguished Visiting Professorship Fund

The Herb and Dee Dee Glimcher Visiting Professorship Fund was established February 5, 1999, in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, from the generous support of Herb and DeeDee (B.S.Land.Arch. 1988) Glimcher. The name and description were revised on July 12, 2002.

All gifts are to be invested by the Foundation, under the rules and regulations adopted by the Foundation's Board of Directors, with the right to invest and reinvest as occasion dictates.

The annual income shall be used to support a distinguished visiting professor recommended by the head of the Landscape Architecture program and the director of the Austin E. Knowlton School of Architecture. The Glimcher Professorship will be used to bring internationally acclaimed landscape architects, designers, and artists to the Knowlton School of Architecture for one or more quarters. While in residence, the Glimcher Professor will teach design studios, seminars, and/or lecture courses, interact with faculty and students, and make at least one public presentation. When appropriate, the Glimcher Professor will also provide guidance for the development of the Chadwick Arboretum.

It is the desire of the donors that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Foundation Board in consultation with the director of the Knowlton School of Architecture and the head of the Landscape Architecture program, or program administrative officer in order to carry out the desire of the donors. It is the desire of the donors that this fund only be utilized by landscape architecture, not the College of Engineering or other administrative units where landscape architecture may be associated.

**The Reginald Morse Scholarship Fund
for Mount Scholars in the College of Engineering**

The Morse Scholarship for Mount Scholars/Leaders in the College of Engineering was established December 6, 2001, in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with gifts from Alan Gordon Morse (B.A. 1980, Communication) Westerville, Ohio. The name and description were revised on July 12, 2002.

REPORT ON UNIVERSITY DEVELOPMENT (contd)

THE OHIO STATE UNIVERSITY FOUNDATION (contd)

Change in Name and Description of Named Endowed Funds (contd)

**The Reginald Morse Scholarship Fund
for Mount Scholars in the College of Engineering (contd)**

All gifts are to be invested by the Foundation, under the rules and regulations adopted by the Foundation's Board of Directors, with the right to invest and reinvest as occasion dictates.

The annual income shall be used to provide one or more scholarships to those students who are Mount Scholar/Leaders majoring in engineering with first preference being students majoring in electrical engineering. The scholarship will be awarded annually to a sophomore, junior, or senior Mount Scholar in consultation with the College of Engineering, Mount Leadership Society, and the University Committee on Student Financial Aid.

It is the desire of the donor that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Foundation Board in consultation with the appropriate college dean, school director, department chairperson, or program administrative officer in order to carry out the desire of the donor.

**EMPLOYMENT OF ARCHITECTS/ENGINEERS,
EMPLOYMENT OF CONSTRUCTION MANAGERS,
REQUEST FOR CONSTRUCTION BIDS, AND
REPORT OF AWARD OF CONTRACTS**

Resolution No. 2003-8

LARKINS HALL REPLACEMENT
MECHANICAL ENGINEERING BUILDING REPLACEMENT
HAGERTY HALL REHABILITATION
WEXNER CENTER – BUILDING RENOVATIONS
WISEMAN HALL – EXPAND COMPREHENSIVE CANCER CENTER
STUDENT FAMILY COMMUNITY CENTER AT BUCKEYE VILLAGE
MEDICAL CENTER – CENTRAL STERILE SUPPLY DEPARTMENT
MEDICAL CENTER - HELIPAD ON RHODES HALL
WOSU RENOVATION
OARNET – HVAC AND ELECTRICAL IMPROVEMENTS
KNOWLTON SCHOOL OF ARCHITECTURE
NEWARK CAMPUS – REESE CENTER
AUTOMATED TRANSPORT SYSTEM FOR UNIVERSITY MEDICAL CENTER – PHASE I
CRAMBLETT HALL – OB/GYN CLINIC
CAMPUS GROUNDS – WOODY HAYES DRIVE REBUILD

Synopsis: Authorization to employ architect/engineering firms for the Medical Center – Central Sterile Supply Department, Medical Center – Helipad on Rhodes Hall, and WOSU Renovation projects, employ construction managers for the Medical Center – Central Sterile Supply Department and Medical Center – Helipad on Rhodes Hall projects, request construction bids for the Larkins Hall Replacement, Mechanical Engineering Building Replacement, Hagerty Hall Rehabilitation, Wexner Center Building Renovations, Wiseman Hall – Expand Comprehensive Cancer Center, Student Family Community Center at Buckeye Village, Medical Center – Central Sterile Supply Department, Medical Center – Helipad on Rhodes Hall, WOSU Renovation, and OARnet – HVAC and Electrical Improvements projects, and acceptance of the report of award of contracts for Knowlton School of Architecture, Newark Campus – Reese Center, Automated Transport System for University Medical Center – Phase I, Cramblett Hall – OB/GYN Clinic, and Campus Grounds – Woody Hayes Drive Rebuild projects is requested.

**EMPLOYMENT OF ARCHITECTS/ENGINEERS,
EMPLOYMENT OF CONSTRUCTION MANAGERS,
REQUEST FOR CONSTRUCTION BIDS, AND
REPORT OF AWARD OF CONTRACTS (contd)**

WHEREAS the University desires to proceed with the replacement of the existing Larkins Hall with an all new recreation center to be complete in two construction phases and housing a 50 meter competition pool and diving well, recreation, class and lap pools as well as 16 basketball courts, 6 multipurpose rooms, squash courts, racquetball courts, 28,000 square foot fitness center, and all new administrative and academic offices, classrooms and laboratory spaces; and

WHEREAS the total estimated project cost is \$139,799,657 and the total estimated construction cost is \$106,936,554, with funding provided by University bond proceeds (\$85,730,154) with debt service paid by fees for use of the facility, House Bill 640 (\$5,000,000), House Bill 850 (\$3,000,000), anticipated future capital appropriations (\$28,029,375), Department of Athletics (\$17,658,021) through University bond proceeds with debt service paid by Athletics, and central University Funds (\$382,107); and

WHEREAS the financing costs for this project have not yet been determined because of the uncertainty of the timing of, and level of support in, the anticipated State capital appropriations bill, and as a result the University will report back to the Board of Trustees regarding the financing costs once they are known; and

WHEREAS the University desires to demolish the existing Robinson Laboratory and construct new space for the Mechanical Engineering Department on the Robinson Laboratory site; and

WHEREAS the total estimated project cost is \$72,500,000 and the total estimated construction cost is \$51,092,993, with funding provided by House Bill 640 (\$2,500,000), House Bill 850 (\$1,000,000), future capital appropriations (\$49,000,000), and gifts to the College of Engineering (\$20,000,000); and

WHEREAS the University desires to renovate Hagerty Hall to house a portion of the College of Humanities; and

WHEREAS the total estimated project cost is \$24,400,000 and the total estimated construction cost is \$17,972,840, with funding provided by House Bill 748 (\$1,000,000), House Bill 850 (\$18,500,000), gifts to the College of Humanities (\$1,900,000), and central University funds (\$3,000,000); and

WHEREAS the University desires to renovate and retrofit the Wexner Center for the Arts to correct building envelope and system problems; and

WHEREAS the total estimated project cost is \$12,000,000 and the total estimated construction cost is \$8,600,000, with funding provided by House Bill 640 (\$1,000,000) and University bond proceeds (\$11,000,000) with debt service paid by central University funds; and

WHEREAS the University desires to construct a two-story addition to the existing comprehensive cancer center housed in Wiseman Hall; and

WHEREAS the total estimated project cost is \$7,339,702 and the total estimated construction cost is \$6,025,920, with funding provided by gifts to the James Cancer Hospital; and

WHEREAS the University desires to construct of a new community facility to provide an integrated approach to addressing the needs of the University Child Care Center and ACCESS Program for single parents and providing a new community facility at Buckeye Village, including space for administrative and maintenance offices, satellite child care center, recreation area, laundry facility, computer lab, aerobic fitness room, and meeting rooms; and

**EMPLOYMENT OF ARCHITECTS/ENGINEERS,
EMPLOYMENT OF CONSTRUCTION MANAGERS,
REQUEST FOR CONSTRUCTION BIDS, AND
REPORT OF AWARD OF CONTRACTS (contd)**

WHEREAS the total estimated project cost is \$5,400,000 and the total estimated construction cost is \$4,559,130, with funding provided by University bond proceeds with debt service paid by Office of Student Affairs; and

WHEREAS University Hospitals desires to construct a new Central Sterile Supply facility integrated into the ground floor of the new Ross Heart Hospital; and

WHEREAS the total estimated project cost is \$5,750,000 and the total estimated construction cost is \$4,700,000, with funding provided by University Hospitals; and

WHEREAS University Hospitals desires to construct a helipad on the southwest corner of Rhodes Hall Tower, extend the two elevators from the Emergency Department to serve the helipad, and provide other related renovations/relocations to accommodate this construction; and

WHEREAS the total estimated project cost is \$2,927,838 and the total estimated construction cost is \$2,357,357, with funding provided University Hospitals; and

WHEREAS the University desires to renovate space for the WOSU Stations in the Fawcett Center for Tomorrow to create radio studios, a radio reception area, teleconference rooms, and space for digital transmission equipment; and

WHEREAS the total estimated project cost is \$864,460 and the total estimated construction cost is \$705,682, with funding provided by a University line of credit to be repaid by the WOSU Stations; and

WHEREAS the University desires to improve HVAC and electrical services to the OARnet facility housed at 1454 West Lane Avenue; and

WHEREAS the total estimated project cost is \$250,616 and the total estimated construction cost is \$224,768, with funding provided by House Bill 640; and

WHEREAS resolutions adopted by the Board of Trustees on February 4, 2000, August 30, 2000, February 2, 2001, and November 2, 2001, authorized the President and/or Vice President for Business and Finance to request construction bids in accordance with established University procedures, and if satisfactory bids were received to award contracts for the following projects:

(* Recommended alternates included in these amounts)

Knowlton School of Architecture

This project constructs a new facility to house the programs of Architecture, City and Regional Planning, and Landscape Architecture. The total project cost is \$33,000,000; funding is provided by House Bill 748 (\$233,280), House Bill 790 (\$1,000,000), House Bill 850 (\$9,000,000), University bond proceeds (\$22,668,320) with debt service paid by gifts to the Knowlton School of Architecture, and Department of Physical Facilities (\$98,400). The estimated completion date is December 2003. The contracts awarded are as follows:

Design:	Wandel & Schnell Architects, Columbus, Ohio
General Contract:	P. J. Dick, Pittsburgh, Pennsylvania
Amount:	\$17,895,000 *
Estimate:	\$18,172,857 *
Plumbing Contract:	Aggressive Mechanical, Columbus, Ohio
Amount:	\$1,017,000 * +
Estimate:	\$906,208 *

**EMPLOYMENT OF ARCHITECTS/ENGINEERS,
EMPLOYMENT OF CONSTRUCTION MANAGERS,
REQUEST FOR CONSTRUCTION BIDS, AND
REPORT OF AWARD OF CONTRACTS (contd)**

Knowlton School of Architecture (contd)

HVAC Contract:	J. A. Guy, Dublin, Ohio
Amount:	\$3,626,000 * +
Estimate:	\$3,610,620 *
Electric Contract:	Buckeye Electric, Dayton, Ohio
Amount:	\$3,101,800 *
Estimate:	\$3,737,130 *
Total All Contracts:	\$25,639,800
Contingency Allowance:	\$2,022,570
Total Project Cost:	\$33,000,000

+ The Plumbing and HVAC contracts are in excess of the filed estimate of cost; however the total price of all contracts is within 10% of the total estimate of cost and is recommended for award as provided by Section 153.12 of the Revised Code.

Newark Campus – Reese Center

This project constructs an instructional technology center to support the academic mission, provide student gathering/activity spaces, and serve as a conference center for the Newark Campus community. The total project cost is \$13,870,095; funding is provided by future capital appropriations to the University (\$3,445,050) and to the Central Ohio Technical College (\$2,266,530) and gifts from the Newark Campus Foundation (\$8,158,515). The estimated completion date is August 2003. The contracts awarded are as follows:

Design:	The Collaborative, Toledo, Ohio
General Contract:	The Altman Company, Columbus, Ohio
Amount:	\$7,475,169 *
Estimate:	\$7,523,186 *
Plumbing Contract:	Kel-Par Company, Inc., Newark, Ohio
Amount:	\$445,000 +
Estimate:	\$339,682
HVAC Contract:	Romanoff Mechanical, LLC, Columbus, Ohio
Amount:	\$1,528,000 +
Estimate:	\$1,361,986
Electric Contract:	Superior Electric, Columbus, Ohio
Amount:	\$1,133,619 *
Estimate:	\$1,250,224 *
Total All Contracts:	\$10,581,788 *
Contingency Allowance:	\$774,995
Total Project Cost:	\$13,870,095

+ The Plumbing and HVAC contracts are in excess of the filed estimate of cost; however the total price of all contracts is within 10% of the total estimate of cost and is recommended for award as provided by Section 153.12 of the Revised Code.

Automated Transport System for University Medical Center – Phase I

This project provides various renovations within the Medical Center to accommodate the new automated material handling system. The total project cost is \$4,187,175; funding is provided by University Hospitals. The estimated completion date is December 2002. The contracts awarded are as follows:

**EMPLOYMENT OF ARCHITECTS/ENGINEERS,
EMPLOYMENT OF CONSTRUCTION MANAGERS,
REQUEST FOR CONSTRUCTION BIDS, AND
REPORT OF AWARD OF CONTRACTS (contd)**

Automated Transport System for University Medical Center – Phase I (contd)

Design:	Schooley Caldwell Associates, Columbus, Ohio
General Contract:	Albert M. Higley Company, Columbus, Ohio
Amount:	\$2,006,000 *
Estimate:	\$2,659,771 *
Plumbing Contract:	J. A. Guy, Dublin, Ohio
Amount:	\$297,000 +
Estimate:	\$174,461
HVAC Contract:	Martina Metal, Columbus, Ohio
Amount:	\$374,000 +
Estimate:	\$255,871
Electric Contract:	Knight Electric, Columbus, Ohio
Amount:	\$210,824 *
Estimate:	\$223,307 *
Asbestos Abatement Contract:	AHC, Inc., Columbus, Ohio
Amount:	\$74,962
Estimate:	\$97,300
Total All Contracts:	\$2,962,786
Contingency Allowance:	\$ 496,939
Total Project Cost:	\$ \$4,187,175

+ The Plumbing and HVAC contracts are in excess of the filed estimate of cost; however the total price of all contracts is within 10% of the total estimate of cost and is recommended for award as provided by Section 153.12 of the Revised Code.

Cramblett Hall – OB/GYN Clinic

This project renovates space on the second floor of Cramblett Hall to expand the OB/GYN Clinic area. The total project cost is \$1,821,025; funding is provided by University Hospitals. The estimated completion date is January 2003. The contracts awarded are as follows:

Design:	Karlsberger Companies, Columbus, Ohio
General Contract:	Corna/Kokosing Construction Company, Columbus, Ohio
Amount:	\$814,700 *
Estimate:	\$894,940 *
Plumbing Contract:	Gutridge Plumbing, Newark, Ohio
Amount:	\$195,000
Estimate:	\$195,893
HVAC Contract:	Martina Metal, Columbus, Ohio
Amount:	\$136,000
Estimate:	\$238,137
Electric Contract:	Romanoff Electric, Columbus, Ohio
Amount:	\$239,209
Estimate:	\$251,896
Total All Contracts:	\$1,384,909
Contingency Allowance:	\$206,075
Total Project Cost:	\$1,821,025

Campus Grounds – Woody Hayes Drive Rebuild

The project rebuilds the section of road between Herrick Drive and Kenny Road to carry bus traffic. Many sections have failed due to heavy vehicles and improper drainage. The total project cost is \$1,214,012; funding is provided by House Bill 640. The estimated completion date is September 2002. The contracts awarded are as follows:

**EMPLOYMENT OF ARCHITECTS/ENGINEERS,
EMPLOYMENT OF CONSTRUCTION MANAGERS,
REQUEST FOR CONSTRUCTION BIDS, AND
REPORT OF AWARD OF CONTRACTS (contd)**

Campus Grounds – Woody Hayes Drive Rebuild (contd)

Design:	HNTB Architects, Cleveland, Ohio
General Contract:	Nicholas Savko and Sons, Columbus, Ohio
Amount:	\$813,845
Estimate:	\$957,198
Electric Contract:	Complete General Construction, Columbus, Ohio
Amount:	\$159,246
Estimate:	\$200,330
Total All Contracts:	\$973,091
Contingency Allowance:	\$97,309
Total Project Cost:	\$1,214,012

NOW THEREFORE

BE IT RESOLVED, That the Interim President and/or Senior Vice President for Business and Finance be authorized to select qualified architectural/engineering firms as necessary for the Medical Center – Central Sterile Supply Department, Medical Center – Helipad on Rhodes Hall, and WOSU Renovation projects and that the fees for these services be negotiated between the firms selected and the University; and

BE IT FURTHER RESOLVED, That the Interim President and/or Senior Vice President for Business and Finance be authorized to select a qualified construction manager firms as necessary for the Medical Center – Central Sterile Supply Department and Medical Center – Helipad on Rhodes Hall projects and that the fees for these services be negotiated between the firm selected and the University; and

BE IT FURTHER RESOLVED, That the Interim President and/or Senior Vice President for Business and Finance be authorized to request construction bids for the Larkins Hall Replacement, Mechanical Engineering Building Replacement, Hagerty Hall Rehabilitation, Wexner Center – Building Renovations, Student Family Community Center at Buckeye Village, Medical Center – Central Sterile Supply Department, Medical Center – Helipad on Rhodes Hall, WOSU Renovation, and OARnet – HVAC and Electrical Improvements projects in accordance with established University and State of Ohio procedures, and if satisfactory bids are received, to issue contracts with all actions to be reported to this Board at the appropriate time; and

BE IT FURTHER RESOLVED, That, subject to an amended memorandum of understanding among the offices involved, the Interim President and/or Senior Vice President for Business and Finance be authorized to request construction bids for the Wiseman Hall – Expand Comprehensive Cancer Center project in accordance with established University and State of Ohio procedures, and if satisfactory bids are received, to issue contracts with all actions to be reported to this Board at the appropriate time; and

BE IT FURTHER RESOLVED, That pursuant to the actions previously authorized by the Board, the report of award of contracts and establishment of contingency funds for the Knowlton School of Architecture, Newark Campus – Reese Center, Automated Transport System for University Medical Center – Phase I, Cramblett Hall – OB/GYN Clinic, and Campus Grounds – Woody Hayes Drive Rebuild projects is hereby accepted.

(See Appendix I for background material and maps, page 81.)

PURCHASE OF REAL PROPERTY

Resolution No. 2003-9

**4.3 ACRES ADJACENT TO THE MARION CAMPUS
MARION, OHIO**

Synopsis: Authorization for the purchase of 4.3 acres of unimproved real property adjacent to the northwest corner of the Marion Campus is proposed.

WHEREAS the Board of Trustees of The Ohio State University is presented with the opportunity to acquire approximately 4.3 acres of unimproved real property in the City of Marion adjacent to the western boundary of the Marion Campus; and

WHEREAS the property has an appraised value of \$65,000 and the property owners, Lawrence Babich and Carl Fry, Trustees, acting through and with Karen Seckel, Executor of the Estate of James C. Neff, will sell the property at that price; and

WHEREAS the appropriate University offices have determined that the acquisition of this property is in the best interest of the University; and

WHEREAS funds for the purchase and related costs will be provided by the Marion Campus:

NOW THEREFORE

BE IT RESOLVED, That the Interim President and/or Senior Vice President for Business and Finance be authorized to purchase the approximately 4.3 acres described above in the name of the State of Ohio for the use and benefit of The Ohio State University at a cost not to exceed \$65,000, plus acquisition costs, upon such terms and conditions as are deemed to be in the best interest of the University, subject to the University receiving the necessary approvals from the Ohio Board of Regents and the State Controlling Board.

(See Appendix II for background material and map, page 111.)

ACQUISITION OF LEASEHOLD INTEREST

Resolution No. 2003-10

**COMMERCIAL AND RESIDENTIAL PROPERTY
1656-1660 NEIL AVENUE
COLUMBUS, OHIO**

Synopsis: Authorization for the purchase of leasehold interest in property at 1656-1660 Neil Avenue, within the South Campus Acquisition Area, is proposed.

WHEREAS the Board of Trustees of The Ohio State University is presented with the opportunity to acquire the leasehold interest in property at 1656-1660 Neil Avenue, adjacent to the Younkin Success Center; and

WHEREAS the fee simple to the property belongs to the University, but control of the property is through a perpetual lease held by Campus Partners for Community Urban Redevelopment, Inc.; and

ACQUISITION OF LEASEHOLD INTEREST (contd)

WHEREAS Campus Partners has agreed to sell the leasehold rights to the University at a price of \$500,000, which is consistent with the appraised value; and

WHEREAS gaining control of this property is in accordance with the Master Plan for the South Campus Acquisition Area, and the appropriate University offices have determined that the acquisition of this property is in the best interest of the University; and

WHEREAS the funds for the acquisition will be provided by the Land Purchase Account:

NOW THEREFORE

BE IT RESOLVED, That the Interim President and/or the Senior Vice President for Business and Finance be authorized to purchase the leasehold interest in the property at 1656-1660 Neil Avenue at a price not to exceed \$500,000, plus acquisition costs, upon such terms and conditions as are deemed to be in the best interest of the University, subject to the University receiving the necessary approvals from the Ohio Board of Regents and the State Controlling Board.

(See Appendix III for background material and map, page 113.)

ACQUISITION OF LEASEHOLD INTEREST

Resolution No. 2003-11

COMMERCIAL PROPERTY
1440 HAWTHORNE AVENUE
COLUMBUS, OHIO

Synopsis: Authorization to acquire the leasehold interest in the improved real property located at 1440 Hawthorne Avenue, Columbus, Ohio, on land owned by The Ohio State University is proposed.

WHEREAS in March 1999 the Board of Trustees authorized the purchase of certain real property from Quorum Health Group, and the University subsequently acquired all real property owned by Quorum Health Group under the control of Park Medical Center, subject to the parcel at 1440 Hawthorne Avenue being subject to a certain ground lease; and

WHEREAS the University Hospitals Board has determined that the purchase of this leasehold interest would support the services offered by University Hospitals East and the appropriate University officials have determined that the acquisition of this leasehold interest is in the best interest of the University and will give the University unencumbered fee simple title to the improved real property; and

WHEREAS it may be preferable for Med Ohio Health, Inc., to acquire the leasehold interest and Med Ohio Health desires to facilitate that acquisition upon receipt of a loan from the University sufficient for this purpose:

NOW THEREFORE

BE IT RESOLVED, That the Interim President and/or Senior Vice President for Business and Finance, in consultation with the Senior Vice President for Health Sciences and Dean of the College of Medicine and Public Health, is hereby authorized to purchase the leasehold interest in the improved real estate at 1440 Hawthorne Avenue upon such terms and conditions as are deemed to be in the best interest of the University, including the purchase price to be finally negotiated plus usual and customary closing costs and other acquisition costs, subject to the University receiving any necessary approvals from the Ohio Board of Regents and the State Controlling Board; and

ACQUISITION OF LEASEHOLD INTEREST (contd)

BE IT FURTHER RESOLVED, That, if it is deemed impracticable for the University to undertake this transaction and deemed appropriate for Med Ohio Health to purchase this leasehold interest, the Interim President and/or Senior Vice President for Business and Finance, in consultation with the Senior Vice President for Health Sciences and Dean of the College of Medicine and Public Health, be authorized to loan to Med Ohio Health, Inc., funds not to exceed the negotiated purchase price plus the usual and customary closing costs and other acquisition costs for the purpose of Med Ohio Health, Inc., purchasing this leasehold interest, with the loan to be subject to such terms and conditions as are deemed to be in the best interest of the University; and

BE IT FURTHER RESOLVED, That the Interim President and/or Senior Vice President for Business and Finance be and hereby is authorized to execute any and all documents, including but not limited to loan agreements, purchase agreements and assignments, as are requisite in his judgment in the circumstances to complete this transaction.

(See Appendix IV for map, page 115.)

CONSTRUCTION EASEMENT

Resolution No. 2003-12

**ARSHOT INVESTMENT CORPORATION
UNIMPROVED PROPERTY AT UNIVERSITY AIRPORT**

Synopsis: Authorization to grant a temporary construction easement to Arshot Investment Corporation for the construction of storm and sanitary sewer improvements across University property located at the University Airport is proposed.

WHEREAS the Arshot Investment Corporation has requested a temporary construction easement for the construction of storm and sanitary sewer improvements across a 21.25 acre parcel of unimproved University property at the eastern edge of the University Airport; and

WHEREAS the appropriate University offices have determined that the grant of this easement is in the best interest of the University:

NOW THEREFORE

BE IT RESOLVED, That the Interim President and/or Senior Vice President for Business and Finance be authorized to request the Deputy Director of Public Works of the Department of Administrative Services, pursuant to his or her statutory duties, to act for and on behalf of the University in developing and executing the necessary legal instruments to provide for this easement.

(See Appendix V for background material and map, page 117.)

SANITARY AND STORM SEWER EASEMENT

Resolution No. 2003-13

**CITY OF COLUMBUS
UNIMPROVED PROPERTY AT UNIVERSITY AIRPORT**

Synopsis: Authorization to grant two easements to the City of Columbus for operation of storm and sanitary sewer improvements across University property located at the University Airport is proposed.

WHEREAS the City of Columbus has requested an easement for the operation, maintenance, and repair of storm sewer improvements and an easement for operation, maintenance, and repair of sanitary sewer improvements, with both easements running across a 21.25 acre parcel of unimproved University property at the eastern edge of the University Airport; and

WHEREAS, for each easement, Columbus has requested a perpetual easement, which the University is willing to grant if the University receives the necessary authorization from the Ohio Department of Administrative Services and the General Assembly; otherwise, the term of each easement would be for 25 years; and

WHEREAS the appropriate University offices have determined that the grant of these easements is in the best interest of the University:

NOW THEREFORE

BE IT RESOLVED, That the Interim President and/or Senior Vice President for Business and Finance be authorized to request the Deputy Director of Public Works of the Department of Administrative Services, pursuant to his or her statutory duties, to act for and on behalf of the University in developing and executing the necessary legal instruments to provide for these easements.

(See Appendix VI for background material and map, page 119.)

HIGHWAY EASEMENT

Resolution No. 2003-14

**OHIO DEPARTMENT OF TRANSPORTATION
UNIMPROVED PROPERTY WEST OF THE OLENTANGY RIVER
FOR RAMPS CONNECTING TO STATE ROUTE 315**

Synopsis: Authorization to grant a perpetual highway easement to the Ohio Department of Transportation for construction and operation of a partial interchange between State Route 315 and Cannon Drive is proposed.

WHEREAS the Ohio Department of Transportation has requested a perpetual easement for construction and operation of a partial interchange between State Route 315 and Cannon Drive, across approximately 4.5 acres of unimproved University land west of the Olentangy River, north of King Avenue, and south of Herrick Drive; and

WHEREAS the appropriate University offices have determined that the grant of this easement is in the best interest of the University:

NOW THEREFORE

HIGHWAY EASEMENT (contd)

BE IT RESOLVED, That the Interim President and/or Senior Vice President for Business and Finance be authorized, pursuant to Ohio Revised Code Section 3335.11, to grant to the Ohio Department of Transportation a perpetual highway easement over approximately 4.5 acres of unimproved University land for construction and operation of a partial interchange between State Route 315 and Cannon Drive.

(See Appendix VII for background material and map, page 121.)

APPROVAL OF BUSINESS PLANS

Resolution No. 2003-15

**ROSS HEART HOSPITAL
BIOMEDICAL RESEARCH TOWER**

Synopsis: Approval of business plans required for proceeding with design and construction for the Ross Heart Hospital and Biomedical Research Tower is requested.

WHEREAS the Board of Trustees approvals on June 29, 2001, for design of the Biomedical Research Tower (formerly the Biomedical Research Facility) and on March 1, 2002, for construction of the Ross Heart Hospital required University approval of a business/financial plan for each project before proceeding with these projects; and

WHEREAS the business/financial plan for each project now has been approved by the Interim President and other appropriate University officials; and

NOW THEREFORE

BE IT RESOLVED, That the Board of Trustees hereby approves these business/financial plans, including the project budgets; and

BE IT FURTHER RESOLVED, That the total budget for each project cannot be modified without a specific resolution adopted by the Board of Trustees.

(See Appendix VIII for background material, page 123.)

**AMENDMENT AND ESTABLISHMENT OF
SUPPLEMENTAL RETIREMENT PLANS**

Resolution No. 2003-16

Synopsis: Authorization for The Ohio State University to amend The Ohio State University Supplemental Qualified Retirement Plan ("the Plan") and to give the Senior Vice President for Business and Finance, in consultation with the Office of Human Resources and the Office of Legal Affairs, authority to establish eligible deferred compensation plans under Internal Revenue Code Section 457 to provide additional investment options for employees is proposed.

WHEREAS the Board of Trustees of The Ohio State University approved the adoption of The Ohio State University Supplemental Qualified Retirement Plan (hereinafter referred to as the "Qualified Plan"), effective as of July 1, 2001; and

**AMENDMENT AND ESTABLISHMENT OF
SUPPLEMENTAL RETIREMENT PLANS (contd)**

WHEREAS the University reserved the right in Section 10.01 of the Qualified Plan to amend said Plan by action of its Board of Trustees; and

WHEREAS this Board is now desirous of approving a formal amendment to the Qualified Plan in order to make certain substantive, technical, and administrative changes therein and to comply with Internal Revenue Service requirements; and

WHEREAS the Internal Revenue Code was amended in 2001 allowing employees an opportunity to defer more compensation into certain types of deferred compensation plans under Section 457 of the Internal Revenue Code; and

WHEREAS the University desires to give employees more investment options by establishing additional eligible deferred compensation plans under Internal Revenue Code Section 457 plans to permit employees to defer additional compensation to increase their retirement benefits:

NOW THEREFORE

BE IT RESOLVED, That the First Amendment to the Qualified Plan, a copy of which is on file with the Office of the Board of Trustees, is hereby approved and adopted effective January 1, 2002; and

BE IT FURTHER RESOLVED, That the Senior Vice President for Business and Finance, in consultation with the Office of Human Resources and the Office of Legal Affairs, is hereby authorized to execute the above-mentioned Plan Amendment and any other instruments, documents, or conveyances necessary to effectuate the Amendment, to submit the Plans to the Internal Revenue Service without further ratification or action by this Board; and

BE IT FURTHER RESOLVED, That the Senior Vice President for Business and Finance, in consultation with the Office of Human Resources and the Office of Legal Affairs, in carrying out this resolution, is hereby authorized and empowered to make any necessary changes to said Plan as may be required to ensure compliance with the applicable and effective provisions of the Internal Revenue Code of 1986 and the Ohio Revised Code, and any related rules and regulations, currently in effect or as hereinafter amended, and to take such further action as may be necessary or advisable to implement this resolution without further ratification or action by this Board; and

BE IT FURTHER RESOLVED, That the Senior Vice President for Business and Finance, in consultation with the Office of Human Resources and the Office of Legal Affairs is authorized to establish additional deferred compensation plans under Internal Revenue Code Section 457 to provide additional investment options for employees, to amend existing retirement plans established under Internal Revenue Code Section 457, and to make any necessary changes to such plans as may be required to ensure compliance with the applicable and effective provisions of the Internal Revenue Code of 1986 and the Ohio Revised Code, and any related rules and regulations, currently in effect or as hereinafter amended, and to take such further action as may be necessary or advisable to implement this resolution without further ratification or action by this Board, provided that for any additional plans or amendments to any plans, all contributions to such plans shall be elective employee contributions only.

(See Appendix IX for background material, page 137.)

Upon motion of Ms. Hendricks, seconded by Ms. Longaberger, the Board of Trustees adopted the foregoing resolutions by unanimous roll call vote, cast by Messrs. Patterson, Sofia, Slane, McFerson, Borrer, and Judge Duncan, Mses. Longaberger, Hendricks, and Davidson.

PRESENTATION ON FY 2002-2003 CURRENT FUNDS BUDGET

President Edward H. Jennings:

I'd like to call now on Provost Ed Ray and Senior Vice President Bill Shkurti to present Resolution #17 and, following their presentation, I will recommend the approval of the budget.

Provost Edward J. Ray: [Overhead Presentation]

Thank you, Mr. President. We'd like to provide you with a brief summary of our recommendations for the budget for Fiscal Year 2003 and say a word or two about the budget environment that we think we'll be dealing with over the course of the next year or so.

We want to talk about our budget allocations for the next year and, as always, we want to remind ourselves that those budget allocations have to be aligned with the goals of the Academic Plan. Again, listed here are those goals: to have world-class faculty; to build extraordinary academic programs; to make Ohio State one of the leading universities in the world; to enhance the quality of the teaching and learning environment; to enhance and better serve the student body; to create a diverse university community; and to help build Ohio's future.

Let me turn to Bill and ask him to talk about the fiscal environment we find ourselves in.

Mr. William J. Shkurti:

The fiscal environment is something we've been talking about here and elsewhere over the last couple months, so I won't go into detail.

Obviously, this has been a very difficult year leading up to this budget, and I want to echo what President Jennings said about how much we appreciate the governor protecting higher education in this last round of cuts. No matter how difficult where we are is, it would be even more difficult if he was not able to do that. Some other state agencies had to take bigger cuts as a result, and we appreciate that. Even though he was able to protect us -- and there are still some numbers that are being sorted out -- when this is all sorted out, our state support will still be below where it was two years ago, even before taking inflation into account.

Some of the other things we've already talked about, in terms of the revenue from student fees, is something we started talking about last year. What this chart does is track state support and tuition and fees as a percentage of the total general funds budget for the last 20 years. The top line is state support. As you can see, 20 years ago when Ed was introduced as president the first time, state subsidy was up about 70 percent. It declined in the first budget crisis and then went up a little bit and has declined steadily since. Last year, the two lines crossed -- the lower line being manifested pretty clearly and underscores the importance of what President Jennings said earlier about us turning to other sources of funding.

In addition to this problem, we've also had competition for pay raises for our faculty and staff, which has fallen behind a lot of our competitors. We went through a process where we've eliminated nearly 600 budgeted jobs throughout the University to help deal with this problem. Although the budget we're presenting to you is balanced, there is continued uncertainty in the state budget picture and we're going to need to continue to be on our guard.

PRESENTATION ON FY 2002-2003 CURRENT FUNDS BUDGET (contd)

Mr. Shkurti: (contd)

While the budget picture is uncertain, not only here but across the country, we do have increased competitive pressures on salary and student financial aid.

Ed Ray will now talk about how we're trying to focus the resources we have to continue to make progress towards the Academic Plan.

Provost Ray:

If you look at the next slide this really lists the four major priorities that we identified for Fiscal Year 2002. Competitive faculty and staff compensation – what I would say there is that we feel we've made a good first installment on this. We believe that the average compensation for faculty and staff will be up about 4.7 percent this year, and that will be about one percent above the average for the benchmarked peer institutions. I'll remind you that we began in a position of several percentage points behind that position. So while we've made a good start, a good down payment on providing competitive faculty and staff compensation, this is a strategy that we'll have to follow for the next several years.

The second objective was to have a series of enhancements to our undergraduate programs and we talk about some of the things that we did during FY 2002. In this FY 2003 budget we continue to improve the first-year experience, to expand living/learning centers, to improve advising, and to increase budgets in high-demand areas. We're beginning a process – you heard a bit about it in the Academic Affairs Committee meeting this morning – to review undergraduate curriculum and the way we organize our activities throughout the arts and sciences. We obviously have projects like Larkins Hall and the Main Library renovation, all of which contribute to the student experience.

One of our four major goals is to have a major biomedical research initiative. You've had conversations about the Biomedical Research Tower going forward into FY 2003 and we've discussed these on other occasions. There are a number of other activities that we'll be pursuing. The President mentioned the newly-funded Mathematical Biosciences Institute, a multidisciplinary effort. That's really one of a number of multidisciplinary national centers we've set as a target for ourselves to establish here at Ohio State.

We have a number of other projects that we're beginning to move forward with that have consequences for the availability of research space as well. The Heart Hospital, the psychology building, the renovations of Jennings Hall, the Knowlton School of Architecture building, the building for mechanical engineering, and the physics building.

The last objective that we set for FY 2002 was the creation of the Kirwan Institute for the Study of Race and Ethnicity in the Americas. Obviously, that effort will continue forward and we're very excited about John Powell joining us to be director of that institute. But it's important to note that we'll be continuing our efforts with respect to a number of other diversity initiatives, including additional resources to the Multicultural Center and further development of programs in Latino/Latina Studies, Asian/American Studies, Disability Studies, and American Sign Language.

Another element of what we're doing to manage our affairs more effectively and align both the generation and allocation of resources more effectively with the goals of the Academic Plan has been to change the way we do budgets. We've had some discussion at other meetings about that.

PRESENTATION ON FY 2002-2003 CURRENT FUNDS BUDGET (contd)

Provost Ray: (contd)

In a sense, the new budget process identifies the sources and uses of funds, overlays our sense of priorities and directions we get from our Academic Plan on how resources ought to be used, and how matters ought to be expensed. We're moving from an environment where we replace historically-based funding with incremental increases year-to-year, with something that looks more like performance-based funding.

We're also decentralizing decisions regarding both revenues and expenses to the colleges. I would add, that each of the colleges are, in fact, preparing their own resource allocation plans that get down to the department-level in terms of both revenues and costs. We think that this activity will make the flow of revenues and expenses more transparent to all of us and, in the process, make it possible for us to increase accountability at every level in the use of those resources.

This really is the culmination of about a five-year plan and we've had much discussion about how we ought to structure this new budget process. It was effective July 1 and, in a job like mine, quiet is a sign of triumph. So I think we're off to a good start. We think this process will help us focus the allocation of resources and align the use of those resources with the Academic Plan objectives that we've set for ourselves. But obviously, a budget process in and of itself is not panacea – we still have some real challenges out there.

To give you a sense of how this is playing out, this slide gives you an indication the gains for some of our colleges in the Fiscal 2003 budget. On average, the general funds budget increase is seven percent. You can see in key areas: Medicine and Public Health, Optometry, Business, Pharmacy, Human Ecology, Social and Behavioral Sciences, and Humanities, we have increases above the seven percent and, in several cases, double, close to double, or more than double the average increases.

Let me stop and ask Bill to say something about the consequences of the FY 2002 budget for our support units.

Mr. Shkurti:

You may wonder how, in a time of budget stress, we're able to come up with the kind of increases that are shown up there for the colleges. Obviously, this is an academic institution and what we have to look out for first is the academic core. That means some of the support units did not fare as well. That was a differential decision.

As you see, the units involved in the support of sponsored research -- which are the three top units listed there -- all are having double-digit increases. That isn't because the provost or the president just felt like being kind to them. Rather, you may have seen we've had over the last two or three years a consistent increase in the amount of sponsored research we're bringing into the institution. That in turn then requires the appropriate support these units are supposed to provide and, in a way, reflects the increase in funding from that source. That means the other support units are left with a pretty bare table. You'll see the three biggest ones there -- Student Affairs, Business and Finance, and Academic Affairs -- are virtually flat. In some cases a little increase and that is including salary and benefits, because of the way we budget.

That means if they are flat and are given 4.5 percent increases in pay and absorbing 22 percent increases in benefits, they are doing what they are doing

PRESENTATION ON FY 2002-2003 CURRENT FUNDS BUDGET (contd)

Mr. Shkurti: (contd)

with fewer people. So a lot of the job losses we've talked about are from those units. Obviously that is not a situation we feel we can sustain over the long run nor do we want to sustain it. But we felt in a time of budget difficulty, if we could both protect our academic units and those units that were generating sponsored research -- which also generate revenues -- that over the long run, the University would be better off. I think that is true, but we obviously are going to have a long-term problem if we don't find some way of getting more resources into those critical support units.

Mr. Sofia:

The reduction -- seven tenths of one percent -- in Student Affairs' money, is that staff or student programs?

Mr. Shkurti:

Mr. Sofia, the way these support units were budgeted is that central monies were juggled around enough so that with the standard reallocation of 3.5 percent, all those units could grant a 4.5 percent pay raise and absorb the benefit costs. Part of this then depends on what portion of the budgets of those units were personnel and benefits, as opposed to other sources. Although Student Affairs did not receive an increase enabling it to do more things, it was not cut deliberately to be negative. It is simply the way the central balancing of the benefits and salary costs worked out.

Bill Hall is also here if he wants to elaborate any on what that is. Obviously he had to make reductions in personnel as well. But the reductions he made, as well as the reductions in Business and Finance and Academic Affairs -- the reallocation that resulted in job loss was the same 3.5 percent. They were not singled out for more. In a way that number reflects an accounting balancing.

Ms. Longaberger:

Is it administrative expenses or does that include programmatic costs?

Mr. Shkurti:

It includes some programmatic expenses.

Mr. William H. Hall:

It did include some programmatic decreases. Most of it was administrative cuts, primarily in the salary lines. When I first took over, I did not fill two assistant vice president positions. I have since eliminated probably another 25 positions throughout the division.

Of the 650 full-time staff within Student Affairs, probably 80 percent are on the earnings operations -- the residence hall system, the food service operations, and the hotels.

Ms. Longaberger:

I want to be clear that it is not a reflection that we're cutting programs for students.

PRESENTATION ON FY 2002-2003 CURRENT FUNDS BUDGET (contd)

Mr. Hall:

I am trying not to, but we did make some program cuts this year.

Provost Ray:

If you remember, we had discussions both in October when we sent out guidelines to the academic and support units for helping us deal with the \$20 million in budget cuts from the state, and then we reviewed them and approved them in the spring. We went area by area, to figure out how we were going to deal with the financial difficulties we had in a way that was most protective of the academic core and the Academic Plan objectives that we have. So Bill, as with all vice presidents, worked very hard with his staff to figure out where administrative reductions might be taken, rather than to cut into programs. And where programs had to be scaled back, they determined where the relative priorities were among those programs for students.

Mr. Sofia:

We understand that. But our concern is with the core student activity, because that's what the University is for. We want to make sure students enjoy their experience at Ohio State and that they have the resources on that particular side – not the building, not the overhead – and they are being taken care of. I think the critical backbone of this University is students.

Provost Ray:

In fact, I think what Bill and other vice presidents would be able to tell you in more detail are actual reallocations that they made even while they were having to make choices to eliminate or reduce some things. They were actually enhancing some other things on a prioritized basis.

Mr. Shkurti:

I think the bottom line is the actual impact that students will feel will be minimal in large part because of the good job Bill has done in addressing these. But obviously, this level of reduction is not sustainable over time without having a severe impact on our students. And so as we start planning for next year, we are going to make sure we don't have to repeat this kind of thing.

Remember this is general funds only, there are some other sources of funding that we're using. Coca-Cola money is being used to help maintain and expand student life; and the Affinity Card funds are being used to help maintain, expand, and protect student programs. Your concerns are well taken and I think when you see the budget unfold over the next year, you'll see that we have protected those core programs.

In regards to the financial implications, the FY 2003 budget is balanced. Part of why the college budgets went up is because of enrollment increases, and the budget reductions to support units that we mentioned, and neither of these is sustainable over the long run. We don't want to be the biggest University in the country. We want to be the best. That means we have to be selective in terms of our enrollment growth. To the degree our enrollments grow because our retention goes up, that's a good thing, but they cannot grow indefinitely while we maintain the services we have. Nor can we indefinitely cut our support units and maintain the services we have.

PRESENTATION ON FY 2002-2003 CURRENT FUNDS BUDGET (contd)

Mr. Shkurti: (contd)

The other thing this budget has done is replenish our reserves that had been spent earlier. I'm talking particularly about the Rainy Day Fund, but that's \$10 million in an \$800 million general funds budget and a \$2.5 billion overall budget. It is better to have those reserves than not have them. But they are the panacea themselves, and if there happens to be additional cuts -- and we hope there aren't beyond those already announced -- obviously we're going to have to go back and look again at what we're doing.

As we look ahead to the 2004 and 2005 biennium, I think saying that the state budget picture is very uncertain at this time is a bit of an understatement. There are a lot of issues that need to be worked out over the next several months which, in turn, will affect FY 2004 and 2005.

A fourth item that I think tends to get overlooked as we talk about the general fund, is that many of our non-general fund units are also under financial stress as a result of the slowdown in the nation's economy and budget cuts elsewhere. For example, we've known for the last two years the Hospitals have been having a difficult time dealing with cuts in their government reimbursement programs. Last month, we talked about the success Transportation and Parking was having in getting more students to ride the bus. In turn, this created more expenses on that side of the ledger, and we're going to have to look at their budget. Our development program is being very successful in bringing in additional funds, but they're in part dependent on growth in the endowment to help pay for our development activities. And as the stock market has deteriorated over the last several months, that's affected our ability to support development. So we're going to be tracking all of these units to make sure they're able to continue their mission, as well, in this very difficult budget picture.

Finally, the fifth item -- and President Jennings' alluded to this earlier -- and it certainly appears that for the foreseeable future, the University will continue to become less dependent on state funds and more dependent on other sources of funds. We receive a substantial amount of state money even after the cuts, so it's not like we can afford to turn our back on the state nor should we, nor on that source of funds. But we're also going to have to look at other sources of funds, and that will have both financial implications and programmatic and strategic implications.

This next slide is a sample of the different kinds of non-general funds sources and the projected increases in the next fiscal year. As you can see, some of those are pretty healthy and those are good, those are things we want to do. Unfortunately, that doesn't translate dollar per dollar in taking pressure off the general fund.

For example, the private grants and contracts are often restricted to very specific uses and that might be research. Or it might be a contribution a donor makes that helps the University, but it doesn't pay the light bill and it doesn't pay salaries. Another example is the health system revenues. These revenues are mainly patient revenues for medical care and, obviously, they're paying that money to get better medical care, not to support the rest of the University.

I went into greater detail on those other numbers in the Fiscal Affairs Committee meeting, so I won't do that here. Again, the important point to make is that the University is working very hard to find other sources of funding to offset the reductions in state support. These sources are not unlimited and we're going to still have to work on a variety of different areas to keep ourselves sound financially.

PRESENTATION ON FY 2002-2003 CURRENT FUNDS BUDGET (contd)

Provost Ray:

Let me just quickly say something about the strategic implications of all that we've suggested so far. The first point I would make is that, as I tried to indicate briefly in discussing the four goals that we had for FY 2002 and what we'll be able to do in FY 2003, these aren't the best of times. The University will in fact be able to make progress on its strategic goals, but not at a pace at which we might hope.

The second point is that after much to do about tuition increases here and elsewhere, when the dust settled we observed that tuition for undergraduate students at Ohio State is still below the average for both continuing and new students compared to other state universities in Ohio.

The third observation is that as we indicated, we had plans for reallocating existing resources. Those went very well and, as a consequence, even in these times we were able to provide faculty and staff with compensation increases on average of one percent, or perhaps a little more, above the market, as measured by our benchmark peers. That's good, but that's something we're going to have to try to sustain as a strategy over the next several years to get to our midterm objective of being at the median of the compensation levels among our benchmark peers.

The fourth observation is that the differential between the kinds of increases in revenues that are available to the colleges versus the support units. We expect in the immediate future that most of the strain will be felt, in fact, in our academic support services and that includes areas like the libraries, instructional technology, housekeeping, and elsewhere.

Finally, Bill's last table showed the success we'd had in areas other than the state's share of instruction in terms of revenue increases. The president referred to it in his remarks, but the reality is that over the course of time the University will, in fact, have to adopt characteristics that are more representative of private universities if we're ever to achieve our objective of being one of the truly excellent universities in the world. The change in the budget process that I mentioned earlier is one very critical element in helping us to change the culture and change the way we do business given the new realities we face.

Mr. President, that concludes our remarks. I know Bill and I would be happy to entertain questions or comments.

Mr. Patterson:

The current funds budget was considered in the Fiscal Affairs Committee meeting. Are there any questions?

APPROVAL OF FISCAL YEAR 2002-03 CURRENT FUNDS BUDGET

Resolution No. 2003-17

Synopsis: Approval of the budget for Fiscal Year 2002-03 is proposed.

WHEREAS the State has passed its budget for Fiscal Years 2002 and 2003, which includes funding levels for State institutions of higher education; and

WHEREAS fee increases for the Columbus and Regional Campuses and compensation levels were approved at previous meetings of the Board of Trustees; and

APPROVAL OF FISCAL YEAR 2002-03 CURRENT FUNDS BUDGET (contd)

WHEREAS other levels of resources and expenditures have been identified for the 2002-03 fiscal year; and

WHEREAS fees other than tuition also need to be approved; and

WHEREAS appropriate planning and consultation within the University has been accomplished, and the Interim President now recommends approval of the Fiscal Year 2002-03 budget:

NOW THEREFORE

BE IT RESOLVED, That the University's Current Funds Budget for Fiscal Year 2002-03, as described in the accompanying text and tables, be approved, with authorization for the University to make expenditures within the projected income levels; and

BE IT FURTHER RESOLVED, That fees other than tuition be approved as specified in the accompanying text and tables as well as other fees submitted to and approved by the Office of Business and Finance in the course of the budget process including changes to the late registration and late payment of fees for all students retroactive for FY 2002, as specified in the accompanying tables.

Upon motion of Mr. McFerson, seconded by Ms. Hendricks, the Board of Trustees adopted the foregoing resolution by unanimous roll call vote, cast by Messrs. Patterson, Sofia, Slane, McFerson, Borrer, and Judge Duncan, Mses. Longaberger and Hendricks.

(See Appendix X for background material on Current Funds Budget FY 2002-2003, page 143.)

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STUDENT RECOGNITION AWARD

Mr. Shultz:

It gives me great pleasure to introduce the Board of Trustees' Student Recognition Award winner. This award is given to a student who has demonstrated outstanding academic leadership and service to The Ohio State University and today's recipient is no different as a representative of the Ohio Agricultural Research and Development Center. Nominated by the director of OARDC, Dr. Steve Slack, today's recipient has shown tremendous ability in research in the field of animal sciences.

Matthew Cannon received his Ph.D. degree from Ohio State in animal sciences in 2001. Previously, he received his Bachelor of Science degree at Oregon State University in animal sciences. While at Oregon State, Matt discovered his interest in dairy cattle reproduction. Instead of attending veterinary school, as he had originally planned, Matt instead opted to pursue a career in research.

Matt joined the Department of Animal Sciences here at Ohio State as a Ph.D. student in 1996. Specifically, Matt was drawn to the Ohio Agricultural Research and Development Center to work with Dr. Joy Pate, focusing on reproductive physiology. And Dr. Pate is in the audience today supporting Matt.

During his studies at Ohio State, the concepts that he proposed for his Ph.D. dissertation were further developed into a grant proposal to the National Institute of Health. The proposal, which was successfully funded, addressed questions of how the immune system regulates the regression of a transient ovarian endocrine gland. In these studies, Matt uses the cow as a model system to study human ovarian physiology. His findings are significant because they are the only known example in which the expression of the genes in question changes in the

STUDENT RECOGNITION AWARD (contd)

Mr. Shultz: (contd)

absence of an autoimmune disorder. These discoveries will also have great significance for understanding the broader aspects of autoimmune diseases.

In 2001 Matt received the Krauss Director's Award for Excellence in Research at the OARDC conference. Currently, Matt is working in his research area in Dr. Pate's lab. He hopes to continue his research and intends to submit another grant proposal to the NIH to carry out experiments related to those in his current research.

Looking towards the future, Matt is very interested in rounding out his academic experience in teaching. He hopes to develop a class in fundamental immunology for pre-med and pre-vet students. This enthusiasm for teaching and his proven strength in research make Matt Cannon an ideal candidate for the Board of Trustees' Student Recognition Award.

Matt, on behalf of President Jennings and the entire Board of Trustees, let me congratulate you on all of your achievements here at Ohio State. We want to wish you the best of luck as you pursue your career and we hope you do it here at Ohio State. Congratulations.

Dr. Matthew J. Cannon:

Thank you for presenting me with this award. This is sort of a shock, and I'm continually surprised because I do what I do and the recognition is foreign to me.

I want to first thank Dr. Pate for her unending support in allowing me to carry out this work, and take a crazy idea and run with it and develop it to the point of a successfully funded NIH proposal. Also, I want to thank Dr. Slack for nominating me for the award, and the Board for presenting me with this award.

My experience at Ohio State, and particularly at OARDC, has been nothing but a positive one. It is a wonderful working environment. I've experienced a lot of good collaborations in my time here. I've enjoyed it to the extent that I've actually decided to stay for an indefinite period of time as a post-doc and attempt to pull down some further extramural funding to carry on this research and further expand and develop this angle.

Once again, thank you for presenting me with this award, to Dr. Pate for the support, and for Dr. Slack for nominating me. Thank you.

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PRESENTATION ON P-12 INITIATIVES

Provost Edward H. Ray:

As we've discussed on other occasions, the College of Education is involved in a set of activities that is increasingly linked with faculty and academic programs across the University. Today, we will hear about two of them. First, Professor Daryl Siedentop will give a brief overview of selected activities from our formal P-12 Initiative, an outgrowth of our University-wide outreach and engagement efforts and for which he is serving with distinction as director.

Then we will hear from Donna Evans, dean of the College of Education, and Randy Smith, vice provost in Academic Affairs, on a new University-wide initiative relating to teacher preparation. You should have packets before you

PRESENTATION ON P-12 INITIATIVES (contd)

Provost Ray: (contd)

that provide information on each of those programs. I would suggest that perhaps we can hear both presentations and then I know that Daryl, Donna, and Randy would be happy to entertain questions or comments at the end of their presentations. Daryl --

Dr. Daryl L. Siedentop:

Thank you, Ed. Chairman Patterson, President Jennings, ladies and gentlemen, the P-12 project was created in the Academic Plan in 2000 as part of the redefinition of The Ohio State University land-grant mission. The goal was to significantly strengthening our effectiveness and scope and the commitment to improving P-12 education in Ohio, with a special focus on the education of underserved children and youth.

I want to share with you this morning three initiatives we've undertaken in our first full year of existence. I invite you to visit the P-12 website, which is listed in the brochure in your packet.

A major goal for P-12 from the beginning was to create an institutional presence for The Ohio State University in research and policy at the state-level. The initiative we have created to achieve that goal is called, "The Ohio Collaborative Research and Policy for Schools, Children, and Families." The Ohio Collaborative is a statewide research and policy center focused on Ohio education from the early years through the 12th grade. The administrative center is at The Ohio State University, but the collaborative will do its work through teams of faculty from institutions of higher education throughout Ohio. We're just completing an asset inventory of the research and policy strengths of faculty in Ohio's colleges and universities and, to date, we have had more than 100 faculty nominated for affiliated faculty status. These faculty come from 25 public and private colleges and universities in Ohio.

I want to acknowledge the very strong support we got from Chancellor Rod Chu at the beginning of this initiative. In fact, the letter that was sent to all the presidents of public and private colleges and universities in the state announcing the creation of the collaborative was co-signed by Chancellor Chu and President Kirwan.

The collaborative will do its work in teams that are organized along policy and research expertise. We intend for the work to be particularly responsive to the needs of the House and Senate Education Committees, the Ohio Department of Education, the Board of Regents, and the Governor's Office.

In your packet there are three examples of some initial work we have done in the few months we have been in business. The first is a research and policy primer, which focuses on the efficacy of small schools in mitigating the effects of poverty on student achievement in urban areas. We prepared this for a day-long symposium that we co-sponsored with Columbus Public Schools and the Columbus Education Association, built around the Columbus School Building Initiative.

The second example deals with school readiness and is prepared to assist the policy seminar for Governor Taft's "Families and Children First initiative."

The third example is an agenda for a statewide symposium that the Ohio Collaborative hosted on June 21, to help universities and school districts learn more about the "No Child Left Behind" legislation and especially the scientific-

PRESENTATION ON P-12 INITIATIVES (contd)

Dr. Siedentop: (contd)

based research criterion that appears well over 100 times in that legislation. University and school district teams attended this and we had several visitors from the Department of Education and OERI in Washington, and from the Ohio Department of Education. We together tried to figure out what it is the legislation demands of universities and school districts.

The second initiative -- again for which there is a one-page description in your packet -- is something we call, "Community Connection." Students at Ohio State are increasingly interested in service projects and service learning courses. However at Ohio State, a very large and diffuse environment, it is difficult for students to identify and connect with service opportunities in the community and especially in schools and agencies.

In collaboration with the Service Learning Roundtable and the Office of Student Affairs, the P-12 project has created the Community Connection, which is a web-based volunteer interface system. This system operates fairly simply. For instance, an elementary school could post on the web that they would like to have mathematics tutors, they need them from 1:00 to 1:45 p.m. on Monday, Wednesday, and Friday, the school is located in this position, and this is the way you would get there accessing public transportation. We are particularly interested in students with these backgrounds.

Ohio State students can look at these things on the web and find a volunteer or service opportunity that fits their interests and their schedules. The system will allow the agency or school to track the service of these and produce a record, for the first time really at Ohio State, a database concerning our students' involvement in the community. This web interface will be fully operational this autumn.

The third initiative I want to share with you is an initiative that is really embedded within our neighborhood schools program called, "The Learning Bridge." The Learning Bridge was created to serve the large objectives of Campus Partners. The Learning Bridge serves the boys and girls who attend Columbus Public Schools and who live within the Campus Partners boundaries, and local agencies.

We have a number of projects going on and there is a list of them in your packet, but I want to focus this morning particularly on one that we think is tremendously exciting. Through the leadership of Dean David Andrews of the College of Human Ecology, we will build in the Weinland Park Area an early education center that will serve 150 young children from toddlers through preschool. As you know, this is one of the most difficult areas of the City of Columbus.

This early education center will be co-located with the renovated and rebuilt Weinland Park Elementary School. If you know that area, you also know that abutting the property of the elementary school is a Columbus city park that now serves primarily teenagers. It has the typical park things: basketball courts and softball diamonds. We are in preliminary discussions with the City of Columbus to rethink that park as a children and families' park with initiatives particularly in the academic progress of young children.

We really anticipate and have high expectations that this early education initiative will produce an opportunity for us to examine the possibilities of a seamless web of care and education for children who are severely disadvantaged from the time they are toddlers through their graduation from 5th grade and movement into the middle school. We hope that we can demonstrate through this the kind of

PRESENTATION ON P-12 INITIATIVES (contd)

Dr. Siedentop: (contd)

systemic intervention that may be needed to produce dramatic improvements in school performance in high poverty urban areas.

Thanks very much for your time. I do hope you have a chance to look at our website. You will also find on our website that inventory of all the programs that are P-12-oriented in The Ohio State University – there are more than 140 of them. It's a searchable web interface – you can punch up secondary school of science and out will come the programs at Ohio State that support secondary school of science.

Thank you very much.

Dr. Randy Smith:

I'd like to introduce another important initiative that's underway at the University right now that's relating to the preparation of future teachers. This is a topic that is of considerable interest at the national level – all states and major institutions are discussing it. Part of it is linked to the broad idea of the need for deeper subject area content in the preparation of teachers. That is leading to discussions between faculty and colleges of education and their counterparts, primarily in the colleges of the arts and sciences.

We began looking at it through the Provost's Office in the late 1990s. Vice Provost Garland and I -- who have worked on several initiatives together -- pulled together a group of faculty from education and the arts and sciences to begin talking about the issue as a work group. This was particularly important to us because for us the approach has been the Master of Education for certification. So we were giving a little bit more attention to undergraduate approaches.

Then in 1999, the Board of Regents and Chancellor Chu, asked if Ohio State would be interested and willing to partner with Ohio University and Wright State University in what the Regents were identifying as a teaching and learning initiative. What they were after was the idea of showing the importance of teacher preparation as an institutional commitment – not any particular part of the university, but as an institutional commitment. So the three institutions worked together for about 12-15 months to talk about how they were proceeding in this area. It was a very productive year to year-and-a-half discussion.

At Ohio State that led to the establishment of the "University Teacher Education Council (UTEC)" by Provost Ray in March 2001. If you hear the acronym UTEC, that's what that refers to. This is a 30-member council that includes faculty from the Colleges of Education and the Arts and Sciences and other colleges, like Human Ecology. These faculty have been brought together to talk about the issue of teacher preparation, particularly as it relates to deeper content. For example, my academic area is in the arts and sciences in geography and that would be a social studies area in K-12. So I would be partnered with somebody from social studies education in that sense, so the two of us would be at the table at the same time.

To show the institutional commitment to this, the council is co-chaired by my colleague, Dean Evans, and me. I had two major charges: 1) the provost asked us to provide background preparation for all the members of the council to understand current standards, licensure, etc.; and 2) to begin to work -- and Dean Evans will talk about this in a minute -- in workgroups to determine how Ohio State should proceed.

PRESENTATION ON P-12 INITIATIVES (contd)

Dr. Smith: (contd)

UTEC has had eight full meetings in the last 12-15 months. We've participated in several Board of Regents-related conferences, and President Kirwan and several of us attended one just recently at the beginning of May. So the group has been proceeding.

I'd now like to ask Dean Evans to tell you about some of the activities of the group in the last few months.

Dean Donna B. Evans:

Teacher preparation is the education reform issue today everywhere. In Ohio, through changes in teacher licensure and Governor Taft's Commission on Teaching Success, and the nation, through the reauthorization of the Elementary and Secondary Act – No Child Left Behind – the focus has been on the preparation of teachers as integral to improving student achievement. We at Ohio State intend to maintain our position of strength through the work that many of our faculty are engaged in.

As you heard, teacher preparation at Ohio State is both a University commitment and also a prime emphasis in the College of Education. Within the framework of UTEC, working groups were created to implement the vision of the UTEC council. At your places is a red folder which contains a copy of what those groups look like. There are nine of them. They really represent the program areas in teacher preparation and their partners in the various colleges that we're working with.

For the past year-and-a-half our faculty have been working with the Office of Academic Affairs, the Colleges of Humanities, Arts and Sciences, Mathematical and Physical Sciences, Human Ecology, colleagues from the OSU regional campuses, Ohio Department of Education, and the local school districts to redesign and reinvigorate both our pre-service and our advanced educator preparation programs. Associate Dean Charles Hancock, who could not be with us today, is coordinating this work.

All the programs are being developed as academically, pedagogically, and technology-rich based on national, state, and professional standards. There are a lot of standards that teacher preparation programs have to pay attention to. In that same packet, I put some of the standards that we have to pay attention to as we develop these programs.

In addition to the national and state standards, the programs were charged with looking at the research that relates to teacher preparation and also with developing strong evaluative components. One of the things we have not done such a good job of, not only at Ohio State but in the profession, are evaluating what we do.

In addition, the working groups were charged with providing a seamless transition from undergraduate to graduate education. We believe, however, that one size does not fit all in preparing teachers and other educators. So the programs will vary in implementation.

For example, we have three programs that have moved to undergraduate initial licensure. Which is different for Ohio State. Those programs are Sport and Leisure Study Education, Technical Education and Training, and Technology Education. The Early Childhood Teacher Preparation Program, Teacher Education Licensure Program has collaborated with the College of Human

PRESENTATION ON P-12 INITIATIVES (contd)

Dean Evans: (contd)

Ecology to ensure a seamless transition from their undergraduate program to our Master of Education in early childhood education. Most of you may remember this as elementary education. We don't call it that anymore because of the new licensure.

The School Psychology Program has added an urban focus option, but will remain a graduate-level program. The Middle Childhood Teacher Education Program is in collaboration with the Colleges of the Arts and Sciences developing an interdisciplinary program. That's probably one of our most ambitious and creative activities occurring right now.

The Social Studies Teacher Education Program has developed, in collaboration with their appropriate university colleagues, personalized study programs beginning at the undergraduate-level and culminating at the graduate-level in our college. The Educational Leadership Program, Principal Preparation, has developed in collaboration with the school districts and the Ohio Department of Education, an urban-focused cohort masters degree program that will be offered on site in the schools.

Actually, we're a work in progress. However, it must be noted that in the short time -- and it is a short time for an academic institution -- and due to the unprecedented work of the UTEC working groups, the college curriculum committee, in cooperation of Randy and the University Curriculum Committee, most of the work has been completed in just a little over a year. It has moved through the approval process at both the college and the University-levels, with an implementation date due of fall 2003.

Phase II of our work includes engaging in a college-wide program of evaluation to identify where our teacher preparation programs are most effective and where they are less effective. Now to complement the work of our redesigned teacher preparation programs, we created something that we call the College of Education Office of Outreach and Engagement, and there is a brochure in your folder about that.

The purpose of that office is to provide on site, collaboratively designed, customized professional development to school-based personnel. These courses are graduate, non-degree and meet the rigorous standards required for graduate course work. This office has been open for a year and during its first year, has provided more than 150 courses to address the specific needs of school districts in Franklin County.

Thank you very much for the opportunity to speak to you about the work that my colleagues and I are engaged in as we set about to not only prepare excellent teachers, but to provide professional development to keep them right up to speed.

Dr. Smith:

Let me just wrap up by saying that different groups are looking at each of these new licensure areas and asking how we should proceed as an institution within them. It is really been a tremendous cooperative effort between the Arts and Sciences and the College of Education.

Let me add that UTEC will continue to work this coming year. The provost has asked that this group monitor how these various programs will play themselves

PRESENTATION ON P-12 INITIATIVES (contd)

Dr. Smith: (contd)

out. Getting them up and running is one thing – monitoring how they're doing is another.

Many faculty in the Arts and Sciences, particularly in the College of Mathematical and Physical Sciences and Biological Sciences, are particularly interested in taking the lead in UTEC. Particularly this next year they are interested in some topical areas that are of some interest to them as they relate to research. Later this summer I'm convening a subgroup from within UTEC to talk about the research dimension that can emerge from this whole new approach or rethinking of the teacher preparation process.

Donna and I have given a combined presentation here, but I do consider this to be -- personally in the time that I've been at Ohio State -- a very important initiative that is moving forward through the system. So thank you for your time. We'd be happy to answer any questions on either Daryl's or our presentations.

Mr. Patterson:

Any questions?

Ms. Hendricks:

My understanding is that at Ohio State, a teacher gets a master's degree to teach. They get an undergraduate degree in an area of specialty. Is that right? Or are there teachers who can get a teaching degree and be fully qualified with an undergraduate degree?

Dean Evans:

As of Autumn 2003 there will be some. This is what we looked at as we redesigned our programs. What you're saying, Ms. Hendricks, is absolutely correct – we were a “graduate only” program. We will still basically be a graduate program. The difference is that in the transition from undergraduate to graduate school, there will be collaboration between undergraduate programs and graduate programs.

As we evaluated that model, one of the things that was clear is that sometimes students could finish an undergraduate degree, but there had been no collaboration between the program they would map and the College of Education. So the student may or may not have taken all the right things, and may or may not be eligible for admission to the College, so that was problematic. That is the seamless transition that we're trying to achieve.

Ms. Hendricks:

Thanks, that is helpful.

Mr. McFerson:

I'm not sure if I should direct this question, Randy, to you or Dean Evans, or Daryl, but let me just state it.

I'm very much impressed with this community connection program. I think it's wonderful that you're helping students at a very early age in their lives understand the importance of giving back to a community. There is a valuable service they can render. But I'd like to ask you to talk for a second or two about

PRESENTATION ON P-12 INITIATIVES (contd)

Mr. McFerson: (contd)

what the faculty are doing in this area. It is one thing for the faculty to provide these tools for students, but I think the faculty themselves are in a position across the campus, not just in the College of Education, to render some valuable service in the community. Particularly in these areas of helping create a vision or a model for these young children to consider higher education or to consider maybe careers in education or whatever. Would you talk about that, as to the outreach that the faculty personally are doing in the community, instead of just from the student perspective?

Dr. Siedentop:

The outreach is rather substantial. As I said, we have well over 125-130 separate initiatives that one could reasonably coalesce under P-12 outreach initiatives. These come from the departments and the colleges throughout the University.

One of the things, Mr. McFerson, that we have tried very hard to do is to remind ourselves constantly that the University's outreach has to involve its research, teaching, and service missions. There is a historic tendency on campus to think about outreach only as service, and some of the most valuable outreach is outreach in teaching and research. So we have just an extraordinary amount of activity in departments all over campus. The Writing Institute and the Ohio History Teaching Institute – every college has some very substantial outreach activities. Many of them are service-oriented and involve faculty, others, in fact, are more teaching and research.

In chemistry, we have the “Wonders of the World” project that is now in five or six schools in Central Ohio that uses local scientists from Battelle, Chemical Abstracts, and other places to produce elementary schools' hands-on science projects. They come and really stay for three years – the first year is training, the second year is implementation, the third year they do it themselves, and the school is left with the equipment and expertise to do hands-on science projects with young children. So it's a lot of that stuff going on.

Frankly, given the nature of Ohio State, it's been difficult for us to find out as much about them as we'd like to, but we work very hard. Our database is getting complete on a day-to-day basis.

Dean Evans:

I would just like to add to that. I think that it has been one of the major strengths of the work that Daryl's been doing -- creating that database, because we are so large. When the schools ask us, there has been criticisms about what is Ohio State doing. We're able to say, very specifically, what some of these things are. Sometimes one school district may know that it is happening and another doesn't, and they could be interested in it. So that is an important activity that has been occurring and it is in progress.

Dr. Siedentop:

Our next major project next year in our Learning Bridge is an internship project. We're going to try to take young adolescents from the five high schools that serve the boys and girls who live in the Campus Partners area and provide internships for them at Ohio State. These internships are not only designed to

PRESENTATION ON P-12 INITIATIVES (contd)

Dr. Siedentop: (contd)

support their academic work in high school, but also their sense of career possibilities. Columbus Public Schools has an internship requirement and we wanted to get as many of these youngsters on our campus as possible.

Mr. McFerson:

I only conclude that President Jennings has been a marvelous example of one who works extensively in the community. I think that there is a role for University employees – faculty, staff, and others – to give time, in addition to what they do as faculty members in their area of research and teaching. It is good for these people to physically be in the community rendering service. We encourage our corporate citizens to do this, and I think the University should always keep in mind that it too can play a visible, active role by giving up an hour or two a month or whatever to help those less fortunate.

President Jennings:

Certainly I continue to encourage and will continue to encourage people to volunteer. We are a nation that has a tradition of volunteerism and our University's services can be both part of the mission and part of their community responsibility. I consider it a responsibility of all of us to help out in the community.

Mr. McFerson:

Keep up the good work.

Mr. Patterson:

Thank you very much. There are so many people who do so much heavy lifting around the University. As you know, Karen and I have recently worked with Randy on the Regional Campus Commission and he worked on the update to the annual Academic Plan. Randy, I don't know how you do it all, but what I've seen on the Regional Campus Commission Plan is excellent. I believe it will come before the Board at the September meeting.

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Mr. Patterson:

I'd like to note that State Representative Jim Carmichael has joined us. Jim, if you would raise your hand; he will also be joining us for lunch. Thank you, all, very much.

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Thereupon the Board adjourned to meet Friday, September 6, 2002, at The Ohio State University College of Veterinary Medicine, Columbus, Ohio.

Attest:

William J. Napier
Secretary

James F. Patterson
Chairman

Larkins Hall Replacement**315-1999-188****PROJECT CAPTAIN:**

David Chapman, Facilities Planning & Development - Architecture, 292-5715

REQUESTING AGENCY:

Recreational Sports

PROJECT LOCATION:

0346 - LARKINS HALL

AGE:

1931

GR. SQ. FT.:

353,126

PROJECT DESCRIPTION:

This project will replace the existing Larkins' Hall facility with an all new recreation center to be completed in two construction phases. The new facility will be a 604,800 square foot facility that will house a 50 meter competition pool and diving well, recreation, class and lap pools, as well as 16 basketball courts, 6 multipurpose rooms, squash courts, racquetball courts, 28,000 square foot fitness center and more. The new center will also house all new administrative and academic offices, classrooms, and laboratory spaces. A satellite facility housing gyms, turf gym, and adventure programs are also a part of the project.

MILESTONES:

	Projected	Revised	Actual
Arch/Eng Approved B/T	12/04/1998		12/04/1998
Construction Manager Approved B/T			02/02/2000
Bidding Approved B/T	10/03/2000	07/12/2002	
Arch/Eng Contract	03/31/2000		08/02/2000
Schematic Design Approval	03/13/2000	04/27/2001	05/24/2001
Design Development Approval	07/24/2000	10/29/2001	12/12/2001
Construction Document Approval	03/19/2001	08/01/2002	
Bid Opening	09/17/2001	09/01/2002	
Award of Contracts	11/01/2001	11/01/2002	
Construction Start	11/12/2001	11/02/2002	
Report of Award to B/T	12/07/2001	12/07/2002	
Completion	05/13/2005	07/25/2005	

FUNDING INFORMATION:

Central Univ Funds	\$ -	\$ 382,107	
Dept. of Athletics	14,058,021	17,658,021	
Future Capital Appropriations	32,950,797	28,029,375	
House Bill 640	-	5,000,000	
House Bill 850	3,000,000	3,000,000	
Univ Bond Proceeds	82,327,044	85,730,154	
Total	\$ 132,335,862	\$ 139,799,657	

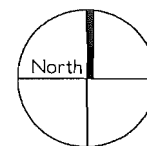
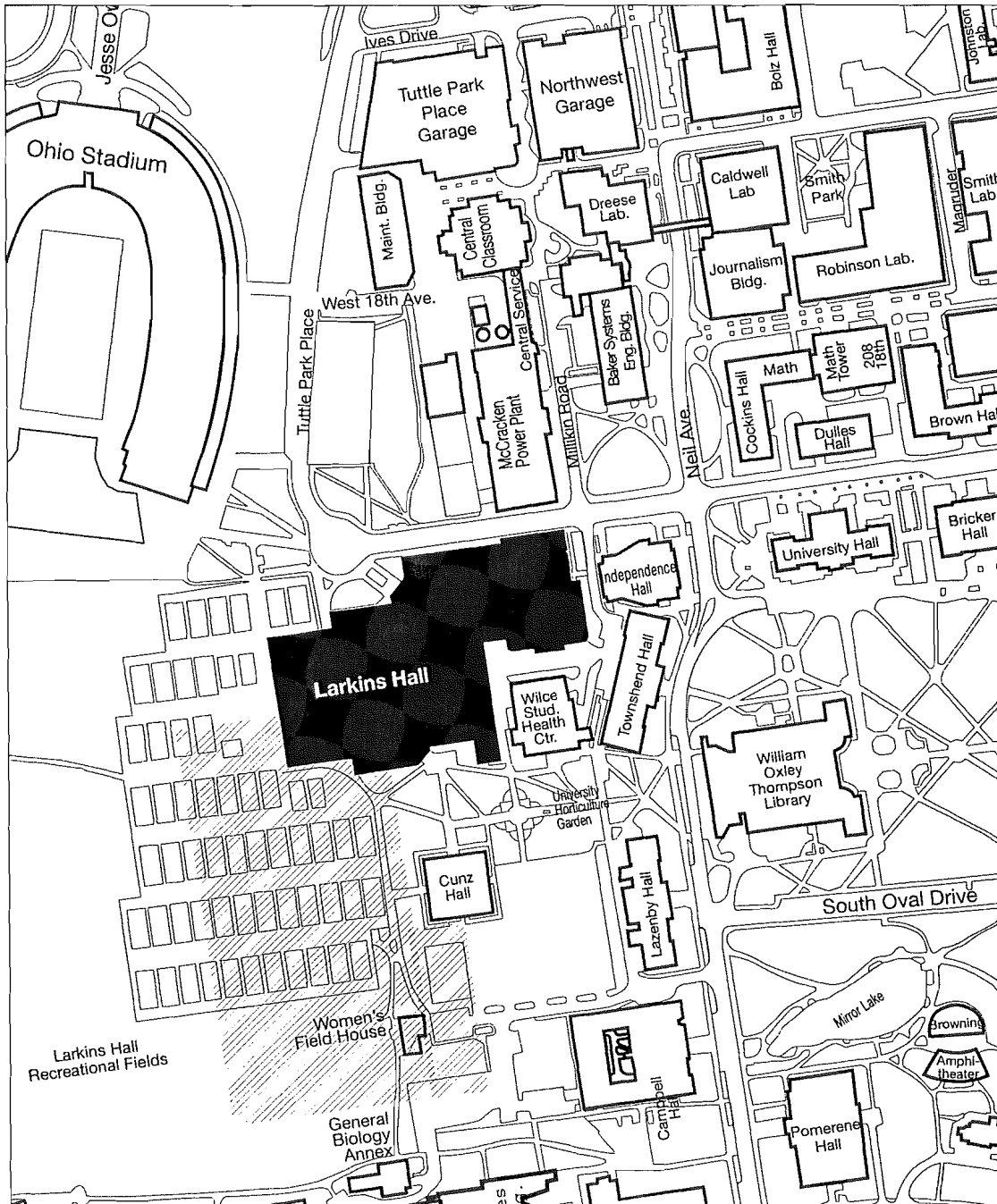
USES:

Bond Financing	\$ -	\$ 1,251,076	
Construction	\$ 89,373,268	\$ 106,936,554	
Construction Manager	\$ 2,374,382	\$ 5,880,074	
Contingency	25,423,444	10,243,590	
Design	6,354,904	8,233,071	
Equipment	7,149,265	5,583,916	
Percent for Art	320,000	330,294	
State Architect		50,000	
Univ Administration	1,340,599	1,291,082	
Total	\$ 132,335,862	\$ 139,799,657	

COMMENTS:

Feasibility study completed in 1996 by Hastings & Chevetta identified a project of \$120,000,000 in 1996 dollars. On February 4, 2000, the Board of Trustees approved a budget increase to \$136,028,118 to include construction manager for the project. The increase to \$139.8 million is due to increased costs of pool construction and potential construction bond issuance due to anticipated Capital Bill cuts and/or delays.

Larkins Hall Renovation / Addition



Office of Business and Finance
Office of Facilities Planning and Development

January 12, 2001

Mechanical Engineering Building Replacement**315-1999-192****PROJECT CAPTAIN:**

Lori Seeger, Facilities Planning & Development - Architecture, 688-3757

REQUESTING AGENCY:

College of Engineering

PROJECT LOCATION:

0075 - ROBINSON LABORATORY

AGE:

1908

GR. SQ. FT.:

97,271

PROJECT DESCRIPTION:

This project will demolish the existing Robinson Lab and construct new space for the Mechanical Engineering Department on the Robinson Lab site.

MILESTONES:

	Projected	Revised	Actual
Arch/Eng Approved B/T	02/05/1999		02/05/1999
Construction Manager Approved B/T			02/02/2000
Bidding Approved B/T	07/01/2002	07/12/2002	
Arch/Eng Contract	05/30/2000	06/15/2002	
Schematic Design Approval	11/23/2000	06/30/2002	05/01/2002
Design Development Approval	02/21/2001	9/27/02	
Construction Document Approval	07/26/2001	04/21/2003	
Bid Opening	10/01/2002	05/26/2003	
Award of Contracts	12/01/2002	09/02/2003	
Construction Start	01/01/2003	09/08/2003	
Report of Award to B/T	02/01/2003	08/05/2003	
Completion	01/01/2006	09/09/2005	

FUNDING INFORMATION:

Dev - Engineering	\$ -	\$ 20,000,000	
Future Capital Appropriations	61,000,000	49,000,000	
House Bill 640	-	2,500,000	
House Bill 850	1,000,000	1,000,000	
Total	\$ 62,000,000	\$ 72,500,000	

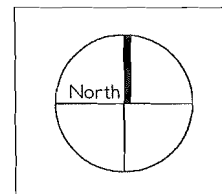
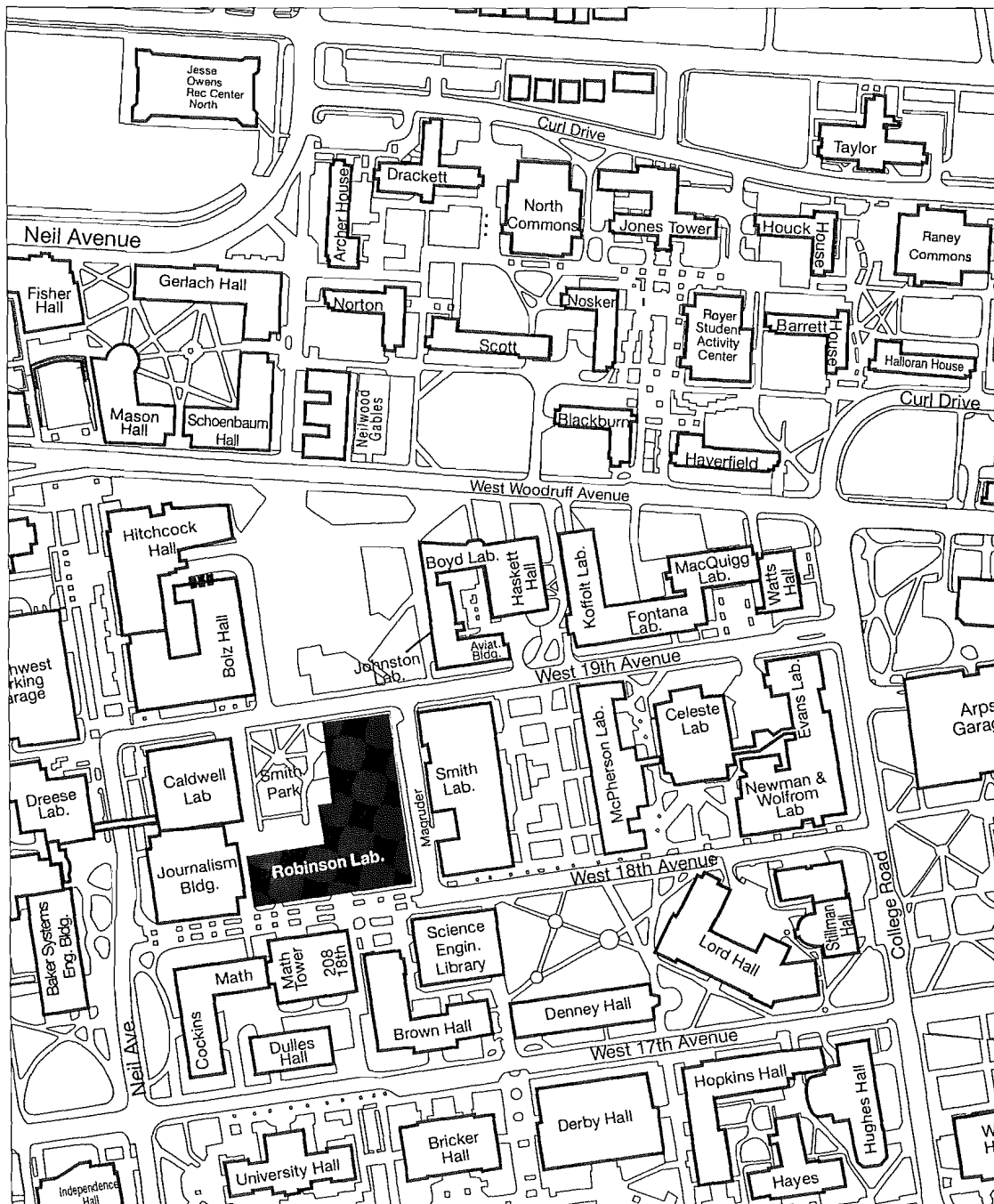
USES:

Construction	\$ 45,014,992	\$ 51,092,993	
Construction Manager	\$ 3,151,049	\$ 3,091,126	
Contingency	4,503,514	5,109,310	
Design	3,826,274	4,087,439	
Equipment	4,501,499	5,109,299	
Moving	-	304,531	
Swingspace Renovation	-	2,500,000	
Percent for Art	435,000	490,000	
State Architect	50,000	50,000	
Univ Administration	517,672	665,302	
Total	\$ 62,000,000	\$ 72,500,000	

COMMENTS:

Academic siting study recommended that it stay at the present site and have phased construction and phased demolition to accommodate on going academic program. An additional \$10.5 million was added to the project, included in the Capital Request for the 2003-2004 Biennium, to insure that we meet program needs and reflect current economic conditions. Formerly known as Robinson Laboratory Replacement.

Mechanical Engineering Building Replacement



Office of Business and Finance
Office of Facilities Planning and Development

July 3, 2002

Hagerty Hall Rehabilitation**315-1999-191****PROJECT CAPTAIN:**

Christine Lawson, Facilities Planning & Development - Architecture, 292-4458

REQUESTING AGENCY:

College of Humanities

PROJECT LOCATION:

0037 - HAGERTY HALL

AGE:

1924

GR. SQ. FT.:

131,506

PROJECT DESCRIPTION:

Rehabilitate the entire building, including building system replacement and interior redesign of the spaces to house a portion of the College of Humanities.

MILESTONES:

	Projected	Revised	Actual
Arch/Eng Approved B/T	11/01/1996		11/01/1996
Bidding Approved B/T	09/01/1998	07/12/2002	
Arch/Eng Contract			03/06/2000
Schematic Design Approval	10/31/1997	09/30/2001	05/01/2001
Design Development Approval	01/30/1998	12/20/2001	12/20/2001
Construction Document Approval	05/29/1998	09/02/2002	
Bid Opening	10/01/1998	08/08/2002	
Award of Contracts	12/01/1998	10/23/2002	
Construction Start	12/01/1998	10/23/2002	
Report of Award to B/T	12/01/1998	12/06/2002	
Completion	12/31/1999	04/22/2004	

FUNDING INFORMATION:

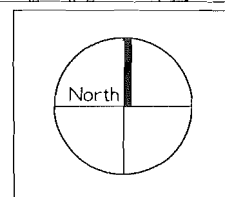
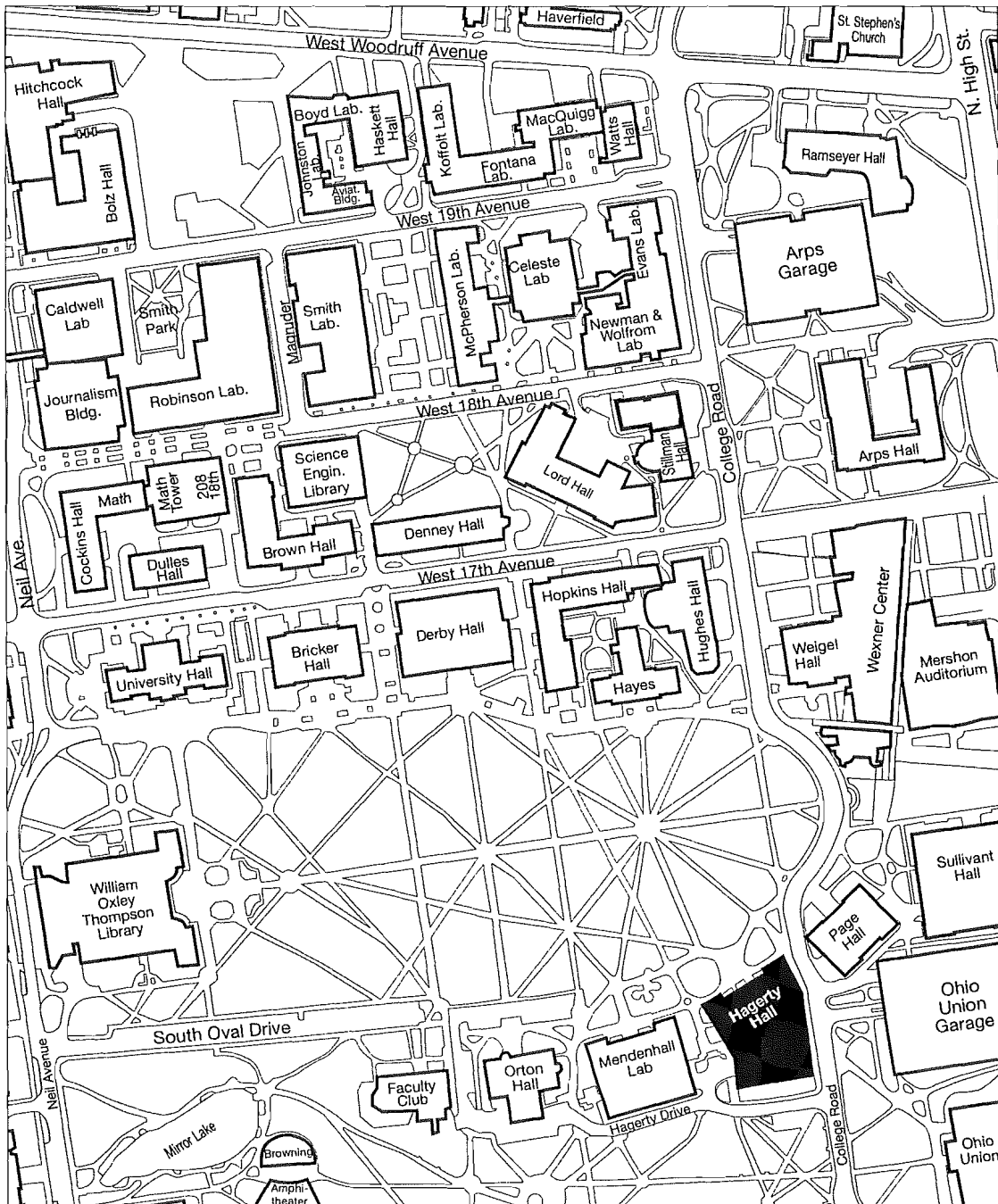
Central Univ Funds	\$	-	\$	3,000,000
Dev - Humanities		1,000,000		1,900,000
House Bill 748		1,000,000		1,000,000
House Bill 850		18,500,000		18,500,000
Total	\$	20,500,000	\$	24,400,000

USES:

Construction	\$	15,491,795	\$	17,972,840
Contingency		1,150,960		1,729,784
Design		1,385,347		1,781,533
Equipment		2,102,744		2,506,183
Percent for Art		185,000		185,000
State Architect		184,154		50,000
Univ Administration		-		174,660
Total	\$	20,500,000	\$	24,400,000

COMMENTS:

Original project budget of \$20,500,000 approved by the Board of Trustees on February 5, 1999. Project budget increased to reflect 2002 dollars and improved alignment of space to meeting programmatic needs.



Office of Business and Finance
Office of Facilities Planning and Development

December 9, 2000

Wexner Center - Building Renovations**315-2000-914****PROJECT CAPTAIN:**

Scott Conlon, Facilities Planning & Development - Architecture, 292-0983

REQUESTING AGENCY:

Wexner Center

PROJECT LOCATION:

0386 - WEXNER CENTER FOR THE ARTS

AGE:

1989

GR. SQ. FT.:

129,047

PROJECT DESCRIPTION:

Renovation and retrofit of the Wexner Center for the Arts to correct building envelope and system problems.

MILESTONES:

	Projected	Revised	Actual
Arch/Eng Approved B/T	08/30/2000		02/02/2001
Construction Manager Approved B/T	04/06/2001		04/06/2001
Bidding Approved B/T	09/01/2001	02/01/2002	02/01/2002
Arch/Eng Contract	01/12/2001	06/23/2001	06/26/2001
Schematic Design Approval	05/04/2001	01/15/2002	02/15/2002
Design Development Approval	09/21/2001	10/18/2002	
Construction Document Approval	03/08/2002	09/02/2002	
Bid Opening	04/10/2002	11/01/2002	
Award of Contracts	05/24/2002	12/03/2002	
Construction Start	05/25/2002	12/18/2002	
Report of Award to B/T	06/01/2002	02/01/2003	
Completion	11/29/2003	11/19/2003	

FUNDING INFORMATION:

Central Univ Funds	\$	9,000,000	\$	11,000,000
House Bill 640		1,000,000		1,000,000
Total	\$	10,000,000	\$	12,000,000

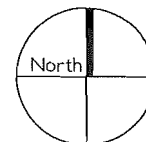
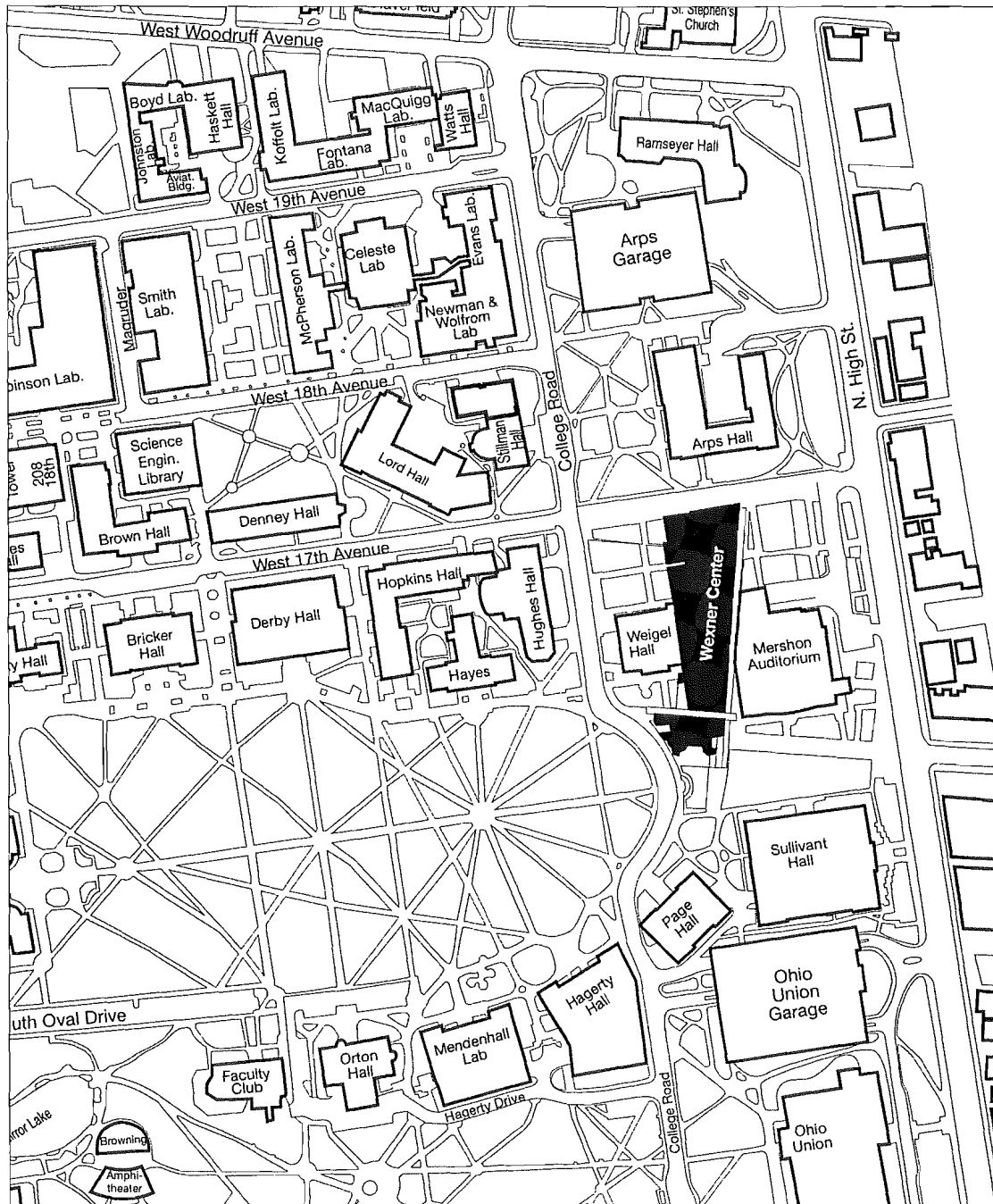
USES:

Construction	\$	7,000,000	\$	8,600,000
Construction Manager	\$	420,000	\$	530,000
Contingency		876,000		1,092,400
Design		895,000		923,600
Moving		515,000		515,000
Testing		210,000		210,000
Univ Administration		84,000		129,000
Total	\$	10,000,000	\$	12,000,000

COMMENTS:

Initial project budget of \$1 million was to provide the feasibility, preliminary design, and cost estimate for the project. Project budget of \$10 million approved by the Board of Trustees on February 1, 2002. Project budget increased to \$12 million to cover additional quality improvements to building systems, including skylight, roof, and mechanical systems. When plaza work is completed & tested, additional work may be needed on the planters if leaks are still occurring. If so, planter project (preliminary estimate \$750,000) will come forward as a separate project to the Board. Present estimate does not include this work.

Wexner Center - Building Renovations



Office of Business and Finance
Office of Facilities Planning and Development

December 9, 2000

Wiseman Hall - Expand Comprehensive Cancer Center**315-1999-957****PROJECT CAPTAIN:**

Sara Herridge, Facilities Planning & Development - Architecture, 292-0381

REQUESTING AGENCY:

Health Science Administration

PROJECT LOCATION:

0157 - WISEMAN HALL

AGE:

1960

GR. SQ. FT.:

81,693

PROJECT DESCRIPTION:

Design and construct a two-story addition of approximately 20,000S.F to the existing Comprehensive Cancer Center (10,000S.F. per floor). The addition will extend the third and fourth floors out over the north side, second floor of Wiseman

MILESTONES:

	Projected	Revised	Actual
Arch/Eng Approved B/T	02/04/2000	03/03/2000	03/03/2000
Bidding Approved B/T	02/04/2000	03/03/2000	
Arch/Eng Contract	04/24/2000	04/15/2001	07/06/2001
Schematic Design Approval	08/07/2000	10/15/2001	12/06/2001
Design Development Approval	10/02/2000	06/01/2002	03/28/2002
Construction Document Approval	12/11/2000	07/01/2002	
Bid Opening	01/15/2001	08/15/2002	
Award of Contracts	03/12/2001	10/15/2002	
Construction Start	03/13/2001	10/16/2002	
Report of Award to B/T	04/01/2001	11/01/2002	
Completion	02/04/2002	11/01/2003	

FUNDING INFORMATION:

Dev - James Cancer Hospital	4,940,000	7,339,702	
Total	\$ 4,940,000	\$ 7,339,702	

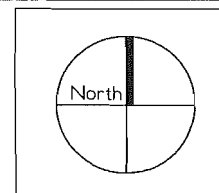
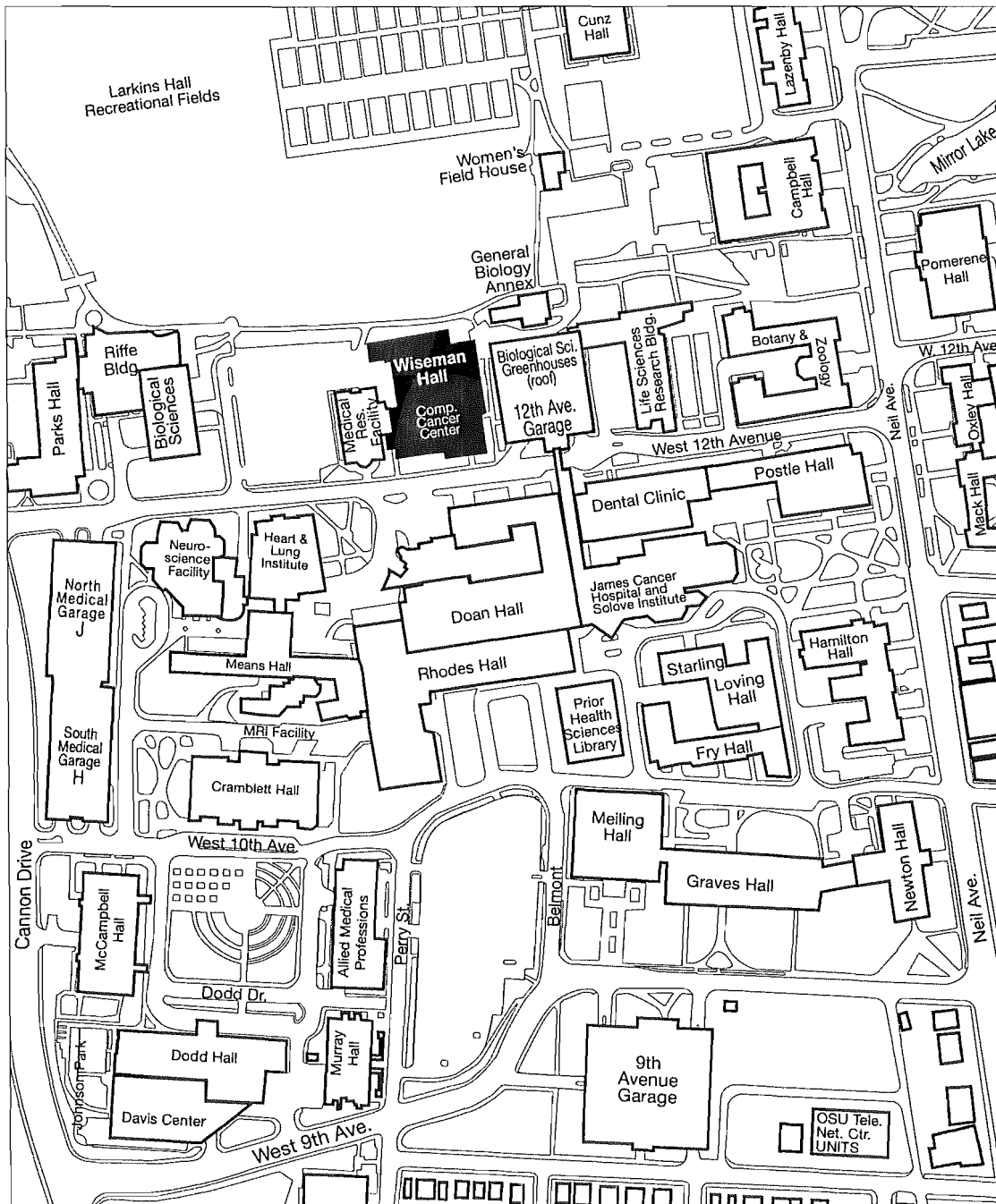
USES:

Construction	\$ 4,000,000	\$ 6,025,920	
Contingency	552,000	726,247	
Design	340,000	515,224	
Univ Administration	48,000	72,311	
Total	\$ 4,940,000	\$ 7,339,702	

COMMENTS:

Project budget of \$4,940,000 approved by the Board of Trustees on March 3, 2000. The project has expanded to approximately 42,000 S.F. The 3rd floor addition will be a shelled space and the 4th floor will be a finished space. An elevator is also be added to serves all levels including the two new floors.

Wiseman Hall - Expand Comprehensive Cancer Center



Office of Business and Finance
Office of Facilities Planning and Development

June 15, 2002

Student Family Community Center at Buckeye Village**315-1999-941****PROJECT CAPTAIN:**

Ruth Miller, Facilities Planning & Development - Architecture, 292-4240

REQUESTING AGENCY:

Student Affairs

PROJECT LOCATION:

site - see comments

AGE:

N/A

GR. SQ. FT.:

N/A

PROJECT DESCRIPTION:

Construction of a new community facility to provide an integrated approach to addressing the needs of OSU Child Care Center and ACCESS Program for single parents and providing a new community facility at Buckeye Village. The new facility will provide space for administrative and maintenance offices, satellite child care center, recreation area, laundry facility, computer lab, aerobic fitness room, and meeting rooms.

MILESTONES:

	Projected	Revised	Actual
Arch/Eng Approved B/T	02/02/2001		02/02/2001
Bidding Approved B/T	02/02/2001	07/12/2002	
Arch/Eng Contract	07/01/2001	02/01/2002	02/01/2002
Schematic Design Approval	10/01/2001	02/14/2002	02/14/2002
Design Development Approval	02/15/2002		06/27/2002
Construction Document Approval	05/15/2002	08/29/2002	
Bid Opening	08/01/2002	11/07/2002	
Award of Contracts	10/01/2002	12/04/2002	
Construction Start	10/15/2002	12/06/2002	
Report of Award to B/T	11/01/2002	02/01/2003	
Completion	09/15/2003	01/15/2004	

FUNDING INFORMATION:

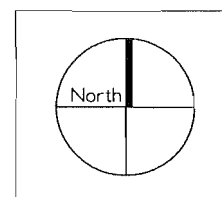
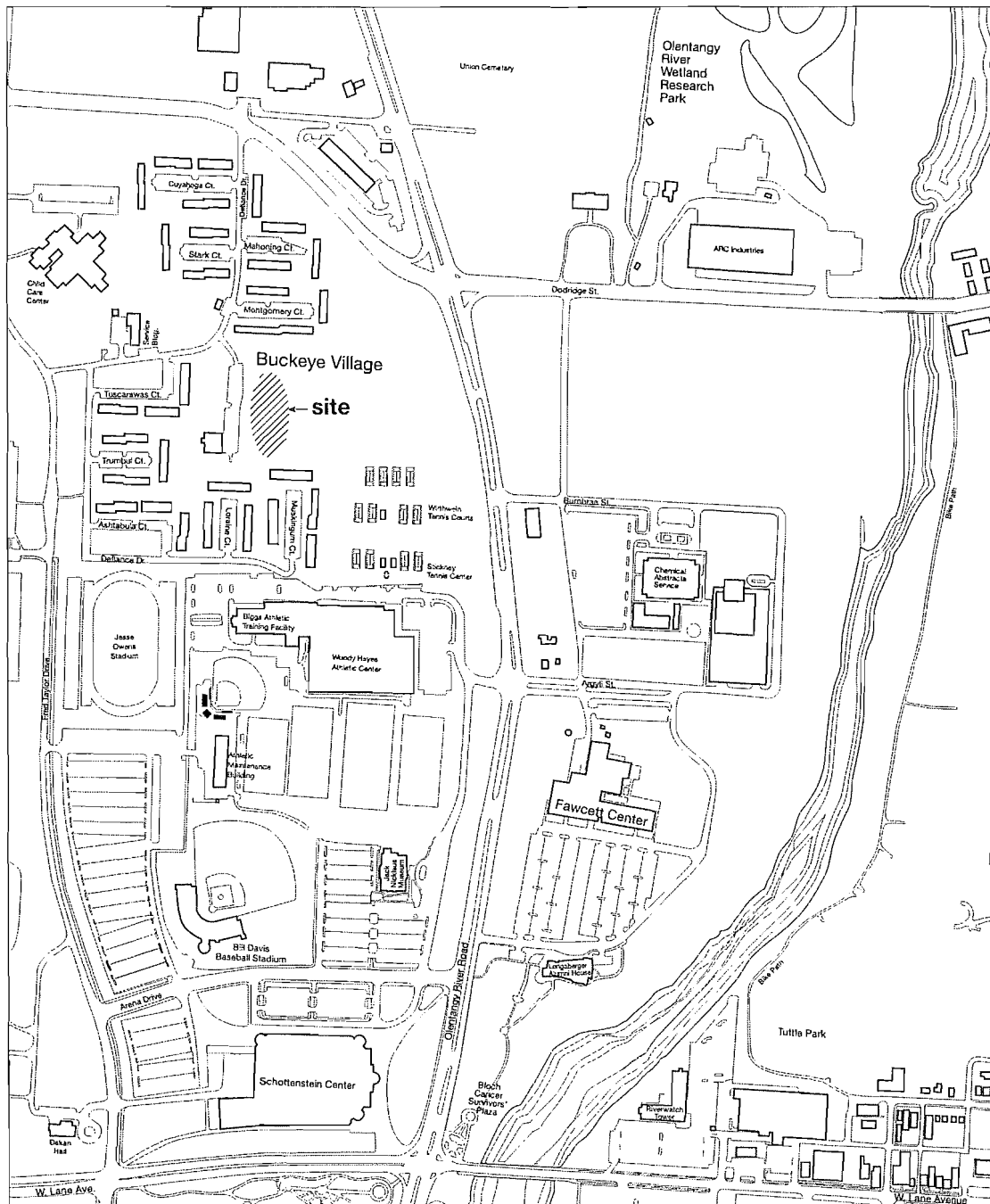
Future Univ Bonds	5,400,000		
Total	\$ 5,400,000	\$ -	

USES:

Construction	\$ 4,454,710	\$ 4,559,130	
Contingency	386,402	286,982	
Design	282,579	277,579	
Equipment	140,000	140,000	
Hazardous Material	85,064	85,064	
Univ Administration	51,245	51,245	
Total	\$ 5,400,000	\$ 5,400,000	

COMMENTS:

Student Family Community Center at Buckeye Village



Office of Business and Finance
Office of Facilities Planning and Development

June 15, 2002

Medical Center - Central Sterile Supply Department**315-1999-939-4****PROJECT CAPTAIN:**

Lori Seeger, Facilities Planning & Development - Architecture, 688-3757

REQUESTING AGENCY:

Health Sciences

PROJECT LOCATION:

site - see comments

AGE:

N/A

GR. SQ. FT.:

N/A

PROJECT DESCRIPTION:

Integration of a new Central Sterile Supply facility into the ground floor of the new Ross Heart Hospital.

MILESTONES:

	Projected	Revised	Actual
Arch/Eng Approved B/T	07/12/2002		
Construction Manager Approved B/T	07/12/2002		
Bidding Approved B/T	07/12/2002		
Arch/Eng Contract	07/15/2002		
Schematic Design Approval	07/15/2002		
Design Development Approval	07/15/2002		
Construction Document Approval	09/15/2002		
Bid Opening	11/01/2002		
Award of Contracts	01/01/2003		
Construction Start	01/10/2003		
Report of Award to B/T	03/01/2003		
Completion	01/01/2004		

FUNDING INFORMATION:

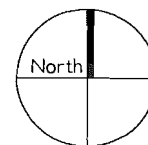
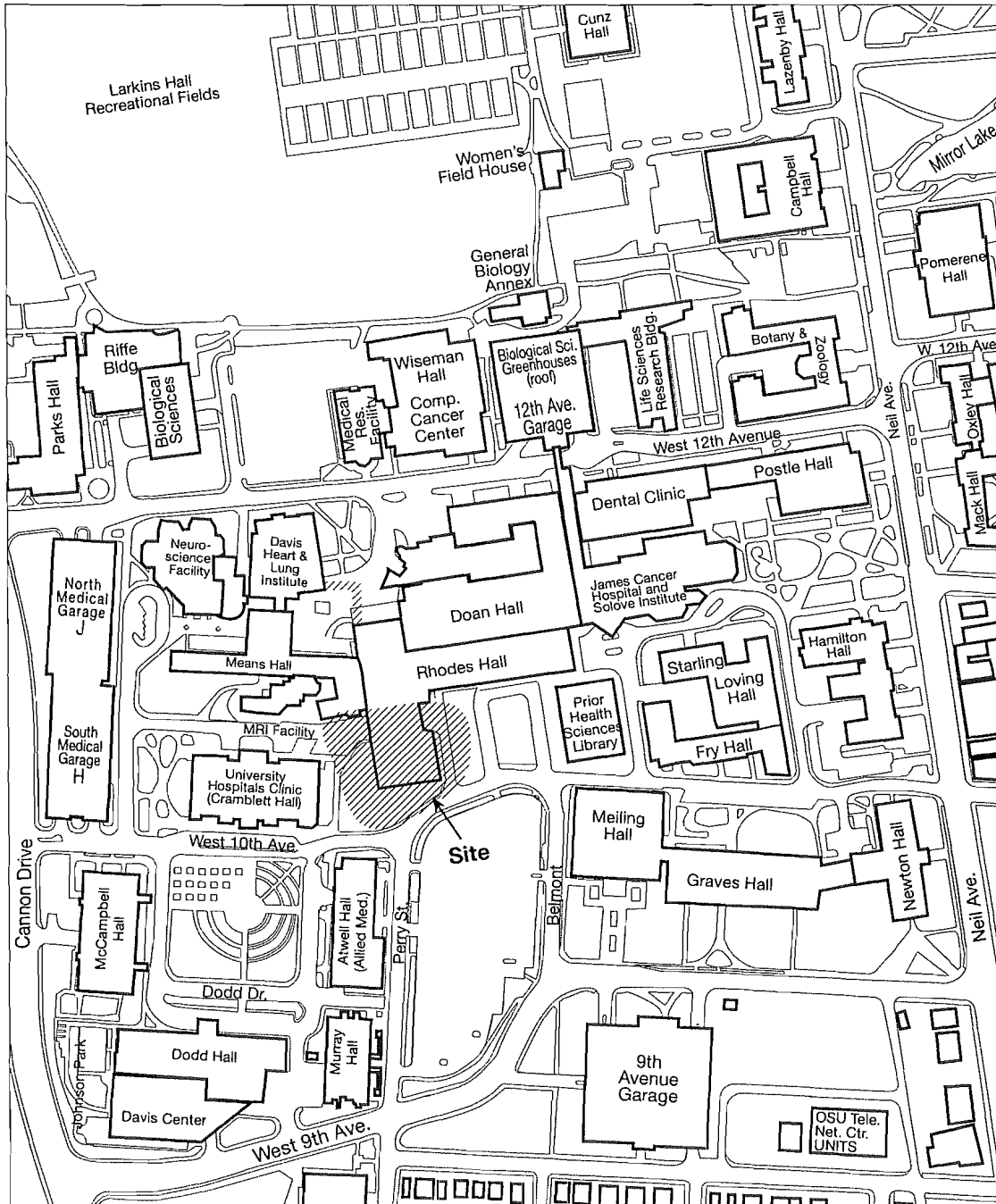
Aux - Univ. Hospitals	5,750,000		
Total	\$ 5,750,000	\$ -	

USES:

Construction	\$ 4,700,000		
Construction Manager	\$ 129,843		
Contingency	328,757		
Design	535,000		
Univ Administration	56,400		
Total	\$ 5,750,000	\$ -	

COMMENTS:

Medical Center - Central Sterile Supply Department



Office of Business and Finance
Office of Facilities Planning and Development

June 17, 2002

Medical Center - Helipad on Rhodes Hall**315-1999-939-3****PROJECT CAPTAIN:**

Lori Seeger, Facilities Planning & Development - Architecture, 688-3757

REQUESTING AGENCY:

Health Sciences

PROJECT LOCATION:

site - see comments

AGE:

N/A

GR. SQ. FT.:

N/A

PROJECT DESCRIPTION:

Construct a 60' x 60' helipad on the southwest corner of Rhodes Hall Tower. Extend the two elevators from the Emergency department up to serve the helipad. Related renovations/relocations to accomodate construction.

MILESTONES:

	Projected	Revised	Actual
Arch/Eng Approved B/T	07/12/2002		
Construction Manager Approved B/T	07/12/2002		
Bidding Approved B/T	07/12/2002		
Arch/Eng Contract	07/15/2002		
Schematic Design Approval	07/15/2002		
Design Development Approval	07/15/2002		
Construction Document Approval	09/15/2002		
Bid Opening	11/01/2002		
Award of Contracts	01/01/2003		
Construction Start	01/10/2003		
Report of Award to B/T	03/01/2003		
Completion	01/01/2004		

FUNDING INFORMATION:

Aux - Univ. Hospitals	2,927,838		
Total	\$ 2,927,838	\$ -	

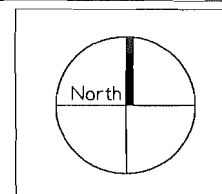
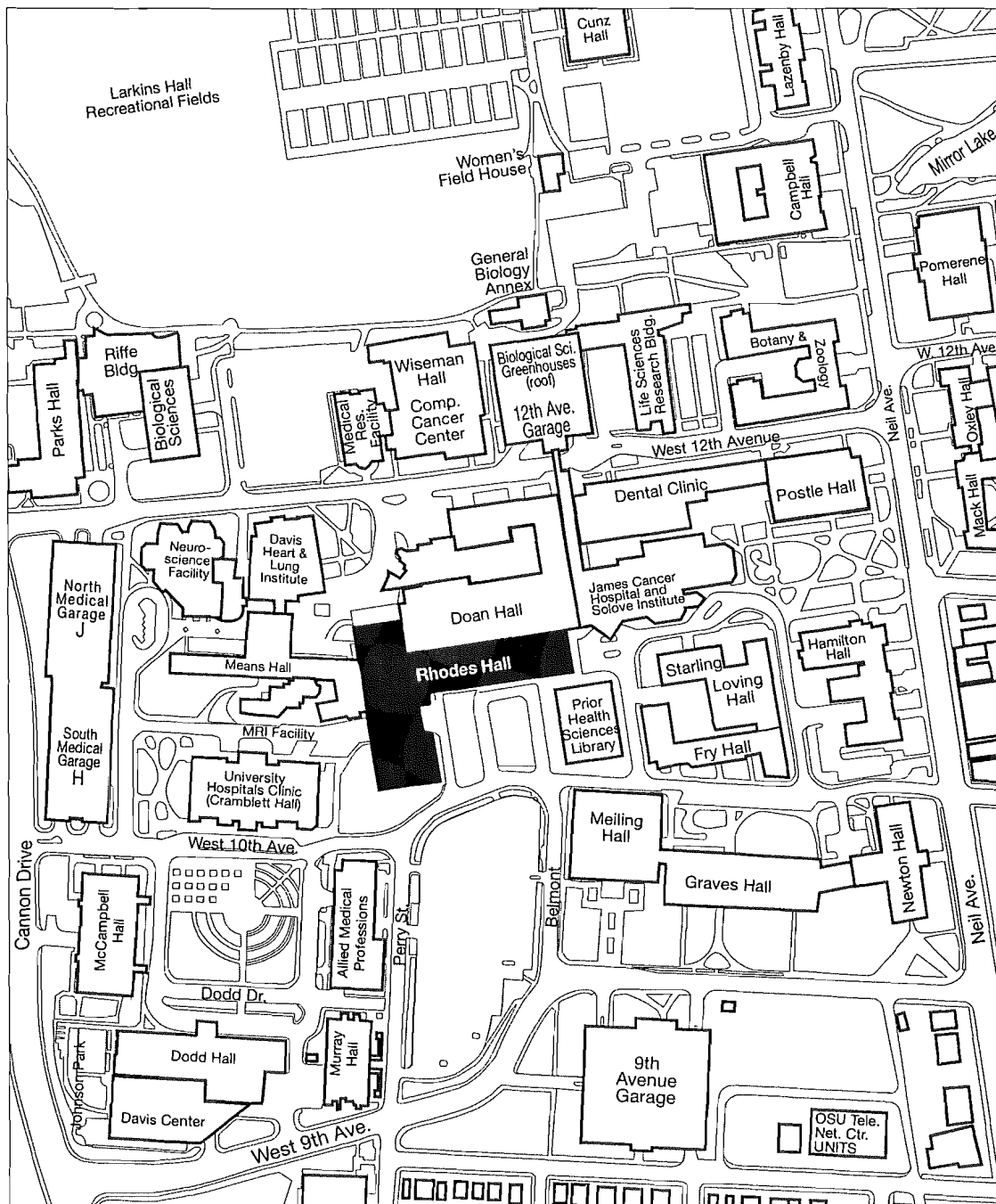
USES:

Construction	\$ 2,357,357		
Construction Manager	\$ 129,655		
Contingency	235,736		
Design	176,802		
Univ Administration	28,288		
Total	\$ 2,927,838	\$ -	

COMMENTS:

Contract for work will be integrated with Heart Hospital.

Medical Center - Helipad on Rhodes Hall



Office of Business and Finance
Office of Facilities Planning and Development

June 17, 2002

WOSU Renovation**315-2002-913****PROJECT CAPTAIN:**

Ruth Miller, Facilities Planning & Development - Architecture, 292-4240

REQUESTING AGENCY:

WOSU Stations

PROJECT LOCATION:

0284 - FAWCETT CENTER FOR TOMORROW

AGE:

1970

GR. SQ. FT.:

178,207

PROJECT DESCRIPTION:

Renovate space in the Fawcett Center to create radio studios, a radio reception area, teleconference rooms, and space for digital transmission equipment.

MILESTONES:

	Projected	Revised	Actual
Arch/Eng Approved B/T	07/12/2002		
Bidding Approved B/T	07/12/2002		
Arch/Eng Contract	01/15/2003		
Schematic Design Approval	04/05/2003		
Design Development Approval	04/05/2003		
Construction Document Approval	06/05/2003		
Bid Opening	08/15/2003		
Award of Contracts	09/15/2003		
Construction Start	09/20/2003		
Report of Award to B/T	11/01/2003		
Completion	03/15/2004		

FUNDING INFORMATION:

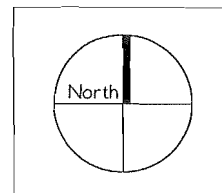
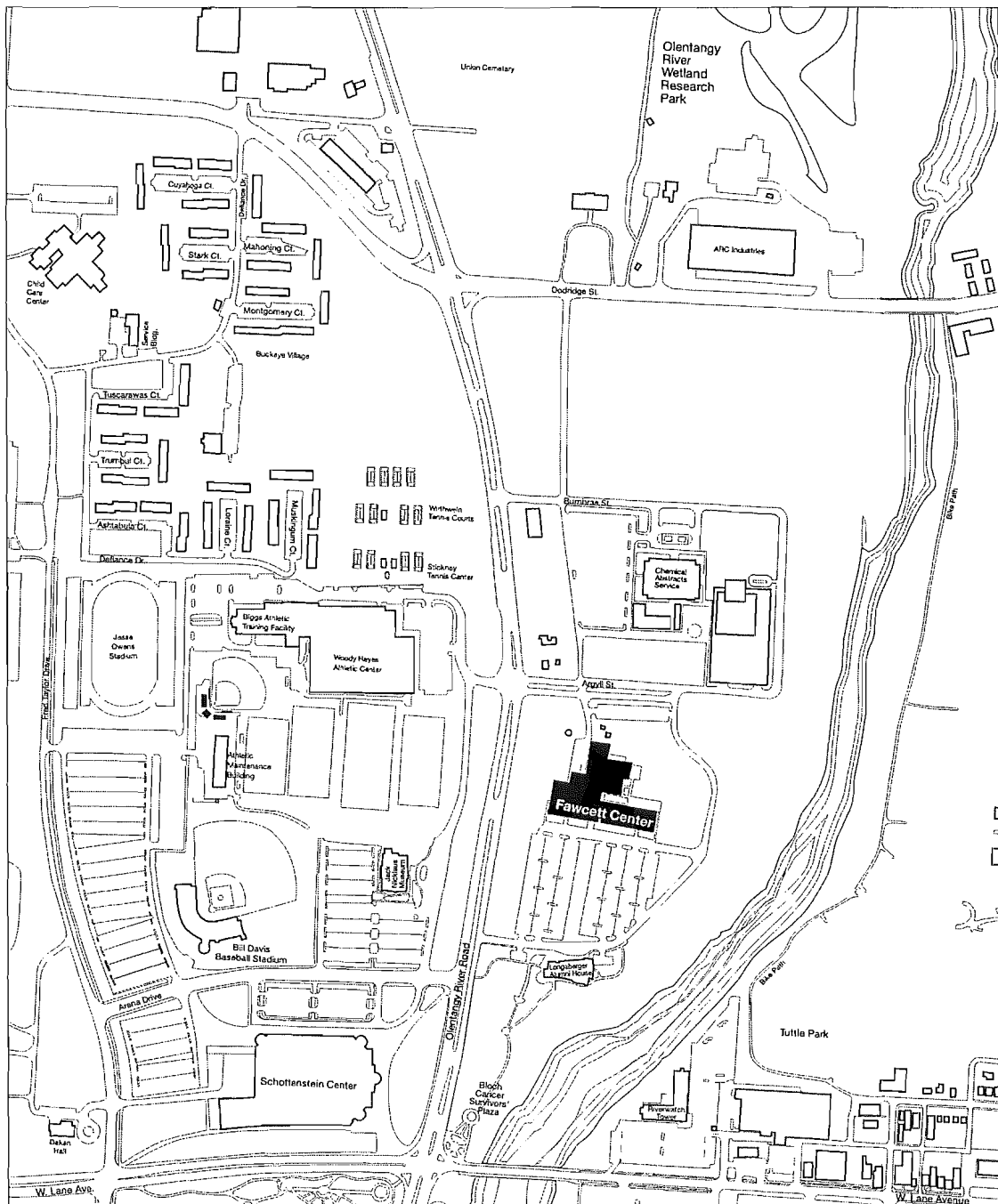
WOSU	864,460		
Total	\$ 864,460	\$ -	

USES:

Construction	\$ 705,682		
Contingency	70,568		
Design	77,625		
Univ Administration	10,585		
Total	\$ 864,460	\$ -	

COMMENTS:

WOSU Expansion



Office of Business and Finance
Office of Facilities Planning and Development

June 15, 2002

OARnet - HVAC and Electrical Improvements**315-2002-919****PROJECT CAPTAIN:**

Colin McBride, University Engineer's Office - Physical Facilities, 292-7387

REQUESTING AGENCY:

OARnet

PROJECT LOCATION:

site - see comments

AGE:

N/A

GR. SQ. FT.:

N/A

PROJECT DESCRIPTION:

Install UPS system, generator, HVAC and new 3-phase 208-volt service at 1454 West Lane Avenue.

MILESTONES:

	Projected	Revised	Actual
Bidding Approved B/T	07/12/2002		
Schematic Design Approval	07/24/2002		
Design Development Approval	07/24/2002		
Construction Document Approval	07/24/2002		
Bid Opening	08/01/2002		
Award of Contracts	09/25/2002		
Construction Start	09/30/2002		
Report of Award to B/T	12/01/2002		
Completion	11/29/2002		

FUNDING INFORMATION:

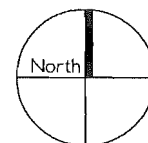
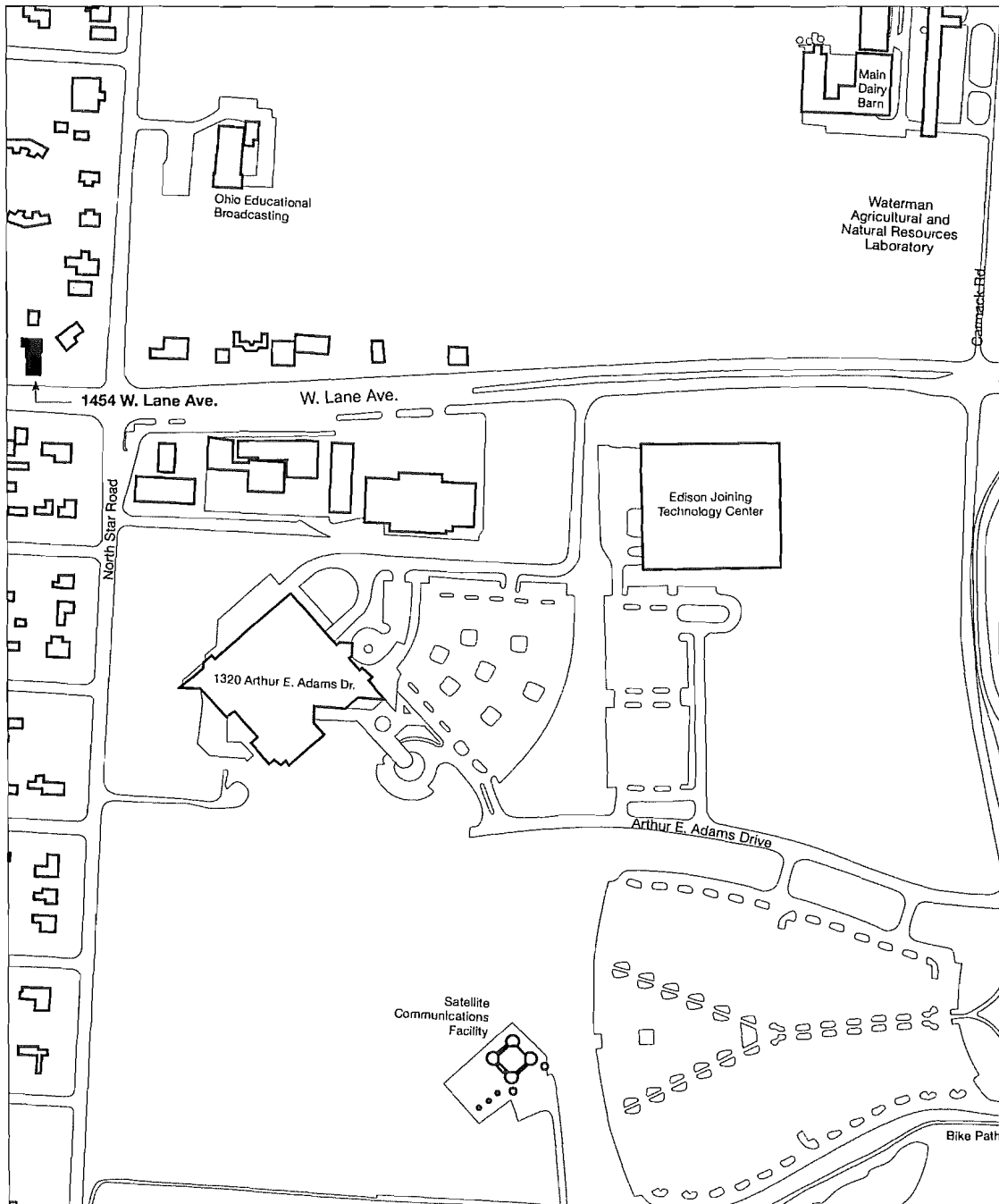
House Bill 640	250,616		
Total	\$ 250,616	\$ -	

USES:

Construction	\$ 224,768		
Contingency	22,477		
Univ Administration	3,371		
Total	\$ 250,616	\$ -	

COMMENTS:

OARnet - HVAC and Electrical Improvements



Office of Business and Finance
Office of Facilities Planning and Development

June 27, 2002

PROJECT CAPTAIN:

Scott Conlon, Facilities Planning & Development - Architecture, 292-0983

REQUESTING AGENCY:

Knowlton School of Architecture

PROJECT LOCATION:

site - see comments

AGE:

N/A

GR. SQ. FT.:

N/A

PROJECT DESCRIPTION:

Construct a new facility to house the programs of Architecture, City and Regional Planning, and Landscape Architecture.

MILESTONES:	Projected	Revised	Actual
Arch/Eng Approved B/T	11/04/1994		11/04/1994
Bidding Approved B/T	11/04/1994	11/01/1996	02/02/2001
Arch/Eng Contract			08/28/1999
Schematic Design Approval	09/08/1995	04/15/2000	04/17/2000
Design Development Approval	03/15/1996	11/15/2000	12/01/2000
Construction Document Approval	09/13/1996	08/30/2001	09/11/2001
Bid Opening	11/15/1996	04/03/2002	04/03/2002
Award of Contracts	02/14/1997	06/15/2002	06/21/2002
Construction Start	02/28/1997	06/21/2002	06/24/2002
Report of Award to B/T	03/07/1997	07/12/2002	
Completion	09/05/1998	04/14/2004	

FUNDING INFORMATION:

Dev - Engineering	\$	10,000,000		
Future Capital Appropriations	\$	9,000,000		
Future Univ Bond Proceeds			\$	22,668,320
House Bill 748	\$	-	\$	233,280
House Bill 790	\$	1,000,000	\$	1,000,000
House Bill 850	\$	-	\$	9,000,000
Dept. of Physical Facilities		-		98,400
Total	\$	20,000,000	\$	33,000,000

USES:

Columns	\$	1,000,000	\$	810,532
Construction	\$	16,000,000	\$	25,639,800
Contingency		1,500,000		1,937,170
Design		1,500,000		2,075,596
Equipment				1,666,833
Estimating Consultant				137,197
Moving		-		50,000
Percent for Art				90,000
State Architect		-		77,769
Swing Space *				157,500
Telecommunication Line Reloc				110,500
Univ Administration		-		247,103
Total	\$	20,000,000	\$	33,000,000

COMMENTS:

Authorization to bid originally received November 1, 1996. Authorization to bid at the higher, revised project budget received February 2, 2001. Additional budget increases reflect the acceptance of alternates at the time of receipt of bids, the inclusion of the marble cladding on the building, and library revisions.

Previously known as project number 315-1998-039.

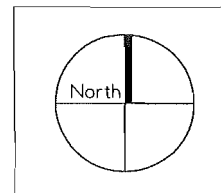
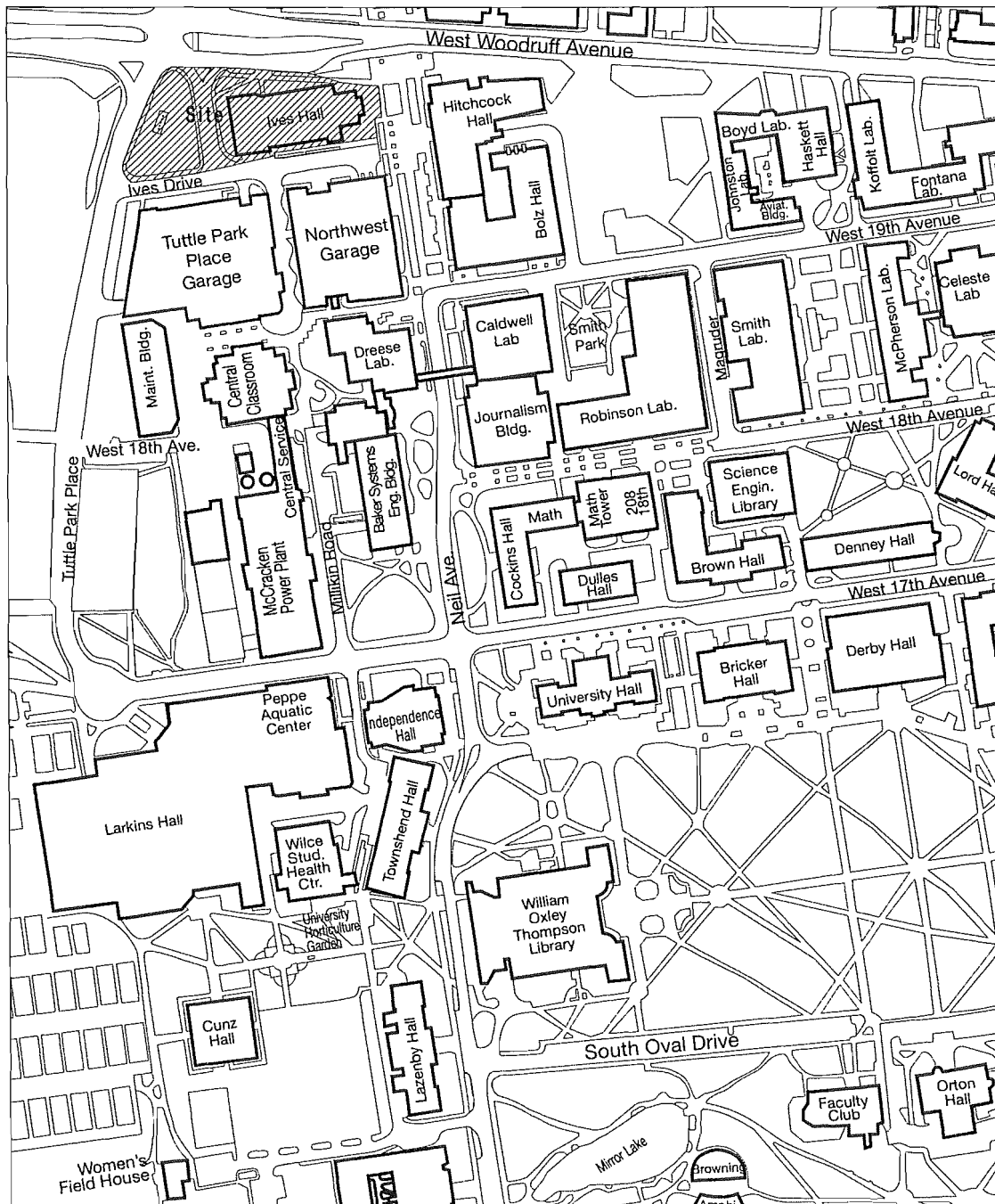
The Knowlton columns (5) were installed Summer/Fall 1998 adjacent to Tuttle Park Place on the future site of the Knowlton School of Architecture.

Project budget increased to more closely reflect the scope of the work. Debt service on the University bonds will be paid through gifts to the School.

The existing project budget does not include funding for asbestos abatement of Ives Hall. This work is being accomplished via project contingency funds.

* Swing space costs total \$305,000 of which \$157,500 is funded from Knowlton School of Architecture and \$157,500 from Central University funds. (See project 50700-R011648 Vivian Hall Rooms 1-218)

Knowlton School of Architecture



Office of Business and Finance
Office of Facilities Planning and Development

June 20, 2002

PROJECT CAPTAIN:

Chuck Hamilton, Facilities Planning & Development - Architecture, 292-0997

REQUESTING AGENCY:

Newark Campus

PROJECT LOCATION:

site - see comments

AGE:

N/A

GR. SQ. FT.:

N/A

PROJECT DESCRIPTION:

Construct an instructional technology center to support the academic mission, provide student gathering/activity spaces, and serve as a conference center for the community.

MILESTONES:

	Projected	Revised	Actual
Arch/Eng Approved B/T	10/01/1999		10/01/1999
Bidding Approved B/T	12/03/2000	11/02/2001	11/02/2001
Arch/Eng Contract	01/01/2000		06/20/2000
Schematic Design Approval	06/01/2000	01/31/2001	01/31/2001
Design Development Approval	09/01/2000	05/31/2001	05/31/2001
Construction Document Approval	03/01/2001	12/20/2001	01/04/2002
Bid Opening	04/01/2001	02/27/2002	02/27/2002
Award of Contracts	06/15/2001	04/28/2002	04/25/2002
Construction Start	07/01/2001	04/22/2002	04/29/2002
Report of Award to B/T	06/01/2001	07/12/2002	
Completion	12/31/2002	08/22/2003	

FUNDING INFORMATION:

Central Ohio Technical College	\$	2,266,530	\$	2,266,530
Dev - Newark Campus	\$	7,623,000	\$	8,158,515
Future Capital Appropriations		3,445,050		3,445,050
Total	\$	13,334,580	\$	13,870,095

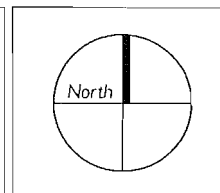
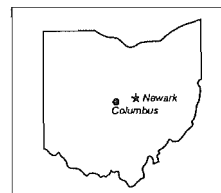
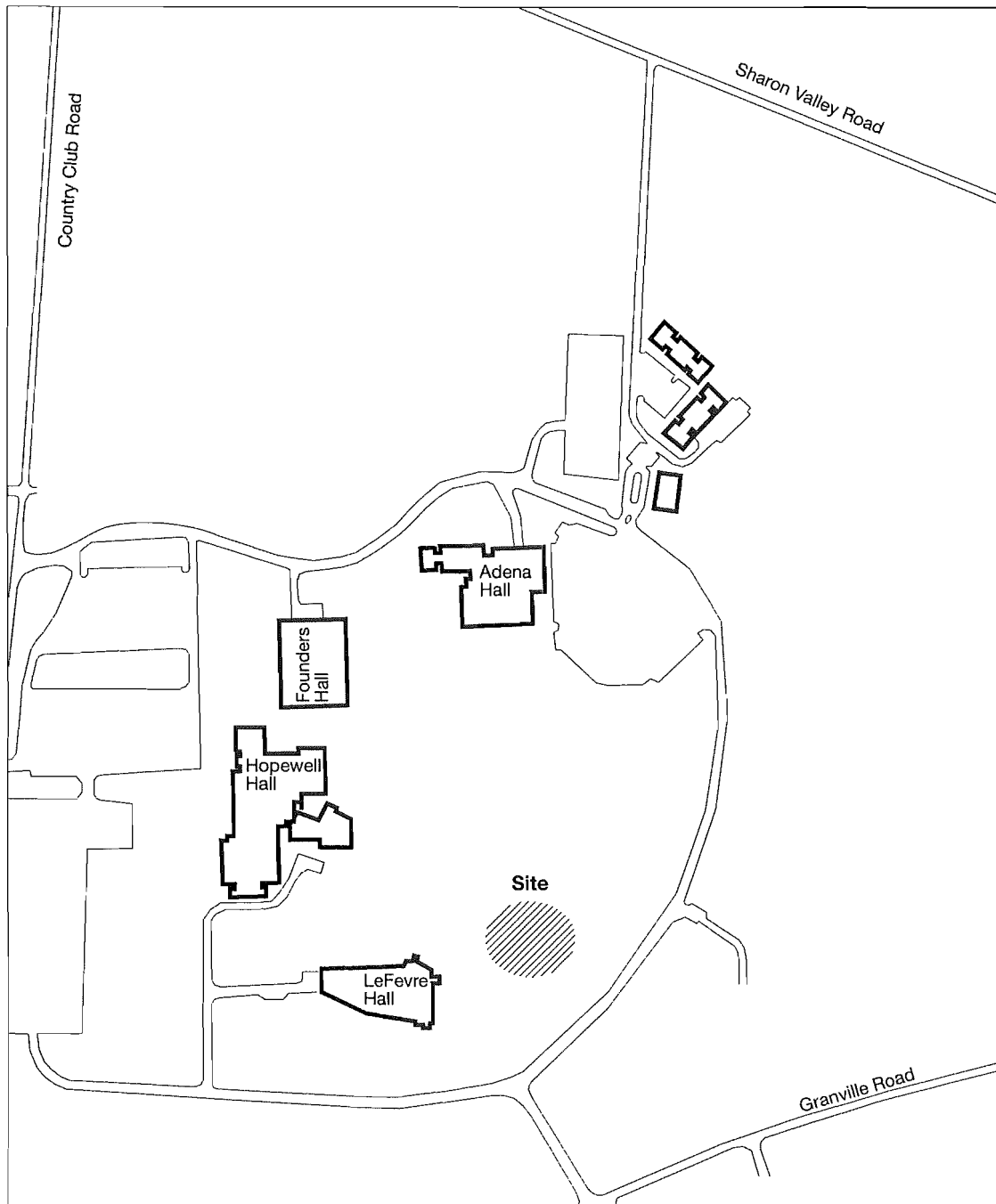
USES:

Construction	\$	11,234,441	\$	10,581,788
Contingency		561,722		774,995
Design		986,741		986,741
Equipment		500,000		500,000
Technology		-		900,000
Univ Administration		51,676		126,571
Total	\$	13,334,580	\$	13,870,095

COMMENTS:

Site is east of LeFevre Hall. Project budget increased to reflect the inclusion of technology equipment not included in the original project budget.

Newark Campus - Reese Center



Office of Business and Finance
Office of Facilities Planning and Development

October 10, 2001

Automated Transport System for Univ Medical Center Phase I**315-2000-900****PROJECT CAPTAIN:**

Chuck Hamilton, Facilities Planning & Development - Architecture, 292-0997

REQUESTING AGENCY:

University Hospitals

PROJECT LOCATION:

site - see comments

AGE:

N/A

GR. SQ. FT.:

N/A

PROJECT DESCRIPTION:

Renovations associated with the replacement of an automated material handling system.

MILESTONES:	Projected	Revised	Actual
Arch/Eng Approved B/T	12/03/1999		12/03/1999
Bidding Approved B/T	12/03/1999		11/02/2001
Arch/Eng Contract	03/14/2001		03/19/2001
Construction Document Approval	07/01/2000	01/10/2002	10/10/2001
Bid Opening	08/01/2000	02/06/2002	03/05/2002
Award of Contracts	08/31/2000	04/15/2002	04/10/2002
Construction Start	09/01/2000	04/15/2002	04/22/2002
Report of Award to B/T	12/01/2000	07/12/2002	
Completion	09/01/2002	12/16/2002	

FUNDING INFORMATION:

Aux - University Hospitals	950,000	4,187,175
Total	\$ 950,000	\$ 4,187,175

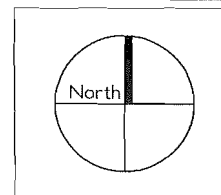
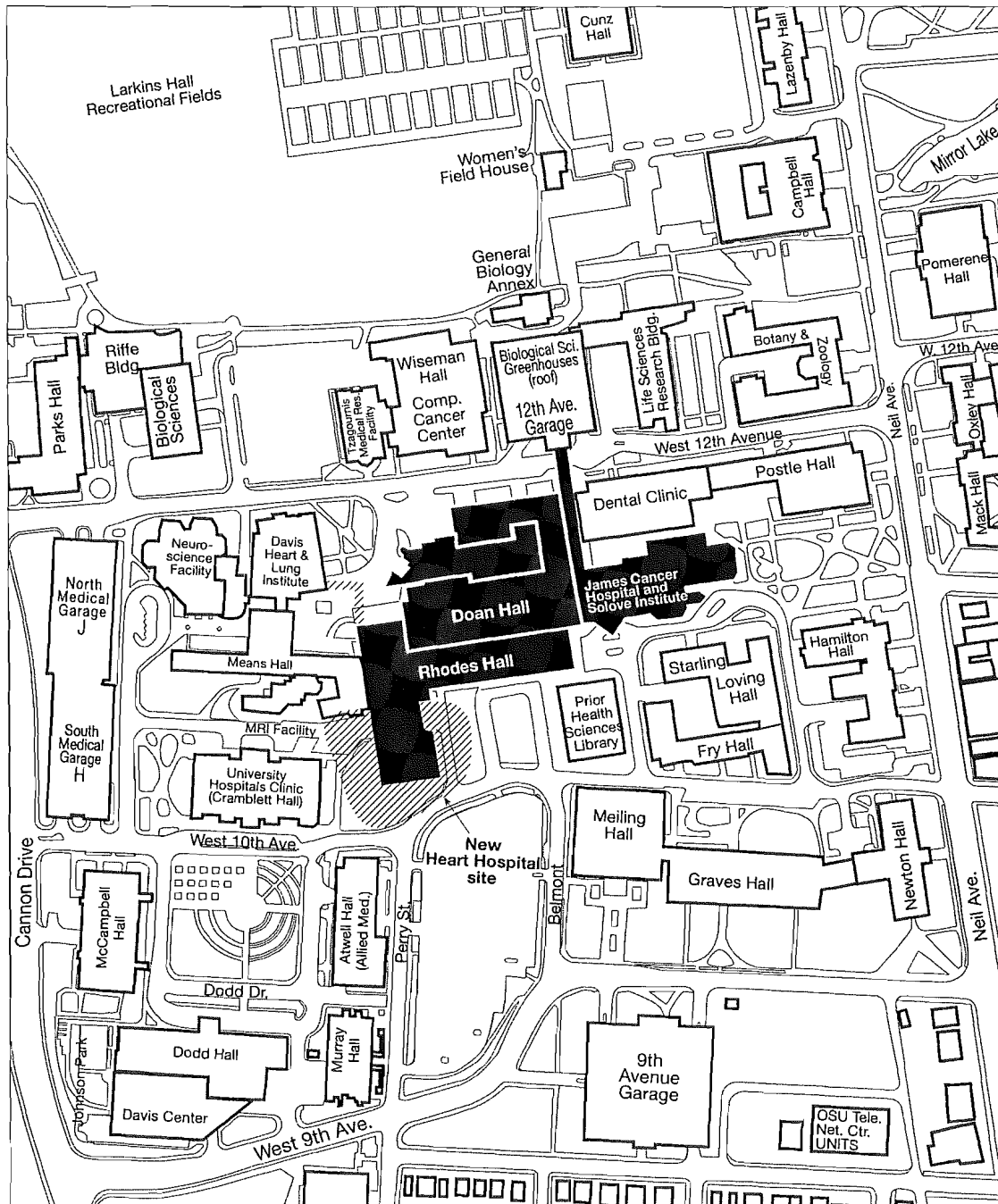
USES:

Construction	\$ 800,000	\$ 2,962,786
Contingency	53,750	496,939
Design	85,000	691,897
Univ Administration	11,250	35,553
Total	\$ 950,000	\$ 4,187,175

COMMENTS:

Increased project budget and scope presented to the Board of Trustees for approval on 6/29/01 and 11/2/01 and reflect a change in scope of services, including expansion of the system to all areas of the Medical Center.

Automated Transport System for University Medical Center Phase I



Office of Business and Finance
Office of Facilities Planning and Development

October 10, 2001

Cramblett Hall - OB/GYN Clinic**315-1999-943****PROJECT CAPTAIN:**

Patrick Cuthbert, Facilities Planning & Development - Architecture, 292-3186

REQUESTING AGENCY:

University Hospitals

PROJECT LOCATION:

0300 - CRAMBLETT HALL

AGE:

1974

GR. SQ. FT.:

225,849

PROJECT DESCRIPTION:

Renovate approximately 17,000 gross square feet of space located on the second floor of Cramblett Hall in order to expand the OB/GYN Clinic area.

MILESTONES:

	Projected	Revised	Actual
Arch/Eng Approved B/T	04/02/1999		04/06/1999
Bidding Approved B/T	04/02/1999		02/04/2000
Schematic Design Document Approval	05/15/1999		12/01/1999
Design Dev Document Approval	06/01/1999		02/01/2000
Construction Document Approval	07/15/1999		05/15/2000
Bid Opening	08/31/1999	02/22/2002	03/01/2002
Award of Contracts	09/15/1999	07/02/2002	
Construction Start	10/01/1999	07/08/2002	
Report of Award to B/T	12/01/1999	07/12/2002	
Completion	03/31/2000	01/07/2003	

FUNDING INFORMATION:

Aux - University Hospitals	914,000	1,821,025
Total	\$ 914,000	\$ 1,821,025

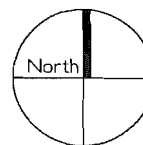
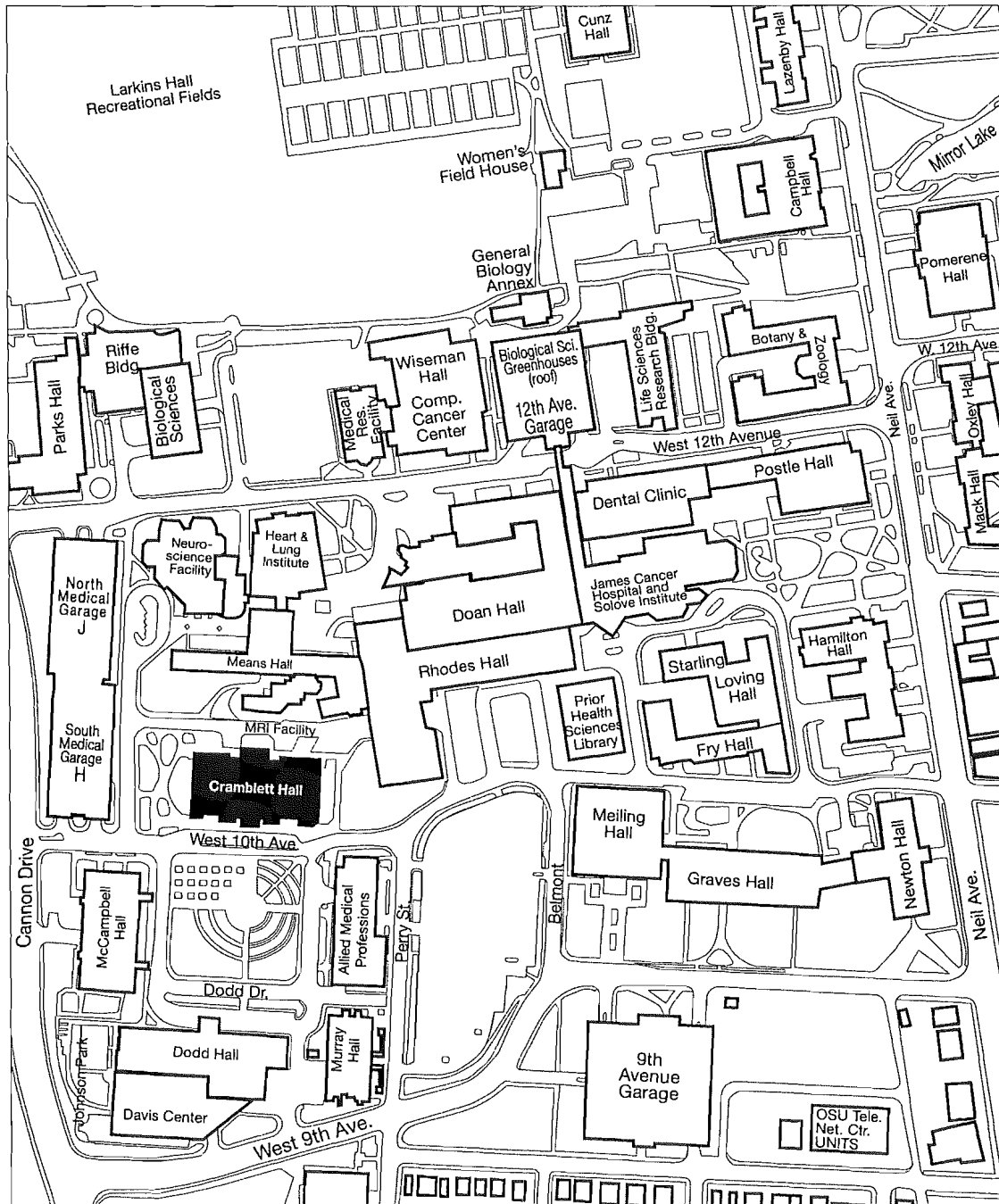
USES:

Construction	\$ 760,750	\$ 1,395,659
Contingency	60,000	206,075
Design	82,000	202,672
Univ Administration	11,250	16,619
Total	\$ 914,000	\$ 1,821,025

COMMENTS:

Project budget increased to reflect the scope change of additional square feet and complete demolition of walls and doors in lieu of using existing. Board of Trustees approval of the revised scope and project budget received 2/4/00.

Cramblett Hall - OB/GYN Clinic



Office of Business and Finance
Office of Facilities Planning and Development

December 9, 2000

Campus Grounds - Woody Hayes Drive Rebuild**315-2000-934****PROJECT CAPTAIN:**

Tricia Petras, University Engineer - Physical Facilities, 292-1349

REQUESTING AGENCY:

Physical Facilities

PROJECT LOCATION:

Unidentified Bridge/Roadway

AGE:

N/A

GR. SQ. FT.:

N/A

PROJECT DESCRIPTION:

The section of road between Herrick Drive and Kenny Road will be rebuilt to carry bus traffic. Many sections have failed due to heavy vehicles and improper drainage.

MILESTONES:

	Projected	Revised	Actual
Arch/Eng Approved B/T	08/30/2000		08/30/2000
Bidding Approved B/T	08/30/2000		08/30/2000
Schematic Design Document Approval	08/01/2001	04/01/2002	04/01/2002
Design Dev Document Approval	11/01/2001	04/01/2002	04/01/2002
Construction Document Approval	02/01/2002	07/01/2002	04/01/2002
Bid Opening	04/01/2002	06/15/2002	05/01/2002
Award of Contracts	06/01/2002	07/01/2002	06/17/2002
Construction Start	06/15/2002	07/01/2002	06/17/2002
Report of Award to B/T	07/01/2002	07/12/2002	
Completion	09/01/2002	08/16/2002	

FUNDING INFORMATION:

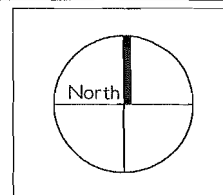
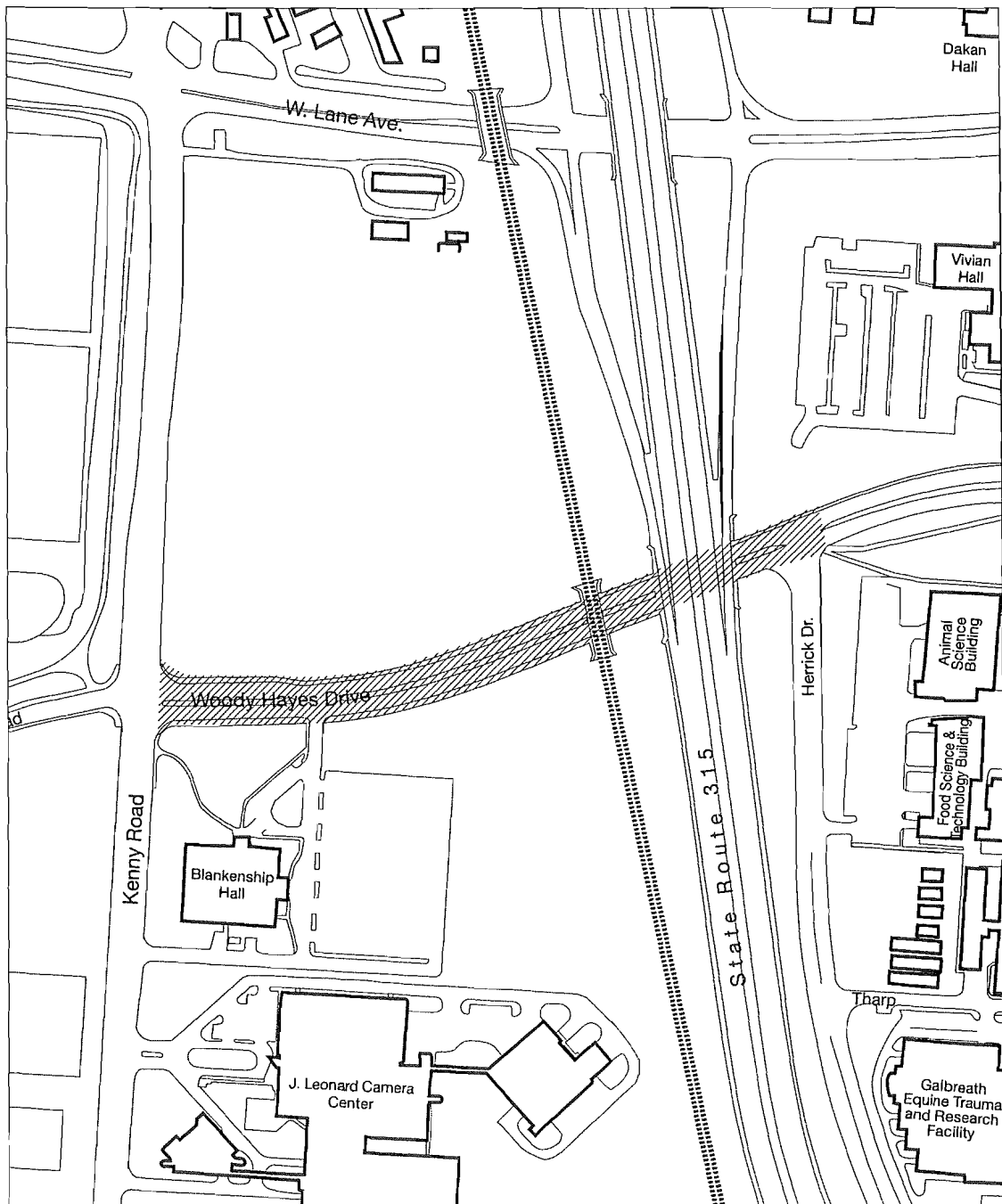
House Bill 640	1,500,000	1,214,012
Total	\$ 1,500,000	\$ 1,214,012

USES:

Construction	\$ 1,275,000	\$ 973,091
Contingency	116,625	97,309
Design	89,250	129,016
Univ Administration	19,125	14,596
Total	\$ 1,500,000	\$ 1,214,012

COMMENTS:

Campus Grounds Woody Hayes Drive Rebuild



Office of Business and Finance
Office of Facilities Planning and Development

December 9, 2000

(APPENDIX II)

PURCHASE OF REAL PROPERTY

**4.3 ACRES ADJACENT TO THE MARION CAMPUS
MARION, OHIO**

Location and Description

The property to be purchased consists of 4.3 acres of unimproved woodland adjacent to the northwestern corner of The Ohio State University's Marion Campus. It is directly south of the Early Childcare Center, which has frontage on State Route 95 (Mount Vernon Avenue). The only access to the property would be through the adjoining University property; it is not served by other access roads.

Appraisal and Purchase Price

A 1999 MAI appraisal conducted by Blue Appraisal Company was updated in the fall of 2001 and valued the property to be purchased at \$65,000. The property owners, who hold the land in a trust, will transfer the land to the University for that price. The deed to the property will be transferred and conveyed by Lawrence Babich and Carl Fry as Trustees through Karen Seckel, the Executor of the Estate of James C. Neff. Acquisition expenses will be about \$3,000. The Marion Campus will be responsible for the costs for the purchase and operation of the property.

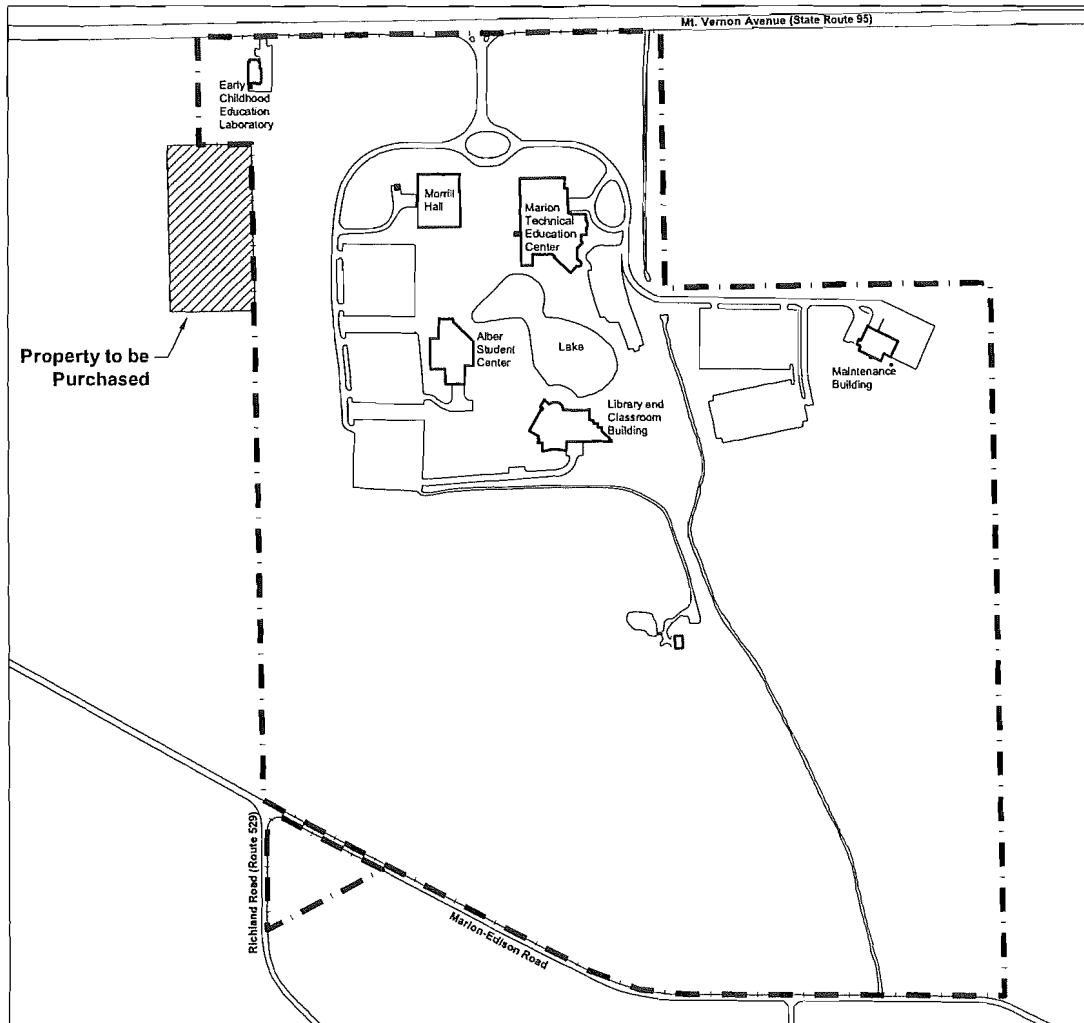
Use of the Property

Title to the property will be taken in the name of the State of Ohio for the use and benefit of The Ohio State University. Ownership of this parcel will give the University greater control of development on the western border of the Marion Campus, where the County plans a connector road that is expected to result in commercial and retail growth. The Marion Campus master plan currently under development identifies purchase of this property as a long-term goal.

Purchase of Real Property

4.3 Acres adjacent to

The Ohio State University Marion Campus



No True Scale

Office of Business and Finance
July 12, 2002



Map Provided by University Engineer's Office



Property to be Purchased



Boundary of
OSU Marion Campus

(APPENDIX III)

ACQUISITION OF LEASEHOLD INTEREST

COMMERCIAL AND RESIDENTIAL PROPERTY
1656-1660 NEIL AVENUE
COLUMBUS, OHIO

Location and Description

The leasehold interest to be purchased consists of 0.12 acres of commercially zoned property held in a perpetual lease by Campus Partners. The leasehold contains a commercial and residential building that houses a McDonald's Restaurant and two apartment units upstairs. The underlying fee simple title is held by the State of Ohio for the use of The Ohio State University, bequeathed to the University in 1992 by Mabel White. Control of the land, though, is through the perpetual lease, which belonged to the estate of the late Dr. Frank Long and was purchased from the estate by Campus Partners in 2000. At that time, the University and Campus Partners contemplated this subsequent transfer of this leasehold interest to the University. Rent from the land lease is \$2,000 per year; rent from the leasehold improvements is approximately \$43,700 per year.

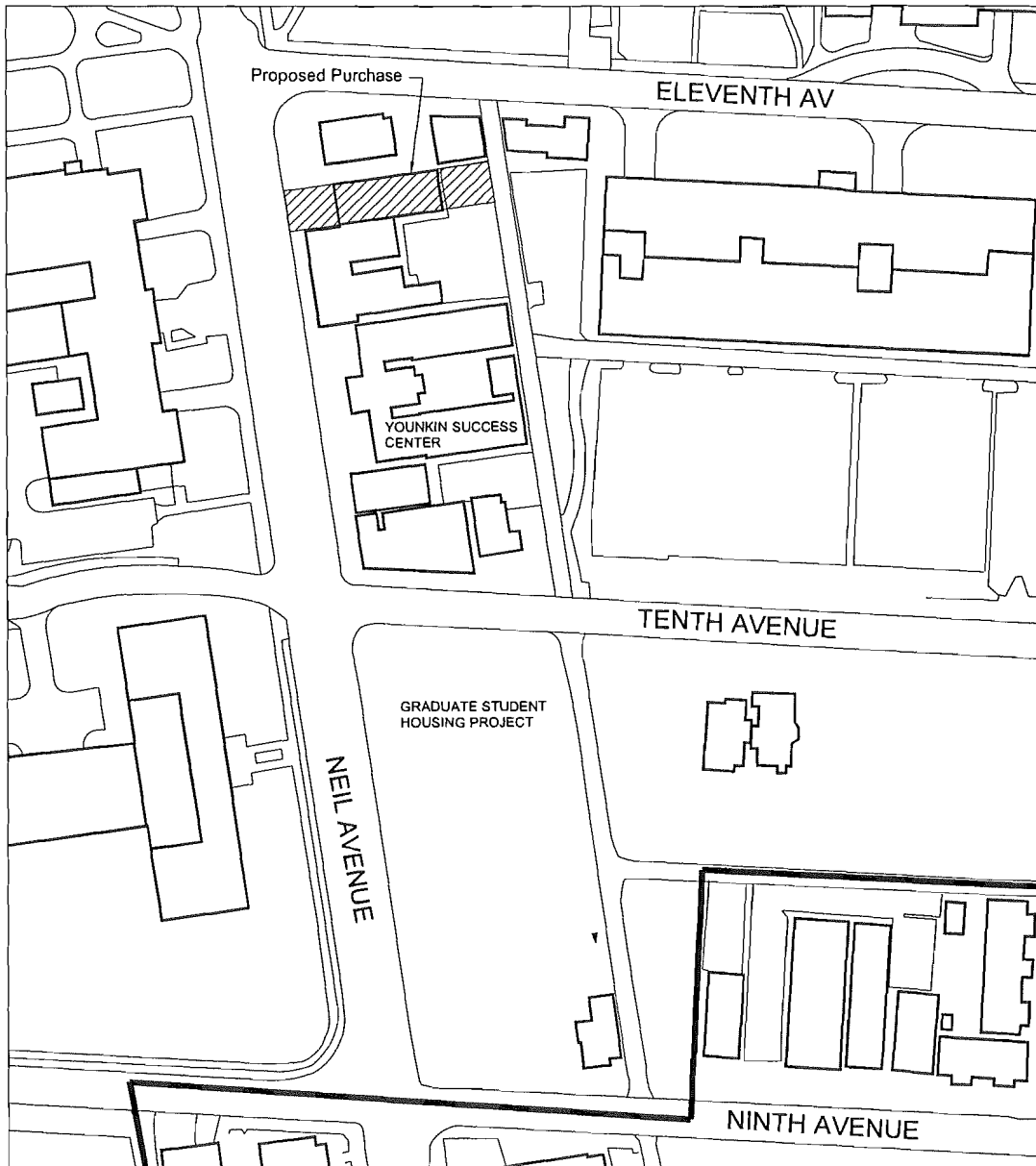
Appraisal and Purchase Price

MAI appraisals conducted by Anthony F. Mollica & Associates in 2000 and by Affiliated Appraisers of America in 2002 valued the property at \$480,000 and \$528,000, respectively. Campus Partners will sell the property for \$500,000. Internal University costs of due diligence and related fees for this purchase will total another \$20,000. Funds for the purchase costs will be provided through the Land Purchase Account.

Use of the Property

The property will continue in its current use. The property is within the South Campus Acquisition Area, and purchase of the leasehold interest, which gives the University control of the property, is in accordance with the goals of the Master Plan.

Proposed Purchase of Leasehold Interest In Real Property 1656-60 Neil Avenue





No True Scale

Office of Business and Finance

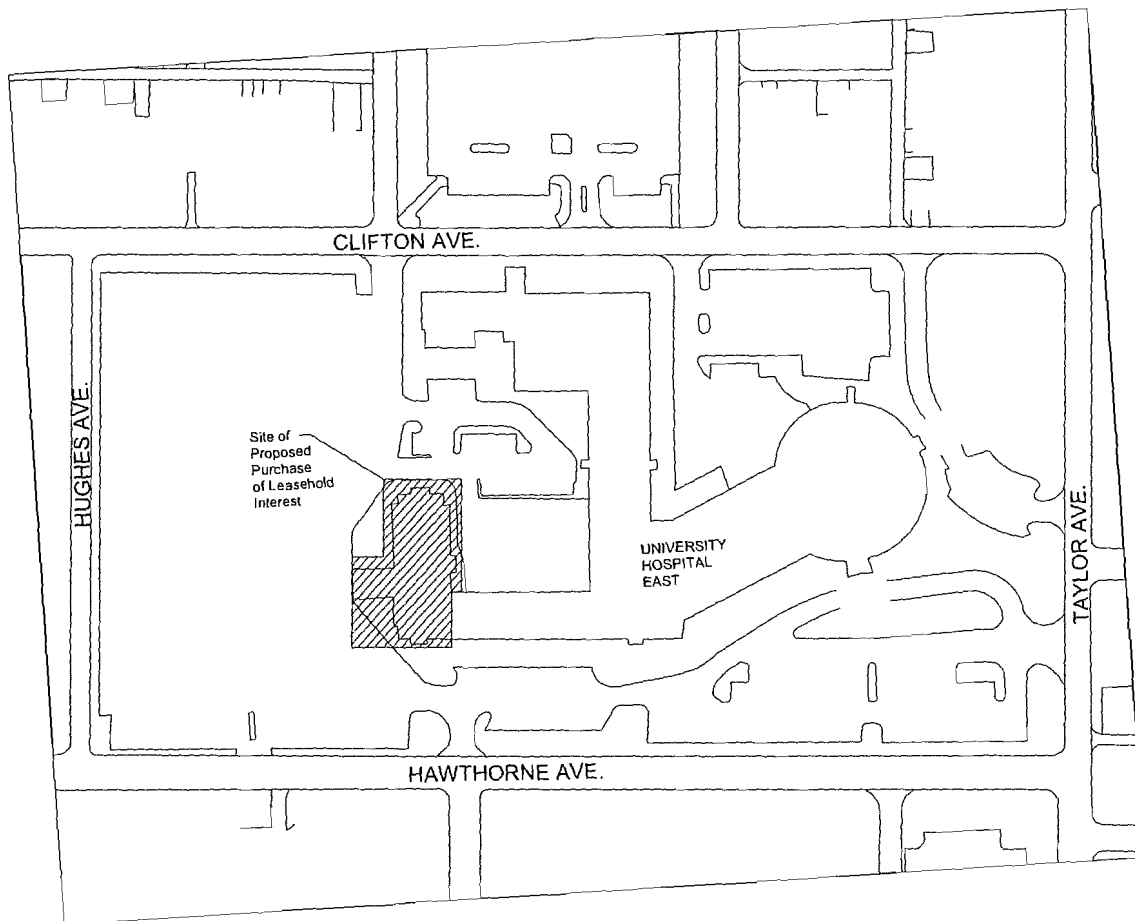
July 12, 2002



Map Provided by University Engineer's Office

-  Proposed Purchase of Leasehold Interest in Real Property
-  Southern Boundary of South Campus Acquisition Area

Purchase of Leasehold Interest 1440 Hawthorne Avenue




No True Scale



Map Provided by University Engineer's Office

Office of Business and Finance
July 12, 2002

 Site of Proposed Purchase
of Leasehold Interest

(APPENDIX V)

CONSTRUCTION EASEMENT

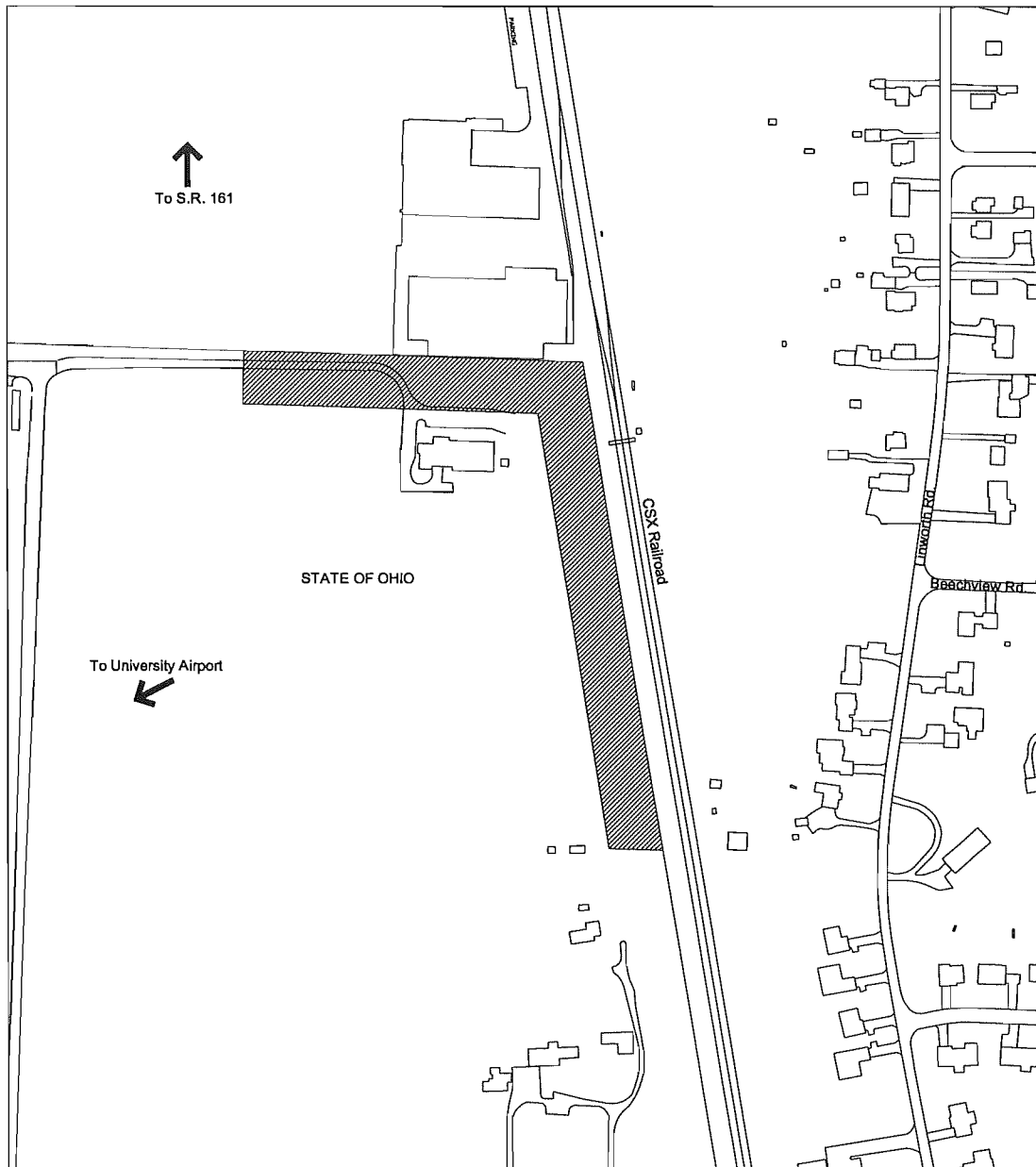
**ARSHOT INVESTMENT CORPORATION
UNIMPROVED PROPERTY AT UNIVERSITY AIRPORT**

The Arshot Investment Corporation has requested a temporary construction easement across a 21.25 acre parcel of University land titled in the State of Ohio for the use of The Ohio State University, located at the eastern edge of the University Airport, for construction and installation of storm and sanitary sewer improvements.

Arshot will be responsible for all costs of construction and installation of the storm and sanitary sewer improvements and for any damages suffered by the University as a result of the construction and installation. The consideration for the easement shall be a nominal sum of one dollar.

Once constructed, the storm and sanitary sewer improvements will be accepted by the City of Columbus as "a public sewer improvement," pursuant to easements to the City of Columbus as provided in the resolution that follows this one.


Grant of Temporary Easement To Arshot Investment Corporation Adjacent to University Airport



No True Scale

Office of Business and Finance
July 12, 2002



 Proposed Temporary
Construction Easement

Map Provided by University Engineer's Office

(APPENDIX VI)

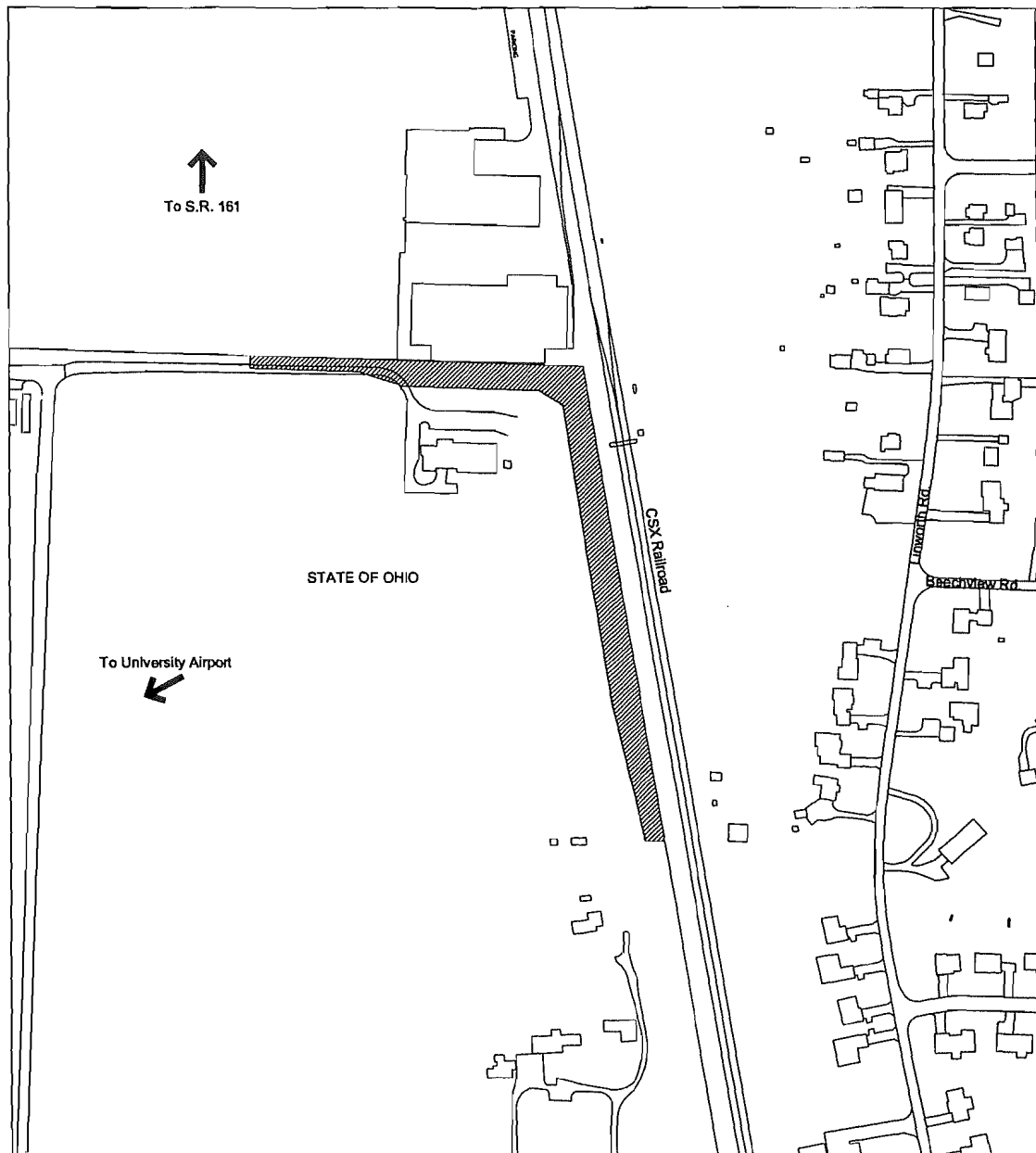
SANITARY AND STORM SEWER EASEMENT

CITY OF COLUMBUS
UNIMPROVED PROPERTY AT UNIVERSITY AIRPORT

The City of Columbus has requested two easements (one for operation, maintenance, and repair of a sanitary sewer line and the other for a storm sewer line) across a 21.25 acre parcel of University land titled in the State of Ohio for the use of The Ohio State University, located at the eastern edge of the University Airport. These are the sewer line improvements to be constructed by the Arshot Investment Corporation pursuant to the previous resolution.

In each case, Columbus has requested a perpetual easement, which the University would propose to grant if the University receives the necessary authority to do so from the Ohio Department of Administrative Services and the General Assembly. If such authorization is not provided, the term of each easement would be for 25 years once each sewer line is accepted by the City. The consideration for the easement shall be a nominal sum of one dollar. The City will be responsible for all costs of operation, maintenance, and repair of the sewer lines and for any damages suffered by the University as a result of the operations.

Grant of Easement To City of Columbus Adjacent To University Airport




No True Scale

Office of Business and Finance
July 12, 2002



Map Provided by University Engineer's Office

 Proposed Storm and
Sanitary Sewer Easement

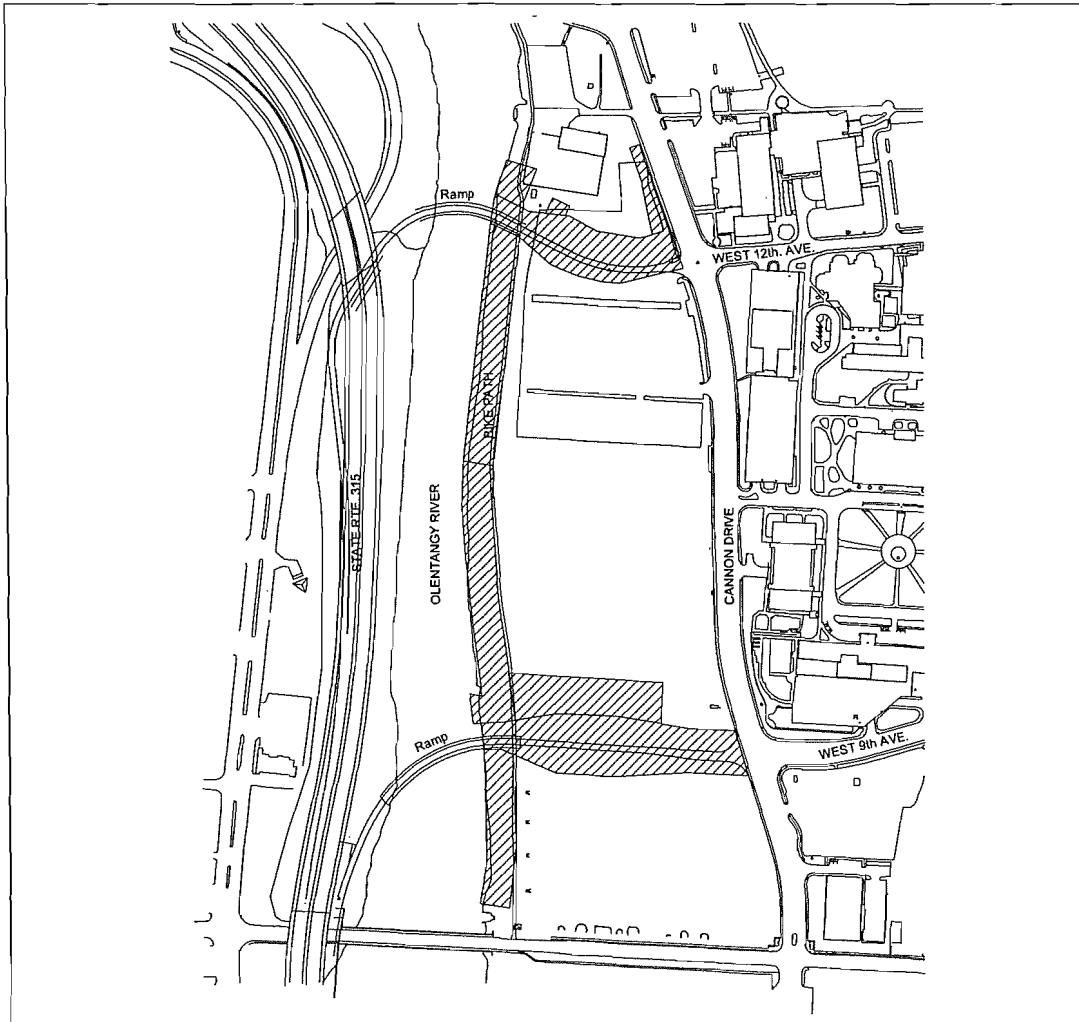
(APPENDIX VII)

HIGHWAY EASEMENT

OHIO DEPARTMENT OF TRANSPORTATION
UNIMPROVED PROPERTY WEST OF THE OLENTANGY RIVER
FOR RAMPS CONNECTING TO STATE ROUTE 315

The Ohio Department of Transportation is requesting a perpetual highway easement across approximately 4.5 acres of unimproved University land west of the Olentangy River, north of King Avenue, and south of Herrick Drive for construction and operation of a partial interchange between State Route 315 and Cannon Drive. The highway improvements will consist of a northbound State Route 315 exit ramp to Cannon Drive at the intersection of 9th Avenue and a southbound entrance ramp from Cannon Drive at the intersection of 12th Avenue. In addition, appropriate lighting, traffic control, traffic signals, signing, drainage and retaining walls are to be provided, and a portion of the existing bike path also will be relocated. This easement will be granted pursuant to the provisions of Ohio Revised Code Section 3335.11, which provides that the University, on behalf of the State, may grant highway easements to ODOT.

S.R. 315 Ramps Easements to Ohio Department of Transportation



No True Scale



Office of Business and Finance

July 12, 2002

Map Provided by University Engineer's Office



Proposed Easement

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07/12/02



Project Summary

For

The Ohio State University Medical Center

- The Ohio State University Health System

Construction Program

Richard M. Ross Heart Hospital

July 2002

Overview

Throughout the nation, health systems are developing specialty focused inpatient hospitals and ambulatory facilities to improve patient care efficiency, cost-effectiveness and customer service. These reasons, combined with OSUMC's needs to support its academic mission, provide a broad rationale for pursuit of this complex endeavor.

OSUMC began its evaluation of a focused cardiovascular hospital in 1997. In that year a strategic review of the cardiovascular services market throughout a 40 county region of Central Ohio was begun. Throughout 1998 and most of 1999 a further market assessment, along with a very general facility study, were completed. In early 2000, these initiatives led to a recommendation to The Ohio State University Trustees who authorized the pursuit of the development of a heart hospital on an accelerated timetable.

Consequently, in 2001, OSUMC proceeded with the design and development of an architecturally distinct heart hospital, connected with University Hospital/Rhodes Hall. This state-of-the-art facility, The Richard M. Ross Heart Hospital, will place OSUMC among a small group of elite academic medical centers that seek to distinguish themselves with a synergistic combination of leading edge clinical and research programs, thus forming a world-class cardiovascular enterprise unmatched in the United States.

Strategic Objectives

The key objectives of the heart hospital development are as follows:

1. To enhance the academic stature of OSUMC's cardiovascular program – The development of this state-of-the-art facility will enable the provision of the most current and sophisticated cardiovascular care, thus attracting top-tier clinical faculty and enhancing the medical center's teaching programs.
2. To provide a clinical laboratory for cutting edge research programs that are conducted in the Davis Heart & Lung Research Institute - The new hospital will facilitate the translation of basic science and clinical research from the laboratory to the bedside.
3. To enable the provision of a more efficient clinical model – Current cardiovascular services are fragmented within University Hospital and do not lend themselves to the most efficient delivery of patient care. By contrast, the Ross Heart Hospital is being designed with the specific intention of providing patient care in the most cost-effective and efficient manner possible.
4. To realize the value potential of the cardiovascular product line – The development of the Ross Heart Hospital and the achievement of its financial objectives will produce cash flows that can be re-invested in the clinical operations of the OSU Health System, to the benefit of the medical center's academic mission.

Measurements of Success

Academic:

In accordance with the University's Academic Plan and the stated goal of becoming one of the top public research institutions in the nation, OSUMC's strategic focus is as follows:

Be among the top quartile of academic medical centers in each mission area by 2008 as measured using nationally accepted metrics.

The metrics for each mission area are:

Research: NIH rankings of annual awards; US News and World Report rankings

Education: US News and World Report rankings (medical schools); graduate programs; residency programs

Clinical: US News and World Report rankings (best hospitals and specialty areas); HCIA top 100 hospitals.

Therefore, the development of the Ross Heart Hospital should affect OSUMC's mission areas in the following manner:

- Bolster the translational research effort of the Davis Heart & Lung Research Institute;
- Contribute to the enhancement of teaching programs within the College of Medicine and Public Health and their elevation in national rankings; and
- Enhance the national stature of The OSU Health System's clinical programs in general, and the cardiovascular program in particular.

Growth in Clinical Faculty:

Over the next five fiscal years, each of the three clinical divisions must increase the number of clinical faculty as follows:

	<u>Current Faculty</u>	<u>Proposed Faculty</u>
Cardiology	28	54
Cardiothoracic Surgery	2	7
Vascular Surgery	4	5

Operating Interdependencies:

Specific strategies and tactics are being developed to ensure that the many operating components of The OSU Health System, clinical enterprise, and business strategies combine to produce positive financial results.

Physician Component

- Stability in leadership of Cardiology Division
- Cardiology success in recruiting new Division staff
- Achieving growth in Cardiology and CT Surgery procedures
- Increasing heart transplant program / volumes

Corporate Services

- Favorable managed care negotiations
- Management of indirect costs and service levels from Corporate Services
- Aggressive price negotiations with vendors of drugs, supplies, devices

Patient Care Services

- Achieve staffing targets per transition of clinical model

Ross Heart Hospital Project Cost

The chart on the following page shows the uses and sources of funds to develop the Ross Heart Hospital. The total project cost is \$82,800,000. Interest during construction is \$2,695,350 and financing costs are projected to be \$1,000,000.

Borrowing of \$66,595,350 will be the principal source of funds for the project. The OSU Health System will contribute \$8,300,000 and State funding is shown at \$2,600,000. Fundraising will occur in two stages: (a) \$10 million must be raised and collected by the end of the construction period; and (b) an additional \$20 million must be raised over the first seven years of heart hospital operation. A Heart Center Fund-Raising Committee has been established and early efforts have been very successful.

Overall, the Ross Heart Hospital will be approximately 200,000 square feet in size. It will be four stories in height. Patient care services will include 100 beds (90 nursing and 10 short-stay/recovery), 6 operating rooms, 6 cath/interventional suites, an outpatient clinic with 20 exam rooms and 7 consult rooms, comprehensive non-invasive diagnostics, and capacity for advanced cardiac imaging.

Sources and Uses of Funds

Project Cost	
Building	\$ 66,600,000
Equipment	16,200,000
Total Project	82,800,000
Net Interest cost during Construction	2,695,350
Total Depreciable Cost	\$ 85,495,350
Uses and Sources of Funds	
Uses	
Construction, Equipment and Soft Costs	\$ 82,800,000
Interest During Construction	2,695,350
Financing Costs (3.0%)	1,000,000
Total	\$ 86,495,350
Sources	
Fundraising (during construction)(1)	\$ 10,000,000
Borrowing	65,595,350
State Funding	2,600,000
OSUH Contribution	8,300,000
Total	\$ 86,495,350
Borrowing:	
Long-term debt incurred 6/1//2004	
Term of loan repayment is 20 years	
Interest Rate is 5.50% including parking surcharge	
Interest During Construction Borrowed for 30 months utilizing commercial paper	
(1) An additional \$20 million will be raised during the first seven years of hospital operation.	

Project Timeline

A summary of the project timeline is shown below. Through the efforts of The OSU Health System, the University Office of Facilities Planning and Development, and the Office of Resource Planning and Institutional Analysis, an accelerated schedule has been prepared.

	<u>Start of Activity</u>	<u>Completion of Activity</u>
Schematic Design:	July 2001	January 2002
Design Development:	October 2001	April 2002
Construction Documents:	May 2002	October 2002
Preparation Phase:	February 2002	September 2002
Site Utilities:	June 2002	November 2002
Excavation, Foundations, Construction:	July 2002	May 2004
Occupancy:		June 2004

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07/12/02



Project Summary

For

The Ohio State University Medical Center

- College of Medicine and Public Health
 - Office of Health Sciences

Biomedical Research Tower

July 2002

Overview

Today in medicine we are seeing the dawn of a new era in biomedical discovery and knowledge that will revolutionize the diagnosis, treatment and prevention of human disease in the coming decades. We will be able to offer customized treatment (and ultimately, prevention) of some of the most devastating illnesses of our time such as cancer and cardiovascular, infectious and neurological diseases.

The most important medical discoveries, and the best new doctors and scientists, now come from institutions that can generate the newest, highest-quality research and technology and keep pace with new knowledge in all disciplines, all over the world. Furthermore, cities and states throughout the U.S. are moving boldly to build economic strength in biotechnology and biomedical discovery and application.

As a comprehensive institution with many disciplines and facilities available for developing powerful research collaborations and expanding the pace and quality of new knowledge, The Ohio State University (OSU) has a tremendous opportunity to use its resources to benefit the people of Ohio and beyond. With the addition of this research facility we can position the University at the forefront of biomedical research and technology.

Strategic Objectives

The goal of the Biomedical Research Tower (BRT) is to create a multidisciplinary biomedical research and education center for The Ohio State University Medical Center (OSUMC) that will be a centerpiece of a dramatically enhanced health sciences campus. Integral to the University's Academic Plan for becoming a top public research institution, BRT will greatly advance the academic missions, the international reputations and the financial status of the OSUMC and the University while bringing enormous value in health care, advanced technology, and economic growth to the State of Ohio and its citizens.

Excellence, in OSU's core missions of research and education, will bring important value-added components to the University and OSUMC. The experience of top universities and medical centers nationally confirms that excellent facilities attract excellent faculty and students and promote the kind of achievement and innovation that bring enormous returns. Our institutional commitment to biomedical research will result in dramatic enhancements in extramural research funding, attraction of outstanding faculty and students, fundraising, collaboration with industry, entrepreneurial opportunities, national and international reputation, and leading edge patient care.

This project will contribute substantially to the fulfillment of many of the goals of the Academic Plan. Excellent facilities and programs, which provide interdisciplinary opportunities, are critical in the recruitment and retention of top tier researchers, teachers, and clinicians. Facilities such as the BRT are necessary to continue the Strategic Investment approach by competitively funding initiatives that build programmatic strength and open new fields. With the advanced facilities that will be available, we will maintain ongoing multidisciplinary initiatives where appropriate and develop new initiatives that draw on University-wide strengths, which create new multidisciplinary centers that can attract additional faculty in key areas. Finally, entrepreneurial opportunities resulting from growth

in biomedical research and technology will bring money and jobs to Ohio. These opportunities will advance the Academic Plan's goals:

- *Building a world-class faculty – concentrating in areas of strategic focus.*
- *Developing academic programs that define Ohio State as the nation's leading public land-grant university.*

- *Enhancing the quality of the teaching and learning environment.*
- *Enhancing and better serving the student body, creating a diverse university community.*
- *Helping build Ohio's future.*

Measurements of Success

Success of the facility's design and development will be measured in terms of it's ability to accommodate and enhance the following research initiatives: Molecular Neurobiology & Neurodegenerative Disorders, Molecular Medicine & Pharmacogenomics, Transplantation & Tissue Engineering, Structural Biology & Imaging, Microbial Pathogenesis, Biomedical Informatics, Heart and Lung, Experimental Therapeutics, and Diabetes.

Success in terms of productivity will be measured by the number of people trained and the impact of this training on their career success, the number of graduate students, the amount of extramural support, the number and quality of publications, the number of patents achieved, the licensing of technologies based on discoveries made in the new facility, and eventually, the returns from such technology transfer activities.

Outcomes of BRT for the OSUMC and University will include greatly increased extramural research funding, the attraction of outstanding faculty and students, increased fundraising, collaboration with industry, entrepreneurial opportunities, national and international reputation, and leading edge patient care.

Project Cost

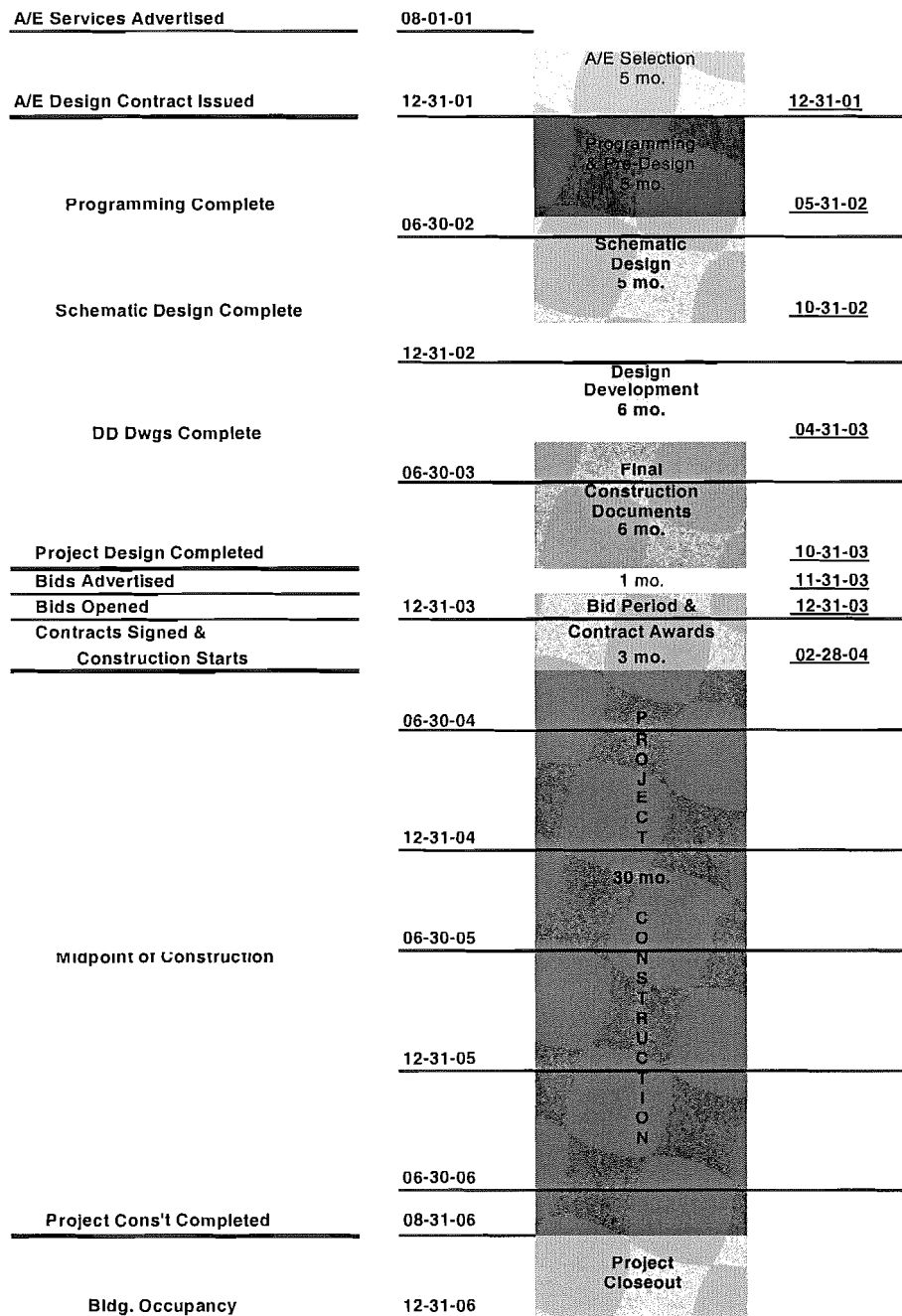
As detailed on the following page, the total initial project construction cost is estimated to be approximately \$120.3M plus approximately \$6.9M of interest costs during construction. The \$120.3M refers to the initial construction only. The cost for the whole project after build-out is completed is approximately \$151.8M. The facility will be approximately 420,000gsf (247,000asf), of which approximately 175,000asf would be completed in the initial project budget, with four floors of shelled space which will provide an additional 72,000asf of space when built-out as needed in subsequent years. The cost of the future build-out is projected to be approximately \$11.9M for 36,000asf if completed in 2008 and approximately \$12.8M for the remaining 36,000asf if completed in 2010. The assignable square feet to gross square feet ratio will be a minimum of 57%.

BRT Sources and Uses of Construction Funds		
USES OF FUNDS		
Initial Project Costs (8-01-01 thru 12-31-06)		
Construction Costs	\$ 87,767,019	
Design Fees	\$ 7,021,361	
Construction Management Fees	\$ 4,827,186	
OSU Facilities Planning & Development Fees	\$ 1,228,738	
Moveable Fixtures, Furnishings, & Equipment	\$ 7,172,452	
Construction, Commissioning, & Risk Management Contingencies	\$ 12,287,383	
Total Initial Project Construction Costs	\$ 120,304,139	
Interest Costs During Initial Construction	\$ 6,868,233	
Total Initial Project Costs		\$ 127,172,372
Build-Out 66,000 gsf Shelled Floors (2007 mid-point Construction)		
Construction Costs	\$ 9,125,493	
Design Fees	\$ 821,294	
OSU Facilities Planning & Development Fees	\$ 127,757	
Moveable Fixtures, Furnishings, & Equipment	\$ 912,549	
Construction Contingencies	\$ 912,549	
Total Build-Out Costs of 66,000 gsf Shelled Floors		\$ 11,899,642
Build-Out 66,000 gsf Shelled Floors (2009 mid-point Construction)		
Construction Costs	\$ 9,775,458	
Design Fees	\$ 879,791	
OSU Facilities Planning & Development Fees	\$ 136,856	
Moveable Fixtures, Furnishings, & Equipment	\$ 977,546	
Construction Contingencies	\$ 977,546	
Total Build-Out Costs of 66,000 gsf Shelled Floors		\$ 12,747,197
TOTAL USES OF FUNDS		\$ 151,819,211
SOURCES OF FUNDS		
Sources for Initial Project Costs (8-01-01 thru 12-31-06)		
Development Funds (20% of Initial Project Costs)	24,060,828	
Sources for Interest Costs During Construction (see Cash Flow on Page 7)	\$ 6,868,233	
Bonds to be Sold for Initial Project in 2006	\$ 96,243,311	
Total Sources for Initial Project Costs		\$ 127,172,372
Sources for Build-Out 66,000 gsf Shelled Floors		
Bonds to be Sold in 2008		\$ 11,899,643
Sources for Build-Out 66,000 gsf Shelled Floors		
Bonds to be Sold in 2010		\$ 12,747,197
TOTAL SOURCES OF FUNDS		\$ 151,819,212

Project Timeline

The University Facilities Planning Department prepared the Biomedical Research Project Construction Timeline shown below. As shown, this project will require approximately five years from the date that we advertised for A/E services (August 1, 2001) to the completion of construction in August 2006. Given the nature of this project and the more straightforward design of today's biomedical research facilities, which have significantly fewer specialized research labs and far more generic labs, this schedule could possibly be improved in several areas.

BRT ::: Total Project Timeline



Projected Cash Flow Summary

As noted in the Projected Cash Flow Summary on the following page:

- The Cash Flow Summary shows the Sources and Uses of Funds for the years prior to BRT's completion and for the initial 6 years following BRT's opening. At the end of the 6th fiscal year after completion, the facility would be fully occupied and all start-up costs would have occurred.
- At the end of the fiscal year ending 6-30-06 the medical center's research enterprise will have accumulated net sources of approximately \$24.3M to use for BRT start-up costs.
- In each of the first five fiscal years after opening, BRT's annual use of funds will exceed the annual sources of funds. However, in each year the accumulated net sources carried over from the prior fiscal year is projected to be sufficient to cover the negative annual cash flow.
- For the Fiscal Year ending 6-30-12, and each fiscal year thereafter, the total sources of funds is projected to exceed the total uses of funds and the accumulated net sources continue to grow thereafter.
- When fully occupied at the end of the 5th fiscal year of operation, BRT should accommodate 122 Principal Investigators.
- Scheduled maintenance and renewal is included as a use of funds after the building occupancy in FY 07.

BRT - Projected Cash Flow Summary (FYE 6-30-02 thru FYE 6-30-12)

	FYE 6-30-02	FYE 6-30-03	FYE 6-30-04	FYE 6-30-05	FYE 6-30-06	FYE 6-30-07	FYE 6-30-08	FYE 6-30-09	FYE 6-30-10	FYE 6-30-11	FYE 6-30-12
Sources of Funds											
HRSA Construction Funds		1,000,000									
Office of President Commitment	0	3,000,000	2,400,000	1,800,000	800,000						
Budget Rebasng Phase-In	0	1,000,000	2,000,000	2,400,000	3,000,000	3,000,000	3,000,000	3,000,000	3,000,000	3,000,000	3,000,000
Release Time from BRT Faculty Grants	0	259,386	893,153	1,515,159	1,836,256	3,127,875	4,604,442	5,694,125	6,536,093	7,830,803	8,734,298
Endowed Chairs, Selective Invest, Acad Enrich	121,274	293,499	568,246	916,822	1,183,377	1,527,431	1,739,572	1,796,978	1,856,278	1,917,535	1,980,814
Tobacco Funds	3,000,000	3,099,000	3,201,267	3,306,909	3,416,037	3,528,766	3,645,215				
Additional Release Time from Current Grants	0	666,078	1,332,157	1,998,235	2,664,314	3,224,000	3,330,392	3,440,295	3,553,825	3,671,101	3,792,247
Endowments/Development	300,000	350,000	400,000	450,000	500,000	600,000	619,800	640,253	661,382	683,207	705,753
Add'l ICR for Facilities 18.3% of Directs Costs	136,884	695,714	2,343,096	4,009,474	5,727,426	8,143,357	10,503,679	12,268,911	13,774,285	15,768,207	17,442,639
Add'l ICR for Facilities @ 1.4% of Directs Costs	10,472	53,224	176,254	29,092,829	492,252	668,438	812,200	947,530	1,062,989	1,215,834	1,344,247
Interest - 3.5% On Prior Year's Acc Net Sources	0	0	317,082	358,369	476,054	848,769	641,894	479,596	385,353	309,757	166,432
Total Sources of Funds	3,568,630	10,416,901	13,634,255	17,115,791	20,095,716	24,668,636	28,897,193	28,267,688	30,830,205	34,396,445	37,166,430
Uses of Funds											
Salary & Benefits for BRT Faculty	0	1,133,615	3,691,701	5,829,640	6,134,974	9,079,515	12,519,742	14,700,290	16,750,766	19,248,993	19,884,210
Start-Up Costs for BRT Faculty	0	3,718,800	8,536,712	6,613,818	227,736	9,880,545	11,421,675	5,103,301	4,927,041	6,223,631	0
Annual Interest Costs on Construction Loan	6,450	67,172	226,216	1,309,924	3,083,991	2,174,478					
Annual Debt Service-Constr Bonds (5.5% Rate) (Int'l Proj Cons't Cost+Future Build-out Costs)	0	0	0	0	0	7,944,500	7,944,500	9,032,300	9,032,300	10,197,600	10,197,600
BRT's Annual P.O.M. Costs	0	0	0	0	0	1,321,454	1,365,062	1,733,169	1,790,364	2,254,360	2,328,754
Transfer to BRT's Bldg Sys Ren'l & Replace Fd	0	0	0	0	0	178,864	283,308	391,270	489,619	566,877	680,259
Total Uses of Funds	6,450	4,919,586	12,454,629	13,753,381	9,446,701	30,579,356	33,534,287	30,960,331	32,990,090	38,491,460	33,090,823
Annual Net Sources (Uses)	3,562,180	5,497,315	1,179,626	3,362,410	10,649,014	(5,910,720)	(4,637,093)	(2,692,643)	(2,159,885)	(4,095,016)	4,075,607
Accumulated Net Sources (Uses)		9,059,495	10,239,121	13,601,532	24,250,546	18,339,826	13,702,733	11,010,090	8,850,205	4,755,190	8,830,797
Total Grant Awards for COM&PH	77,350,000	84,447,750	98,010,627	111,401,631	125,207,104	143,714,027	158,815,077	173,030,434	185,158,557	201,213,673	214,702,421
% Increase - Total Grant Awards		9.18%	16.06%	13.66%	12.39%	14.78%	10.51%	8.95%	7.01%	8.67%	6.70%

Project Risk Management Strategies

In order to manage the risks associated with this project, the following strategies will be utilized:

Construction of Shelled Space

As previously noted, we will maintain the initial project cost at \$120.3M by shelling 72,000 asf of the building. This strategy will allow us to better manage the initial project costs, and will also allow us to manage the expenditures for the future build-out costs based on the actual growth in space requirements.

Additional Risk and Commissioning Contingencies

To further protect against project construction cost overruns above the typical 10% construction contingencies, an additional Risk Contingency of 3% and an additional Commissioning Contingency of 1.0% has been included in the project budget.

Management of the Assignment of Space

Several initiatives have been undertaken to insure that indirect cost recoveries are maximized from the assignable square feet available in BRT. First, investigators assigned space in BRT will be at, or progressing to, the benchmark funding level. In addition to reviewing all new requests for space, there will be an annual review of all previously assigned space to insure that current utilization meets this standard. A research space facilities director has been recruited to assist in identifying research space and in developing optimal allocation of research space. This same management strategy is also being applied to existing research facilities to insure maximum utilization.

Management of Indirect Costs Recovery

All facility indirect costs recovery (currently 18.3% for space costs and 1.4% for equipment use and depreciation) that will be generated by the research grants awarded to investigators housed in BRT will be placed into a separate escrow account to be used for all "facility costs" such as bond indebtedness, POM costs, deferred maintenance costs, building and equipment replacement costs, etc. As noted above for the management of space, the management of the indirect costs recovery will be initiated immediately for all research grants, that will be awarded prior to BRT's completion. Strategies such as this will provide sufficient accumulated net sources of funds in reserve that will then be available to offset the annual operating deficit during BRT's first 5 years of operation.

Management of Salary Recovery

A Salary Recovery Policy went into effect in the OSU Medical Center on April 1, 2002. The policy states that when preparing a grant, Principal Investigators should estimate the portion of their time which will be utilized on the project and request that amount of salary support in their budget. The Vice Dean for Research is reviewing grant budgets prior to submission to ensure compliance with this policy. A Faculty Task Force is currently working on the policies and procedures for the administration and management of the salary recovery dollars. The assumptions for the BRT will be incorporated into this document. These policies and procedures will also include specifics on faculty incentives. In addition, letters of offer to new faculty include grant and salary recovery expectations, which are consistent with the BRT assumptions.

(APPENDIX IX)

**FIRST AMENDMENT
TO
THE OHIO STATE UNIVERSITY
SUPPLEMENTAL QUALIFIED RETIREMENT PLAN**

WHEREAS The Ohio State University (the "University") sponsors The Ohio State University Supplemental Qualified Retirement Plan, as effective on July 1, 2001, (the "Plan") to provide retirement benefits to certain eligible employees; and

WHEREAS pursuant to Section 10.01 of the Plan, the University is authorized to amend the Plan; and

WHEREAS the University now desires to amend the Plan to conform the Plan to recent pension law changes;

NOW THEREFORE, the Plan is amended, effective as indicated herein, in the following respects:

1. The name of the Plan shall be The Ohio State University Retirement Continuation Plan.
2. Section 2.01, Eligibility for Pick-Up Contributions, is amended by adding the following sentences to the end thereof:

"The Plan Administrator may, at its discretion, add employees to, or delete employees from, Appendix A. Eligibility for Pick-Up Contributions shall begin after all of the mandatory employee contributions to ARP, PERS, or STRS are made each year."

3. Section 2.02, Eligibility for Employer Contributions, is replaced in its entirety, as follows:

"Eligibility for an allocation of the Employer Contribution, if any, is limited to those Employees selected by the Plan Administrator and listed in Appendix A. The Plan Administrator may, at its discretion, add employees to, or delete employees from, Appendix A. Eligibility for an Employer Contribution and the amount of the Employer Contribution may vary from year to year and the fact that an Employee receives such contribution one year does not mean that he is entitled to such contribution in any future year."

4. Section 3.03, Employer Contributions, is amended by adding the following sentence to the end of the first paragraph, as follows:

"The Employer Contribution shall be made on the last day of each Plan Year for any Participant who is participating in the Plan on the last day of the Plan Year."

5. Section 7.04(b)(2), Eligible Retirement Plan, is replaced with the following language:

"(2) Eligible Retirement Plan – An Eligible Retirement Plan is an individual retirement account described in Section 408(a) of the Code, an individual retirement annuity described in Section 408(b) of the Code, an annuity plan described in Section 403(a) of the Code, a qualified trust described in Section 401(a) of the Code, an annuity contract described in Section 403(b) of the Code, and an eligible plan under Section 457(b) of the Code which is maintained by a state, political subdivision of a state or any agency or instrumentality of a state or political subdivision of a state and which agrees to separately account for amounts transferred into such plan from this Plan, that accepts the Distributee's Eligible Rollover Distribution. An Eligible Retirement Plan shall also apply in the case of a distribution to a surviving spouse, or to a spouse or former spouse who is an alternate payee under a qualified domestic relations order, as defined in Section 414(p) of the Code."

6. Section 7.07, Acceptance of Transfers or Rollovers, is added:

"No transfers or rollovers (direct or otherwise) shall be permitted to this Plan from another plan or annuity."

Beginning January 1, 2003, Section 7.07, Acceptance of Transfers or Rollovers will be renumbered as Section 7.05, Acceptance of Transfers or Rollovers.

7. Beginning January 1, 2003, Section 7.05, Required Commencement of Benefits, is renumbered as Article VIII, Minimum Distribution Requirements, and is replaced in its entirety, as follows:

Article VIII – MINIMUM DISTRIBUTION REQUIREMENTS

Section 8.01 - General Rules

- (a) **Effective Date.** The provisions of this Article will apply for purposes of determining required minimum distributions for calendar years beginning with the 2003 calendar year.
- (b) **Precedence.** The requirement of this Article will take precedence over any inconsistent provisions of the Plan.
- (c) **Requirements of Treasury Regulations Incorporated.** All distributions required under this Article will be determined and made in accordance with the Treasury regulations under section 401(a)(9) of the Internal Revenue Code.

Section 8.02 - Time and Manner of Distribution

- (a) **Required Beginning Date.** The Participant's entire interest will be distributed, or begin to be distributed, to the Participant no later than the Participant's required beginning date.
- (b) **Death of Participant Before Distributions Begin.** If the Participant dies before distributions begin, the Participant's entire interest will be distributed, or begin to be distributed, no later than as follows:
 - (1) If the Participant's surviving spouse is the Participant's sole Designated Beneficiary, then, distributions to the surviving spouse will begin by December 31 of the calendar year immediately following the calendar year in which the Participant died, or by December 31 of the calendar year in which the Participant would have attained age 70½, if later.
 - (2) If the Participant's surviving spouse is not the Participant's sole Designated Beneficiary, then distribution to the Designated Beneficiary will begin by December 31 of the calendar year immediately following the calendar year in which the Participant died.
 - (3) If there is no Designated Beneficiary as of September 30 of the year following the year of the Participant's death, the Participant's entire interest will be distributed by December 31 of the calendar year containing the fifth anniversary of the Participant's death.
 - (4) If the Participant's surviving spouse is the Participant's sole Designated Beneficiary and the surviving spouse dies after the Participant but before distributions to the surviving spouse begin, this section 8.02(b), other than section 8.02(b)(1), will apply as if the surviving spouse were the Participant.

For purposes of this section 8.02(b) and section 8.04, unless section 8.02(b)(4) applies, distributions are considered to begin on the Participant's required beginning date. If section 8.02(b)(4) applies, distributions are considered to begin on the date distributions are required to begin to the surviving spouse under section 8.02(b)(1). If distributions under an annuity purchased from an insurance company irrevocably commence to the Participant before the Participant's required beginning date (or to the Participant's surviving spouse before the date distributions are required to begin to the surviving spouse under section 8.02(b)(1)), the date distributions are considered to begin is the date distributions actually commence.

- (c) **Forms of Distribution.** Unless the Participant's interest is distributed in the form of an annuity purchased from an insurance company or in a single sum on or before the required beginning date, as of the first distribution calendar year distributions will be made in accordance with sections 8.03 and 8.04 of this Article. If the Participant's interest is distributed in the form of an annuity purchased from an insurance company, distributions thereunder will be made in accordance with the requirements of section 401(a)(9) of the Code and the Treasury regulations.

Section 8.03 – Required Minimum Distributions During Participant's Lifetime

- (a) **Amount of Required Minimum Distribution For Each Distribution Calendar Year.** During the Participant's lifetime, the minimum amount that will be distributed for each distribution calendar year is the lesser of:
 - (1) The quotient obtained by dividing the Participant's Account Balance by the distribution period in the Uniform Lifetime Table set forth in section 1.401(a)(9)-9 of the Treasury regulations, using the Participant's age as of the Participant's birthday in the distribution calendar year; or
 - (2) If the Participant's sole Designated Beneficiary for the distribution calendar year is the Participant's spouse, the quotient obtained by dividing the Participant's Account Balance by the number in the Joint and Last Survivor Table set forth in section 1.401(a)(9)-9 of the Treasury regulations, using the Participant's and spouse's attained ages as of the Participant's and spouse's birthdays in the distribution calendar year.
- (b) **Lifetime Required Minimum Distributions Continue Through Year of Participant's Death.** Required minimum distributions will be determined under this section 8.03 beginning with the first distribution calendar year and up to and including the distribution calendar year that includes the Participant's date of death.

Section 8.04 – Required Minimum Distributions After Participant's Death

- (a) **Death On or After Date Distributions Begin.**
 - (1) **Participant Survived by Designated Beneficiary.** If the Participant dies on or after the date distributions begin and there is a Designated Beneficiary, the minimum amount that will be distributed for each distribution calendar year after the year of the Participant's death is the quotient obtained by dividing the Participant's Account Balance by the longer of the remaining life expectancy of the Participant or the remaining life expectancy of the Participant's Designated Beneficiary, determined as follows:
 - (i) The Participant's remaining life expectancy is calculated using the age of the Participant in the year of death, reduced by one for each subsequent year.

- (ii) If the Participant's surviving spouse is the Participant's sole Designated Beneficiary, the remaining life expectancy of the surviving spouse is calculated for each distribution calendar year after the year of the Participant's death using the surviving spouse's age as of the spouse's birthday in that year. For distribution calendar years after the year of the surviving spouse's death, the remaining life expectancy of the surviving spouse is calculated using the age of the surviving spouse as of the spouse's birthday in the calendar year of the spouse's death, reduced by one for each subsequent calendar year.
 - (iii) If the Participant's surviving spouse is not the Participant's sole Designated Beneficiary, the Designated Beneficiary's remaining life expectancy is calculated using the age of the Beneficiary in the year following the year of the Participant's death, reduced by one for each subsequent year.
 - (2) No Designated Beneficiary. If the Participant dies on or after the date distributions begin and there is no designated Beneficiary as of September 30 of the year after the year of the Participant's death, the minimum amount that will be distributed for each distribution calendar year after the year of the Participant's death is the quotient obtained by dividing the Participant's Account Balance by the Participant's remaining life expectancy calculated using the age of the Participant in the year of death, reduced by one for each subsequent year.
- (b) Death Before Date Distributions Begin.
- (1) Participant Survived by Designated Beneficiary. If the Participant dies before the date distributions begin and there is a Designated Beneficiary, the minimum amount that will be distributed for each distribution calendar year after the year of the Participant's death is the quotient obtained by dividing the Participant's Account Balance by the remaining life expectancy of the Participant's Designated Beneficiary, determined as provided in section 8.04(a).
 - (2) No Designated Beneficiary. If the Participant dies before the date distributions begin and there is no Designated Beneficiary as of September 30 of the year following the year of the Participant's death, distribution of the Participant's entire interest will be completed by December 31 of the calendar year containing the fifth anniversary of the Participant's death.
 - (3) Death of Surviving Spouse Before Distributions to Surviving Spouse Are Required to Begin. If the Participant dies before the date distributions begin, the Participant's surviving spouse is the Participant's sole Designated Beneficiary, and the surviving spouse dies before distributions are required to begin to the surviving spouse under section 8.02(b)(1), this section 8.04(b) will apply as if the surviving spouse were the Participant.

Section 8.05 – Definitions

- (a) Designated Beneficiary. The individual who is designated as the Beneficiary under section 1.04 of the Plan and is the Designated Beneficiary under section 401(a)(9) of the Internal Revenue Code and section 1.401(a)(9)-1, Q&A-4, of the Treasury regulations.
- (b) Distribution calendar year. A calendar year for which a minimum distribution is required. For distributions beginning before the Participant's death, the first distribution calendar year is the calendar year immediately preceding the calendar year which contains the participant's required beginning date. For distributions

beginning after the Participant's death, the first distribution calendar year is the calendar year in which distributions are required to begin under section 8.02(b). The required minimum distribution for the Participant's first distribution calendar year will be made on or before the Participant's required beginning date. The required minimum distribution for other distribution calendar years, including the required minimum distribution for the distribution calendar year in which the Participant's required beginning date occurs, will be made on or before December 31 of that distribution calendar year.

- (c) Life expectancy. Life expectancy as computed by use of the Single Life Table in section 1.401(a)(9)-9 of the Treasury regulations
- (d) Participant's Account Balance. The Account Balance as of the last valuation date in the calendar year immediately preceding the distribution calendar year (valuation calendar year) increased by the amount of any contributions made and allocated or forfeitures allocated to the Account Balance as of dates in the valuation calendar year after the valuation date and decreased by distributions made in the valuation calendar year after the valuation date. The Account Balance for the valuation calendar year includes any amounts rolled over or transferred to the plan either in the valuation calendar year or in the distribution calendar year if distributed or transferred in the valuation calendar year.
- (e) Required beginning date. The required beginning date is April 1 of the calendar year following the calendar year in which the Participant attains age 70½ or retires, whichever is later.

- 8. Beginning January 1, 2003, all remaining Articles and applicable sections shall be consecutively renumbered to follow Article VIII above.

IN WITNESS WHEREOF, the University hereby adopts this First Amendment to The Ohio State University Supplemental Qualified Retirement Plan, effective as of January 1, 2002, except as otherwise noted herein, this 12th day of July 2002.

BOARD OF TRUSTEES RESOLUTIONS

FY 2002-2003 TUITION INCREASE

March 1, 2002

Synopsis: Instructional and General fees for undergraduate students enrolled at the Columbus Campus for fiscal year 2002-2003 are proposed.

WHEREAS the Board of Trustees of The Ohio State University supports the tuition strategy and recommendations presented at their February 1, 2002, meeting as critical to providing the resources necessary for the University to implement the Academic Plan and meet the needs of Ohio State University students; and

WHEREAS in recognition of the concerns raised about the economic difficulty many Ohio families now face, the Board supports modification of those recommendations to phase in the additional fees for new entering students at the Columbus Campus over the next two or three years; and

WHEREAS the Board anticipates it will be necessary to charge new entering students additional fees beyond those charged for continuing students for each of the next two or three years until the tuition plan is fully implemented; and

WHEREAS the tuition rates to be adopted are specifically based on the current level of State support and could be modified if State support for higher education is either increased or decreased; and

WHEREAS consultations continue to take place with regard to professional college, regional campus and nonresident fees and those fees will be determined as a part of the regular 2002-03 budget process:

NOW THEREFORE

BE IT RESOLVED, That Instructional and General Fees for all undergraduates enrolled at the Columbus Campus be increased by 9.0% (\$143 per quarter) for Fiscal Year 2002-03, beginning Summer Quarter 2002; and

BE IT FURTHER RESOLVED, That Instructional and General Fees for all new undergraduates enrolled at the Columbus Campus be increased by an additional \$158 per quarter for fiscal year 2002-03, beginning Summer Quarter 2002.

2002-2003 TUITION INCREASES ATI, REGIONAL CAMPUSES, AND NON-RESIDENT SURCHARGE

April 5, 2002

Synopsis: Instructional and General fees for undergraduate students enrolled at ATI and the Regional Campuses and the Non-resident Surcharges for undergraduate students enrolled at all campuses for Fiscal Year 2002-2003 are proposed effective Summer Quarter 2002.

WHEREAS the Board of Trustees of The Ohio State University, at their March 1, 2002 meeting, approved Instructional and General fees for undergraduate students enrolled at the Columbus Campus for the Fiscal Year 2002-2003 effective Summer Quarter 2002; and

WHEREAS the State has provided Access Challenge funding to the Agricultural Technical Institute (ATI) and the Regional Campuses, and the Regional Campuses wish to allocate a greater portion of those funds to lower-division students than in the past in accordance with the purpose of those funds; and

WHEREAS ATI and the Regional Campuses wish to keep the average increase in all of their undergraduate Instructional and General fees net of Access Challenge for Fiscal Year 2002-2003 at under 10% and also wish to implement these increases effective Summer Quarter 2002; and

WHEREAS the Board of Trustees supports the tuition strategy and recommendations made by the Executive Dean of the College of Food, Agricultural, and Environmental Sciences for ATI and jointly by the Boards of Trustees of the Regional Campuses; and

WHEREAS it is necessary now to set the Undergraduate Non-resident surcharges, which are the same at all campuses, for Fiscal Year 2002-2003 in order to be effective for Summer Quarter 2002; and

WHEREAS the tuition rates to be adopted are specifically based on the current level of State support and could be modified if State support for higher education is either increased or decreased; and

WHEREAS consultations continue to take place with regard to professional college fees and those fees will be determined as part of the regular 2002-2003 budget process and will be effective Autumn Quarter 2002:

NOW THEREFORE

BE IT RESOLVED, That effective Summer Quarter 2002, Fiscal Year 2002-03 Instructional and General Fees for undergraduate students enrolled at ATI, net of Access Challenge credits, be increased by 9.9% (\$119 per quarter for a full-time student; and

BE IT FURTHER RESOLVED, That effective Summer Quarter 2002, the Fiscal Year 2002-2003 Instructional and General Fees for undergraduate students enrolled at the Regional Campuses be increased by 8.0% (\$112 per quarter for a full-time student) and that these fees be reduced by Access Challenge credits for lower-division students by \$200 per quarter for full-time students for a net increase of 8.9% (\$107 per quarter), and for upper-division students by \$54 per quarter for full-time students for a net increase of 12.8% (\$165 per quarter), with the average for all students at just under 10%; and

BE IT FURTHER RESOLVED, That effective Summer Quarter 2002, the Fiscal Year 2002-2003 Non-resident surcharge for undergraduate students enrolled at all campuses be increased by 7.5% (\$219 per quarter for a full-time student).

FY 2002-2003 COMPENSATION BUDGET

MAY 3, 2002

Synopsis: Approval of the FY 2002-2003 Compensation Budget, is proposed.

WHEREAS the current budget expires on June 30, 2002; and

WHEREAS faculty and staff salaries are significantly behind those of the University's benchmarks and other appropriate comparison groups; and

WHEREAS financial support for graduate associates is significantly behind the University's benchmarks, and we are currently in the second year of a three-year plan to contribute more financial support in the form of benefits subsidy for graduate associates; and

WHEREAS the University aspires to achieve market competitive salaries by providing salary budgets of up to 1% above comparable institutions each year for the next several years; and

WHEREAS budgeted amounts for faculty and staff salary increases at comparable institutions are projected to average 3.5% over the next 12 months;

NOW THEREFORE

BE IT RESOLVED, That the Board of Trustees approves budgeted increases to the salary pool in the range of 4.5% for faculty, staff and graduate associates to take effect at the beginning of fiscal year 2003.

2002-03 GRADUATE AND PROFESSIONAL STUDENT TUITION AND LEARNING TECHNOLOGY FEE INCREASES

June 7, 2002

Synopsis: Instructional, General and Nonresident fees for Graduate and Professional students and the Learning Technology fees for fiscal year 2002-03 are proposed.

WHEREAS the Board of Trustees of The Ohio State University approved the Columbus Campus Undergraduate Instructional and General fees to be effective Summer Quarter at their April meeting; and

WHEREAS the Board of Trustees approved the Agricultural Technical Institute and Regional Campus undergraduate instructional and general fees net of Access Challenge credits as well as the undergraduate nonresident surcharges at all campuses effective Summer Quarter at their May meeting; and

WHEREAS the professional colleges have now completed consultations with their students in regards to the level of their instructional fees above a 5% base to be used by those colleges to improve services to their students; and

WHEREAS the Learning Technology fees for specific colleges need to be approved for FY 2002-03.

NOW THEREFORE

BE IT RESOLVED, That the Graduate Instructional fees for all campuses be increased 5.0% (\$102 per quarter for a full-time student), effective Autumn Quarter 2002; and

BE IT FURTHER RESOLVED, That the General Fees for all graduate and professional students at the Columbus Campus will be the same as for undergraduates (\$120 per quarter and \$180 per semester for a full-time student), effective Autumn Quarter 2002; and

BE IT FURTHER RESOLVED, That the Nonresident Surcharges for all graduate and professional students at the Columbus Campus will increase 5.0% in accordance with the attached budget materials, effective Autumn Quarter 2002; and

BE IT FURTHER RESOLVED, That Differential (Instructional) Fees for the Professional Colleges and specific graduate programs at the Columbus Campus be increased in accordance with the attached budget materials, effective Autumn Quarter 2002, including three new graduate differential fees in the College of Medicine and Public Health for Health Administration, Public Health MPH and Public Health PEP; and

BE IT FURTHER RESOLVED, That the Learning Technology fees in place for specific colleges and programs at the Columbus Campus will not increase for FY 2003 and that no new Learning Technology fees will be introduced in FY 2003 in accordance with the attached budget materials.

APPROVAL OF FISCAL YEAR 2003 CURRENT FUNDS BUDGET

July 12, 2002

Synopsis: Approval of the budget for fiscal year 2002-03 is proposed.

WHEREAS the State has passed its budget for Fiscal Years 2002 and 2003, which includes funding levels for State institutions of higher education; and

WHEREAS fee increases for the Columbus and Regional Campuses and compensation levels were approved at previous meetings of the Board of Trustees; and

WHEREAS other levels of resources and expenditures have been identified for the 2002-03 fiscal year; and

WHEREAS fees other than tuition also need to be approved; and

WHEREAS appropriate planning and consultation within the University has been accomplished, and the Interim President now recommends approval of the Fiscal Year 2003 budget:

NOW THEREFORE

BE IT RESOLVED, That the University's Current Funds Budget for Fiscal Year 2002-03, as described in the accompanying text and tables, be approved, with authorization for the University to make expenditures within the projected income levels; and

BE IT FURTHER RESOLVED, That fees other than tuition be approved as specified in the accompanying text and tables as well as other fees submitted to and approved by the Office of Business and Finance in the course of the budget process including changes to the late registration and late payment of fees for all students retroactive for FY 2002 as specified in the accompanying tables.

I. BUDGET PLANNING SUMMARY

Academic Plan

A great university is measured by the quality of the research, scholarship, and graduates it produces along with their collective impact on the larger society. To be a great university, the prevailing culture must demand excellence in all endeavors. That excellence can only be achieved when all parts of the university are committed to the highest standards of performance. Ohio State is committed to such an environment of academic excellence.

In 1992, Ohio State formulated the University's original mission and vision statement focusing on the quality of our academic programs; the quality of the learning experience we offer our students; creating an environment that truly values and is enriched by diversity; and expanding the land-grant mission to address our society's most compelling needs.

In 2000, the University began a review of the 1992 mission and vision statement. A group of Ohio State deans, faculty and administrators developed the Academic Plan. The draft Academic Plan was reviewed

and refined by faculty, staff and students as well as representatives from the extended Ohio State community.

The six strategies identified in the Academic Plan reflect the values and aspirations of a broad cross section of the University community.

Strategies:

- **Build a World-Class Faculty.**
- **Develop Academic Programs that Define Ohio State as the Nation's Leading Public Land-Grant University.**
- **Enhance the Quality of the Teaching and Learning Environment.**
- **Enhance and Better Serve the Student Body.**
- **Create a Diverse University Community.**
- **Help Build Ohio's Future.**

These strategies and their related initiatives serve as a roadmap for the University. However, the pace at which the University is able to implement the Plan depends

upon a number of circumstances, including the availability of financial resources.

Progress on the Plan was hampered in late Spring 2001 when the Governor of Ohio announced the need to reduce the FY 2001 operating appropriations for higher education by 1%. The total cut to OSU was \$4.5 million.

In FY 2002, continued state budget woes led to the smallest increase in the University's state support in nine years. Progress on the Academic Plan was further constrained by a 32% increase in the University share of employee health benefit costs and the largest increase in energy costs in two decades.

The limited growth in University resources was targeted to four areas determined to be most critical to the Academic Plan:

- Competitive faculty and staff compensation;
- A series of enhancements to our undergraduate program;
- A major biomedical research initiative, drawing in part upon the Tobacco Settlement funds; and
- Creation of the William E. Kirwan Institute for the Study of Race and Ethnicity in the Americas, using funds earmarked in a prior year.

The limited ability of the University in FY 2002 to invest in employee compensation clearly put Ohio State further

behind a number of its aspirational peers' compensation levels.

The President, in October 2001, reaffirmed competitive salaries as the University's number one priority and established the compensation goal of bringing OSU salary levels up to the median salary levels of our benchmarks within the next three or four years. To meet that goal, it was estimated the University would have to provide compensation increases that, on average, were 1% above the market.

Also in the Fall 2001, the state announced the second reduction in state support this calendar year. The FY 2002 cut of 6% reduced OSU funding by about \$28 million; \$20 million was cut from the Columbus campus state share of instruction, and over \$8 million was cut from specific line-item appropriations and the regional campuses. This reduced our state share of instruction to 1999 levels.

To address the additional cuts and meet its competitive compensation goal, the University began planning in the fall for FY 2003 budget reallocations. Plan guidelines called for academic units to assume reallocations of up to 5% and for academic support units to assume reallocations of up to 7%. In addition, a committee was established to recommend reductions in central university commitments of up to \$4 million.

BUDGET CONTEXT

At the beginning of the FY 2003 budget planning process, the University presented a financial benchmark report to its Board of Trustees. The report compares OSU's financial trends with nine benchmark institutions in a number of key areas.

The nine benchmark institutions include, in order of their relative rank: Michigan, UCLA, Wisconsin-Madison, Washington, Illinois-Urbana/Champaign, Minnesota-Twin Cities, Texas-Austin, Penn State, and Arizona.

These institutions represent public higher-education institutions of the highest quality that most closely resemble Ohio State in organization and missions. The institutions represent Ohio State's peers and aspirational peers. Using the most-recent audited financial data available, FY 2000, the financial comparisons follow:

- Current Funds Revenues per FTE student at Ohio State are significantly (18%) below the average of our benchmark institutions. However, this represents a 5-percentage point improvement over FY 1996.
- State support per student FTE at Ohio State (\$9,203) in FY 2000 is also less (6.3%) than benchmark institutions. State appropriations are the slowest

growing revenue source: the average annual growth rate from 1992 to 2000 is .8% in real terms (2000 constant dollars).

- While instructional expenditures per student FTE at Ohio State are 7% higher than the benchmark average, expenditures on academic support outside the classroom are 40% below the benchmark average.

The comparison of fees and tuition based on FY 2002 data shows:

- Resident undergraduate tuition and fees at Ohio State are 3.2% below the benchmark average in FY 2002.
- Ohio State is ranked higher than any other Ohio public university in academic reputation. Yet, Ohio State's resident undergraduate tuition and fees are 4.4% below the state average. This makes Ohio State an excellent value for students and taxpayers, and it also means Ohio State does not have the resources to match our competition in key academic and support areas.

The University will continue to develop benchmarking of key academic, demographic and administrative issues to inform decisions on budget prioritization.

II. ACADEMIC BUDGET PRIORITIES

Strategies and Initiatives

The FY 2003 budget process continues to be guided by the Academic Plan and the primary focus remains:

- Competitive faculty and staff compensation;
- A series of enhancements to our undergraduate programs;
- A major biomedical research initiative, drawing in part upon the Tobacco Settlement funds; and
- Creation of the William E. Kirwan Institute for the Study of Race and Ethnicity in the Americas, using funds earmarked in a prior year.

Two key elements relating to resources necessary to achieve the strategic goals set forth in the Academic Plan were an increase in state share of instruction and an increase in undergraduate tuition.

Unfortunately, the combination of a slowing state economy and the court order to address inadequacies in the state support of primary and secondary education continues to constrain state support. In FY 2002, the

budgeted increase in state support of .8% was the lowest increase in nine years. In FY 2003, following a 6% cut in the already low level of state support, the state is providing no increase in state support.

However, with the support of state officials, an innovative plan was approved to phase-in an increase in Ohio State's tuition to reach a level commensurate with the University's mission and its role as the state's flagship university. This would provide the University with the resources necessary to achieve the goals of the Academic Plan while keeping Ohio State's commitment to hold continuing students' tuition to a growth rate of about 9% per year. A two-tier tuition plan was developed, effective Summer Quarter 2002, to increase undergraduate tuition by 9% for continuing students and by the same 9% plus an additional \$158 per quarter for new students. Of this increase, 30% of the additional student tuition dollars are earmarked for student financial aid to assure continued access for the students in most need.

Revenue generated from the tuition increase and increased enrollments, combined with \$20 million in University budget cuts and \$8 million in reallocations, will allow the University to keep its commitment to fund:

- Average pay increases for faculty and staff in the range of 4.5% (roughly equal to 1% above the market)
- Contractual pay increases for bargaining unit staff
- Estimated 12% average increase in the University share of employee benefits costs.

Other FY 2003 funding priorities include continued commitments to improve the undergraduate experience, including access to high demand courses and improved counseling; strategic investments; increased support for research, revenue enhancement programs, and student recruitment. In addition, the FY 2003 budget continues to support initiatives designed to diversify revenue sources through increased private fund raising and sponsored research.

Thus, although the University will make progress on its strategic goals, during the coming year, implementation of the Academic Plan will be accomplished at a much slower pace than originally anticipated.

Budget Restructuring

The University is moving away from a budget structure that is heavily driven by past history to one that more directly aligns financial incentives for the colleges with the academic goals of the University.

Over the last six years, the University has been preparing for budget restructuring. This preparation includes development of an academic plan, reconciliation of college base budgets with academic goals and a set of allocation principles for moving forward.

Beginning in FY 2003, annual increases in revenues and expenditures are shared with the colleges based on the following principles of the new budget system:

- The allocation of resources should be mission driven.
- In a large, complex organization, decentralized decision-making works best.
- Any budget system, but particularly one that is decentralized, depends on the creation and maintenance of a timely and user-friendly information system.
- A significant portion of revenues should be explicitly linked to the generating units, specifically the colleges.
- A portion of all revenues should be dedicated to the support of university-wide goals.

- Costs should also be explicitly linked to the generating college or vice presidential area.
- Although the system should be mission driven, predictability and stability are also important characteristics.
- Appropriate oversight and accountability should be provided by the University's governance and administrative structure.
- A carefully thought out transition is essential to the ultimate success of any changes in the budget system.
- While budget restructuring is not a panacea for the University's low level of financial support, it will provide greater incentives for units to generate resources consistent with the goals of the Academic Plan.

The tables on the right and on the following page are summaries of the net increases in General Fund resources for all colleges and academic support areas in FY 2003.

**SUMMARY OF ANNUAL GENERAL FUNDS BUDGET CHANGES
COLUMBUS CAMPUS BY COLLEGE
FY 2002 TO FY 2003 (IN THOUSANDS)**

College	FY02 PBA (3/31/02)	FY03 Annual Budget Change (1)	FY03 Transfers (2)	FY03 Projected PBA After Budget Process and Transfers	Pct. Increase
Arts	\$ 19,609	\$ 552	\$ 2	\$ 20,163	2.8%
Biological Sci.	\$ 17,144	\$ 616	\$ 526	\$ 18,286	6.7%
Humanities	\$ 39,728	\$ 2,452	\$ 799	\$ 42,978	8.2%
Math & Phy Sci	\$ 48,620	\$ 1,501	\$ 995	\$ 51,115	5.1%
Soc & Behav Sci	\$ 36,964	\$ 2,238	\$ 887	\$ 40,089	8.5%
Business	\$ 23,424	\$ 2,426	\$ 202	\$ 26,052	11.2%
FAES	\$ 15,389	\$ 180	\$ 97	\$ 15,666	1.8%
Education	\$ 21,330	\$ 604	\$ 346	\$ 22,280	4.5%
Engineering	\$ 47,354	\$ 1,042	\$ 1,393	\$ 49,789	5.1%
Human Ecology	\$ 5,373	\$ 349	\$ 160	\$ 5,882	9.5%
Nursing	\$ 5,067	\$ 22	\$ 15	\$ 5,105	0.7%
Pharmacy	\$ 7,282	\$ 244	\$ 552	\$ 8,077	10.9%
Social Work	\$ 3,572	\$ 43	\$ 119	\$ 3,734	4.5%
Dentistry (3)	\$ 16,060	\$ 345	\$ (55)	\$ 16,350	1.8%
Law (4)	\$ 12,080	\$ 309	\$ 140	\$ 12,530	3.7%
Med & Pblic Hlth (3) (5)	\$ 36,122	\$ 1,525	\$ 4,806	\$ 42,453	17.5%
Optometry	\$ 3,098	\$ 280	\$ 246	\$ 3,624	17.0%
Veterinary Med	\$ 16,595	\$ 227	\$ 428	\$ 17,250	3.9%
Total	\$ 374,810	\$ 14,956	\$ 11,658	\$ 401,425	7.1%

(1) Includes the total marginal resources allocation minus marginal assessments for space, research administration, student services and the central tax. Figures also include the 2.5% PBA budget cut, Faculty Promotions and Differential Fees. Does not include budget reallocations produced by job abolishments and expenses shifted to non-general fund revenue sources in FY03 to partially fund pay increases.

(2) Column includes the sum of CDRS/DDRS decentralization; estimated share of additional FY02 indirect cost recovery distribution; rebasing commitments; revenue enhancement subsidy cash conversion to annual rate; selective investment; promotion increases and closed-course program close out.

(3) Excluding Hospital Transfer

(4) Includes Law Library

(5) Rebasing resources equal \$1M in continuing funds and \$3M in one-time funds. A total of \$4.7M in continuing rebasing funds to be transferred through FY 2007. The total amount is subject to further refinement due to space cost issues.

**SUMMARY OF ANNUAL GENERAL FUNDS BUDGET CHANGES
COLUMBUS CAMPUS BY ACADEMIC SUPPORT AREAS**

FY 2002 TO FY 2003 (IN THOUSANDS)

College	FY02 PBA (3/31/02)	FY03 Annual Budget Change (1)	FY03 Transfers (2)	FY03 Projected PBA After Budget Process and Transfers	Pct. Increase
Ag. Admin (Cont. Ed.)	\$ 1,014	\$ (15)	\$ 0	\$ 999	-1.4%
Ag Admin.	\$ 393	\$ 9	\$ 52	\$ 454	15.7%
College of Law Library (3)	\$ -	\$ -	\$ -	\$ -	
Graduate School (4)	\$ 6,534	\$ 111	\$ 5	\$ 6,649	1.8%
University Libraries (5)	\$ 23,366	\$ (382)	\$ 104	\$ 23,087	-1.2%
University Relations	\$ 4,135	\$ 18	\$ 74	\$ 4,228	2.2%
Board of Trustees	\$ 688	\$ (8)	\$ -	\$ 680	-1.1%
Office of the President	\$ 2,464	\$ (32)	\$ -	\$ 2,432	-1.3%
Legal Affairs	\$ 1,274	\$ 2	\$ 15	\$ 1,291	1.3%
Office of Research (6)	\$ 6,990	\$ (243)	\$ 1,388	\$ 8,136	16.4%
OAA	\$ 38,388	\$ 122	\$ 15	\$ 38,526	0.4%
Undergraduate Studies	\$ 27,947	\$ (351)	\$ 400	\$ 27,997	0.2%
Office of Student Affrs	\$ 15,003	\$ (179)	\$ 78	\$ 14,902	-0.7%
Health Sciences (7)	\$ 6,075	\$ (53)	\$ 1,238	\$ 7,260	19.5%
Business and Finance	\$ 64,061	\$ (21)	\$ 177	\$ 64,218	0.2%
Sub-Total	\$198,333	\$ (1,021)	\$ 3,547	\$ 200,858	1.3%
OSURF (8)	\$ 6,494	\$ 370	\$ 1,000	\$ 7,864	21.1%
Total	\$204,827	\$ (651)	\$ 4,547	\$ 208,722	1.9%

Notes:

(1) Includes the net marginal resources allocation minus the 3.5% budget cut. Does not include budget reallocations produced by job abolishments in FY 2003 to partially fund pay increases. Does not include budgets for central initiatives and student financial aid.

(2) Column includes the sum of CDRS/DDRS decentralization; estimated share of additional FY2002 indirect cost recovery distribution; central transfers to fund FY 2003 mandates and recruitment enhancement.

(3) Law Library included in College of Law's budget, although the library's marginal budgetary growth is funded by the central tax.

(4) Fellowship Stipend budget includes 4.5% stipend increase.

(5) Additional IDC Allocation includes a portion of the Library's 2.38% of Marginal IDC's from FY02 (56% of \$140,575) and FY 2003 (56% of \$44,000). The acquisitions budgets of all libraries were excluded from the 2.5% budget reallocation used to partially fund compensation increases.

(6) Figures include additional FY 2002 IDC Recoveries including \$700,000 for ULAR and \$500,000 for Research Risks Protection.

(7) Additional IDC Allocation includes a portion of the Library's 2.38% of Marginal IDC's from FY02 (38% of \$140,575) and FY03 (38% of \$44,000).

(8) Office of Research will absorb the 3.5% cut for OSURF. Annual budget change for OSURF includes 4.5% salary increase with benefits, (with a 2.5% reallocation), and \$200,000 for systems upgrades. OSURF excluded from sub-total because it is separately budgeted.

III. FY 2003 REVENUE SUMMARY

Overview

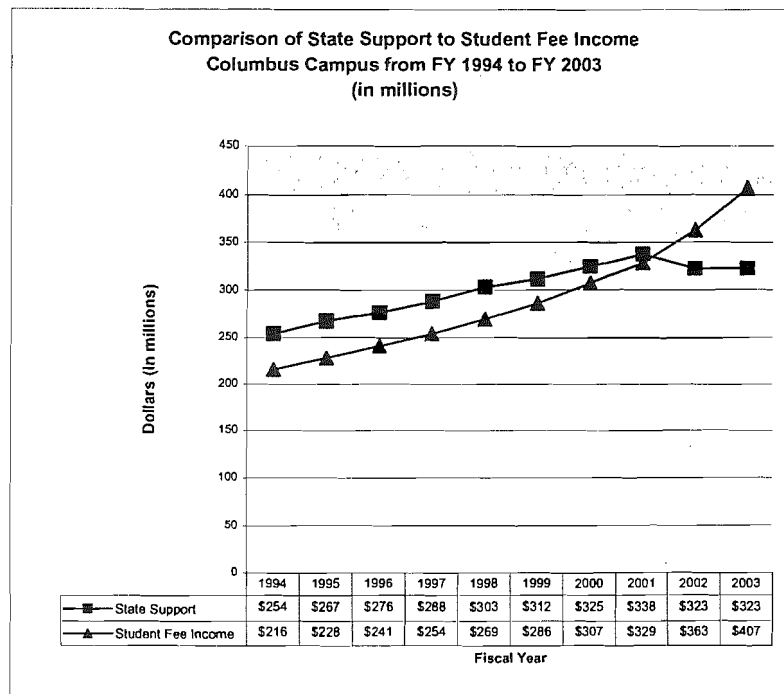
Total University's current funds revenues including General Funds, Earnings and Restricted revenue are projected to increase by 12.3% from \$2.27 billion to \$2.55 billion between FY 2002 and FY 2003.

General Fund revenues for the Columbus Campus consist primarily of State Support (the state share of instruction, challenge funding and state line-item appropriations), student tuition and fees. General Fund revenues are projected to increase by \$47.8 million or 6% in FY 2003. With the implementation of Budget Restructuring, \$39.4 million, or over 82% of the increase in General Fund revenue will be directly allocated to the college budgets or invested selectively in strategic initiatives identified in the Academic Plan; and \$8.3 million, or 16.3%, will be allocated to the academic support unit budgets.

In the FY 2002 Current Funds Budget, revenue from student tuition was projected to exceed state instructional

support at the Columbus Campus for the first time in the University's history. This phenomenon was not the result of a single lean budget year or biennium, but was an ongoing trend over the previous fifteen years, a time when both the U.S. and Ohio had undergone sustained economic growth. This trend was exacerbated in FY 2002 when Ohio State sustained an additional 6% cut in its state support as a result of a downturn in the economy.

Ohio has gone from a position sixteen years ago, when Ohio State's share of instructional support was nearly double its student fee income, to a position today where the state provides a minority share of the instructional funding for Ohio State. And, the forecast for the foreseeable future is that state support will continue to fall further behind student fee income. This will put a greater financial burden on students.



State Support

Beginning in FY 2000, the definition of state support expanded to include the state share of instruction and five new line-item appropriations considered challenge funding. Challenge funding for each university or college is dependent upon that institution's performance in meeting the following statewide goals:

Success Challenge – rewards successful completion of academic programs by at-risk students (OIG-eligible undergraduates); and successful completion of a baccalaureate degree in a timely manner (4 years).

Research Challenge - rewards success in securing sponsored research from external sources.

Priorities in Graduate Education – supports improvements in graduate programs in computer science.

Access Challenge - reduces financial barriers to entry-level higher education (two-year schools only). The reduction in access challenge will make it more difficult for the regional campuses to keep their tuition low.

Jobs Challenge - rewards successful efforts at meeting community needs for non-credit job training (two-year schools only).

State Share of Instruction - In Spring 2001, the Governor announced the need to reduce the FY 2001 operating appropriations for higher education by one percent. The total cut to OSU was \$4.5 million. The vast majority of the cut came from the State Share of Instruction. Challenge funding was held harmless from the cut. A 6% state budget reduction followed in the fall of FY 2002 cutting further the State Share of Instruction including the Challenge funding for the Columbus Campus by \$20.5 million to \$322.7 million. This cut brought the level of state support to a level approximately equivalent to FY 1999 levels of funding.

STUDENT FEES

Student Enrollments

Undergraduate student retention rates and an increase in the average number of credit hours undergraduates are taking per person along with a slightly larger freshmen class have increased undergraduate enrollment levels 1.3% above original FY 2002 projections.

Graduate enrollments began to stabilize in FY 2002 after several years of decline and are projected to remain stable and grow slightly. Professional student enrollments are projected to continue growing. Total undergraduate, graduate and professional Columbus campus enrollments for FY 2003 are projected to be 49,094, the highest projected enrollment levels since fall of 1994.

Undergraduate Instructional Fees

Columbus Campus - Beginning Summer Quarter 2002 Ohio State's Columbus Campus implemented a two-tier tuition schedule. The new two-tier approach allowed the University to keep a prior commitment to its current students that limited increases to the combined instructional and general fees to 9.0% for the next three or four years.

New full-time freshmen and transfer students pay an additional \$158 per quarter for a total increase from FY 2002 fees of 19%. Phasing in a higher tuition rate will allow the University to preserve gains already made toward the goals of the Academic Plan and to continue to improve the University's academic standing while remaining a great investment for our students and the people of Ohio.

Institution	FY 2002	FY 2003 ¹			
	Fall 01 Tuition	New Students		Continuing Students	
		Tuition	% Chg	Tuition	% Chg
Miami University	\$6,916	\$7,600	9.9%	\$7,600	9.9%
U of Cincinnati ²	5,823	6,936	19.1%	6,936	19.1%
Bowling Green ²	5,604	6,726	20.0%	6,486	15.7%
Kent State ²	5,598	6,374	13.9%	6,374	13.9%
Ohio University	5,493	6,336	15.3%	6,036	9.9%
U of Akron ²	4,930	6,098	23.7%	5,798	17.6%
U of Toledo	5,102	5,836	14.4%	5,836	14.4%
Ohio State	4,761	5,664	19.0%	5,190	9.0%
Cleveland State ²	4,464	5,496	23.1%	5,196	16.4%
Wright State ²	4,596	5,361	16.6%	5,163	12.3%
Youngstown State	4,204	4,996	18.8%	4,996	18.8%
Shawnee State ²	3,402	4,347	27.8%	4,050	19.0%
Central State	3,723	4,021	8.0%	4,021	8.0%
State Average	\$4,970	\$5,830	17.3%	\$5,668	14.0%

¹Sources are the individual institutions

²Universities implemented mid-year fee increases in FY 2002

General Fees

The general fee was restructured in FY 1995 to correspond with the costs associated with the Office of Student Affairs and includes such items as Student Unions, Student Health Center, and Student Life. The purpose of this restructuring was to improve accountability. In FY 2003, the Columbus Campus general fee will increase 2.6% to \$120 per quarter (\$180 per semester)

Graduate and Professional Fees

The combined instructional and general fees for most graduate students will increase 4.9% (\$306 per year) and full-time, non-resident graduate students will pay \$810 more per year. Non-resident surcharges vary among graduate and professional programs, but all non-resident surcharges will increase 5%.

Some graduate and professional students pay a higher or differential fee. Revenue generated from FY 2003 differential fee increases is earmarked to support the graduate and professional programs that generate the fee income.

The following graduate and professional program's differential fees for full-time graduate and professional students are effective in Autumn 2003.

FY 2003 PROFESSIONAL STUDENT FEES		
COLLEGE	RESIDENT INSTRUCTIONAL & GENERAL FEES	
	RESIDENT TOTAL (PER QUARTER)	% INCREASE OVER FY02
LAW (SEMESTER)	\$5,940	9.7%
BUSINESS MBA ¹	\$4,297	13.7%
BUSINESS EMBA	\$9,777	7.0%
BUSINESS - M OF ACCT	\$5,530	4.9%
BUSINESS MLHR	\$2,392	4.9%
DENTISTRY	\$4,783	11.8%
PUBLIC HEALTH MPH ²	\$2,303	9.6%
PUBLIC HEALTH PEP ²	\$2,343	11.5%
M OF HEALTH ADMIN. ²	\$2,700	28.4%
MEDICINE ³	\$5,804	14.8%
MEDICINE – MPT	\$2,397	9.6%
OPTOMETRY	\$3,762	4.8%
PHARMACY	\$2,870	8.6%
VETERINARY MEDICINE	\$4,396	8.7%

¹ Final year of a 4-year planned increase

² New differential fee – See table page I.3

³ The fee applies only to level 0 students. The College of Medicine will offer tuition credits that will effectively lower the annual increase to 11.8% for students in levels 1 and 2 and to 8.2% for students in level 3.

Undergraduate Non-Resident Surcharge

Effective summer quarter 2002, the undergraduate non-resident surcharge at all campuses will increase 7.5% to \$3,141 per quarter for a full-time student.

Regional Campuses and ATI

The instructional and general fees combined net of the Access Challenge credits for the regional campuses are 8.9% for the lower-division students (freshmen and sophomores) and 12.8% for the upper-division students (juniors and seniors) and 9.9% for all undergraduate students at ATI.

Technology Fees

Specific learning technology fees are earmarked for the Colleges of Engineering, Business, Arts and Nursing and the CIS program in Math & Physical Sciences and Public Policy in Social & Behavioral Sciences. All of these fees remained at the FY 2002 levels.

Residence Halls and Other Charges

Other charges to Columbus Campus students (room and board, bookstores, health insurance, etc.) will increase by an average of 5.1% for undergraduate students. Included in this weighted average is an increase in room and board charges for undergraduate residence halls that

range from 2% to 6% depending on room and meal plan selection. (See table IV. 14).

Indirect Cost Recoveries

Over the last two years, indirect cost recoveries for sponsored research were the fastest growing component of University's General Funds budget. Indirect cost recoveries grow as a function of the level of external research grants awarded to the University. The negotiated indirect cost recovery reimbursement rate is a function of the University's actual expenditures (subject to certain established ceilings) for general administrative and library support services, and research facility and equipment costs. After several years of moderate growth, indirect cost recoveries grew by 16.6% in FY 2001 and by 13.3% in FY 2002. In FY 2003, we are projecting the growth in indirect cost recoveries will level off and grow by a more typical 3.6% over FY 2002 recoveries.

Other Income

Additional sources of General Funds income include: internal overhead (\$40.2 million) paid by the University's auxiliary and earnings operations; interest income (\$10.1 million); unrestricted endowment and designated income (\$5.5 million); and miscellaneous income (\$1.0 million). Other income, in total, is projected to increase by 2.8% above the FY 2002 level to \$56.8 million.

IV. FY 2003 EXPENDITURE SUMMARY

OVERVIEW

In January, the University identified a \$73 million budget problem for FY 2003. This included \$53 million in additional resources needed to meet compensation, financial aid and other program commitments of the Academic Plan, as well as \$20 million in expenditure reductions to offset state budget cuts.

Our budget recommendations for FY 2003 meet these goals through \$48 million in new resources from tuition increases (including \$3 million in differential fees earmarked to be returned to the graduate and professional programs whose students pay the higher fees), enrollment increases and other sources, \$20 million in base spending reductions, and \$8 million in additional budget reallocations and redirections. The FY 2003 General Fund budget priorities as guided by the Academic Plan are:

Protect Student Financial Aid and Enhance the Undergraduate Experience - Financial aid funds will be increased at a rate that ensures students who are otherwise qualified will not be denied admission for

financial reasons; \$5.4 million (20%) of the growth in undergraduate tuition revenues is set aside for undergraduate student financial aid including an additional \$.5 million in the use of unrestricted endowment income. An additional \$8.7 million is budgeted to support the growth in graduate fee authorizations. The University will continue to improve access to high-demand undergraduate courses counseling and advising services, the first year experience program for freshmen, and the living learning centers.

Competitive Faculty and Staff Compensation – University-wide General Funds budgeted expenditures for faculty and staff compensation will grow by \$30.57million, including \$11.1 million in increased costs to the University share of employee benefits. The University will fund:

- Average pay increases for faculty and staff in the range of 4.5% (roughly equal to 1.0% above the market)
- Contractual pay increases for bargaining unit staff
- Estimated 12% average increase in the University share of employee benefits costs

Of the \$30.8 million, \$23.9 million is being funded by new revenues to the University and \$6.9 million by the reprogramming of current expenditures including the elimination of over 580 FTE positions throughout the University.

Provost's Strategic Investment and Rebasing Funds – consists of funding support for long-term commitments such as selective investment in top academic units, rebasing college budgets and recruitment and retention of top undergraduate students.

Differential Fees – Includes those additional funds generated by graduate and professional students paying a differential tuition. These funds are earmarked for the support of the programs in which the student's are enrolled.

University Utilities and Building Maintenance – An estimated 3.2% inflationary increase in budgeted facility costs including utilities, rent, insurance, repair and renovations is budgeted requiring an additional \$1.6 million over FY 2002 expenditures.

Other and Unfunded Mandates – An additional \$.7 million is budgeted to fund increases in the **President's Fund**, and to cover **Unfunded State and Federal Mandates**, a cost over which the University has little control.

FY 2003 Columbus Campus New General Funds Allocations (in Millions)	
Student Financial Aid & Undergraduate Student Experience	\$14.0
¹ Faculty & Staff Salary/ Benefit Increases Net Reallocations	23.9
Provost's Strategic Investment & Rebasing Funds	4.6
Differential Fees	2.9
Utilities and Bldg Maintenance	1.6
Other and Unfunded Mandates	0.8
Increase in General Funds Expenditures	\$47.8

¹Includes increase in faculty & staff salary/benefit total costs (\$30.8M) less budget reallocations (\$6.9M)

ACADEMIC PRIORITIES

Although the University will make progress on its strategic goals, during the coming year, implementation of the Academic Plan will be accomplished at a much slower pace than originally anticipated.

The primary Academic Plan initiatives on which the University will focus in FY 2003 are:

- Competitive faculty and staff compensation funded primarily through the General Funds budget;
- A series of enhancements to our undergraduate programs funded primarily through the reprogramming of existing General Funds;

- A major biomedical research initiative, funded from increased research initiatives and drawing in part upon the Tobacco Settlement funds; and
- Creation of the William E. Kirwan Institute for the Study of Race and Ethnicity in the Americas, using funds earmarked in prior years.

V. EXPENDITURE TRENDS AND MULTI-YEAR COMMITMENTS

Expenditure Trends

(All figures in millions of \$)

Following are a series of tables showing a five-year trend of budget amounts for selected priorities. They include only general fund sources unless otherwise indicated and many increases will be zero due to budget constraints.

Compensation - Over the last five years, the University has increased salaries and wages an average of 3.3% a year while benefits costs per individual have increased an average of 6.4% per year.

Compensation					
	FY 99	FY 00	FY 01	FY 02	FY 03
Salaries & Wages	\$373.10	\$391.20	\$406.30	\$419.60	\$445.20
Benefits	69.90	76.00	80.90	85.10	96.50
Total	\$443.00	\$467.20	\$487.20	\$504.70	\$541.70

Provost's Strategic Investments - The University continues to improve the academic experience of its students by investing in quality academic programs. In FY 2003, over \$12.3 million will have been invested in thirteen academic programs across the University and

nearly 90 academic enrichment initiatives. The Provost's Strategic Investment Fund also provides support for the Academic Plan priorities such as the Kirwan Institute for the Study of Race and Ethnicity in the Americas.

Provost's Strategic Investments					
	FY 99	FY 00	FY 01	FY 02	FY 03
Provost's Strategic Inv.	\$5.75	\$7.50	\$9.50	\$10.30	\$12.30
Library Support	9.80	10.40	11.10	11.70 ³	11.30
Board of Trustees Chair	0.34	0.34	0.34	0.34	0.35
Total	\$15.89	\$18.24	\$20.94	\$22.34	\$23.95

Research Support - Research continues to be a high priority of the University. Resources must continually be invested in order for the University to maintain its competitive advantage and to increase its research output. In FY 2003, total research support continues to increase in spite of no increase in Research Challenge funding. The Academic Plan and the Research Commission report continue to focus university efforts to further advance its research efforts. As a result of increased indirect cost

³ The increase in Library Support in FY 2002 is in one-time funds.

recoveries over original FY 2002 budget projections, an additional \$6.0 million is being made available for research initiatives in FY 2003. \$3.0 million will be distributed to the colleges generating the indirect costs on a proportional basis and \$3.0 million will be allocated to central research initiatives including OSURF computing, Cancer Research support, Lab Animal facilities and risk protection.

Research Support					
	FY 99	FY 00	FY 01	FY 02	FY 03
OSURF Admin	\$6.50	\$6.80	\$6.40	\$6.50	\$7.90
Special Purp. Research	4.00	4.20	4.40	4.40	2.50 ⁴
CDRS/DDRS Distribution					1.90 ⁴
Research Fee Auth	11.90	12.50	13.30	14.00	14.70
Central Research Spt ⁵					2.00
Distribution of Indirect Cost ⁶					3.00
Research Chal	6.00	7.90	9.30	8.10	8.10
Research Facilities ⁷	6.40	6.20	6.20	7.00	7.10
Total	\$34.80	\$37.60	\$39.60	\$40.00	\$45.00

⁴ Decrease represents the decentralization of the CDRS/DDRS funds to the colleges.

⁵ Half of the additional indirect cost recoveries collected in FY 2002 will be used to support OSURF computing, Cancer research, Lab Animal Facilities and risk protection.

⁶ Half of the additional indirect cost recoveries collected in FY 2002 are being returned to the colleges permanently in FY 2003.

⁷ Consists of Research Rent and Research Debt Service.

Diversity - The University must continue to invest in those areas that will have the greatest potential for a positive impact on its commitment to diversity. Additional funds to offset inflation are provided for minority scholarships. Funding is provided for a variety of new diversity initiatives listed below.

Diversity					
	FY 99	FY 00	FY 01	FY 02	FY 03
Minority Scholarships ⁸	\$8.80	\$9.20	\$10.10	\$11.20	\$12.50
Faculty Assistance	5.10	5.30	5.50	5.50	5.50
Retention & Other			0.70	0.70	0.70
Academic Prog Endow ⁹			1.00		
Initiative Seed Funds ⁹			0.30		
Multicultural Center				0.15	0.25
Women's Place				0.10	0.20
Total	\$13.90	\$14.50	\$17.70	\$17.65	\$19.15

Student Financial Aid - In FY 2003, an estimated 25,000 students will receive some form of financial aid, making this one of the most critical student services. The University will allocate additional funds (20% of new undergraduate fee revenue and nearly all of the increase in graduate fee revenue) so that scholarships

⁸ Includes Young Scholars financial aid.

⁹ Funded from Exclusive Beverage Contract.

and fee authorizations can be increased to offset increases in student fees.

Student Financial Aid					
	FY 99	FY 00	FY 01	FY 02	FY 03
Scholarships	\$6.70	\$7.10	\$7.80	\$7.65	\$10.70
Buckeye Scholarships	2.70	4.50	6.10	6.95	6.80
High Ability Scholars	5.30	5.60	5.50	6.75	9.00
Fee Authoriz.	58.10	59.00	59.80	67.80	78.70
Total	\$72.80	\$76.20	\$79.20	\$89.15	\$105.20

Physical Environment - The Ohio State University faces a backlog of over \$100 million in identified maintenance needs for campus facilities. While this backlog cannot be eliminated overnight, the University continues to invest significant resources to maintain the campus physical environment.

Physical Environment					
	FY 99	FY 00	FY 01	FY 02	FY 03
Renovations (State)	\$7.70	\$7.70	\$7.70	\$7.70	¹⁰ TBD
University (Cont.) ¹¹	6.90	6.90	7.00	7.20	7.40
University (1-time)	1.70	1.00	0.50	1.30	0
Total	\$16.30	\$15.60	\$15.20	\$16.20	TBD

¹⁰ State capital appropriations for FY2003 not yet finalized.

¹¹ Repair & Renovation and the Space Facilities Committee Reserve.

Instructional Technology - The University has embarked on a multi-year effort to increase support of learning technology. It is critical to the University's mission that faculty, staff and students have access to the most advanced technologies.

Instructional Technology					
	FY 99	FY 00	FY 01	FY 02	FY 03
Academic Computing (Cont)	\$8.48	\$9.18	\$8.72	\$10.37	\$10.30
Academic Computing (1-Time)	0.80	0.80	1.00	0	0
College & Program Computer Fees					
Engineering/CIS	1.30	1.30	1.30	1.30	1.40
Business	0.80	0.80	0.80	0.80	0.95
Public Policy	0.03	0.03	0.03	0.03	0.03
Arts	0	0	0.20	0.20	0.25
Nursing	0	0	0.10	0.10	0.06
Total	\$11.41	\$12.11	\$12.15	\$12.80	\$12.99

Multi-Year Commitments

(All figures in millions of \$)

The documentation of multi-year commitments has been part of the annual budget document every year since FY 1996. The purpose of this review is to share with the campus a sense of what these commitments are and how they change from year to year. In order to plan effectively, the University needs to be able to make commitments across fiscal years, but do so in a way that does not jeopardize future financial flexibility.

Multi-year commitments are divided into five categories to reflect the various sources of the funds to address these commitments. These categories are:

- Continuing central General Funds
- One-time central General Funds
- Central Non-General Funds
- Colleges and support units' funds
- Capital funds

Central Continuing General Funds

The following table lists the explicit multi-year commitments against continuing General Funds. The Provost's Strategic Investment Fund and Enhanced Recruiting are a continuation of existing commitments. Campus Partners continuing General Fund commitments include projected increased rental costs for the move of Human Resources and other units into Gateway in FY 2004. Graduate Associate health

insurance is a three-year commitment to fund a portion of student health insurance for graduate assistants.

Multi-Year Continuing General Funds					
Commitment	Init'l FY	FY02 Total	FY03 New	FY 04 Est Inc	FY 05 Est Inc
Provost Strategic Invest	1995	\$10.3	\$2.0	\$2.0	\$2.0
Recruit Enhance	1998	3.0	0.4	0.0	0.0
Campus Partners	1995	0.2	0.0	0.5-1.0	0.0
Grad Assoc. Health Ins	2002	0.5	0.5	0.5	0.0
Budget Rebasing	2002	0.8	2.0	2.0	2.0

In accordance with the Academic Plan and the principles of Budget Restructuring, it was determined that some colleges' base budgets were not in alignment with the goals of the Academic Plan. The table below documents the rebasing transfers to and from these colleges over a five-year period beginning in FY 2002.

Budget Rebasing Transfers (in millions)			
College	FY 2002	FY 2003	5 Year Goal
Transfers to Colleges			
Humanities	\$0.42	\$0.58	\$2.50-\$4.40
[†] Medicine	0.00	4.00	4.70
Social & Behavioral Sciences	0.17	0.30	1.10
Biological Sciences	0.09	0.20	0.60
Optometry	0.08	0.07	0.40
Social Work	0.05	0.11	0.30
Human Ecology	0.04	0.14	0.20
Subtotal	0.85	5.40	9.80-11.70
Transfers from Colleges			
Nursing		(0.02)	(0.10-0.30)
Pharmacy		(0.02)	(0.35-0.85)
Dentistry		(0.25)	(1.25-2.15)
Subtotal		(0.29)	(1.70-3.30)
Net Transfers	\$0.85	\$5.11	\$6.50-\$10.00

[†]Rebasing resources equal \$1M in continuing funds and \$3M in one-time funds. A total of \$4.7M in continuing rebasing funds to be transferred through FY 2006. The total amount is subject to further refinement due to space cost issues

The desired ceiling for multi-year commitments is that commitments in the succeeding three years not exceed 1% of the current year's budget. This guideline was established in the mid 1990's in order to preserve future financial flexibility. One percent of the FY 2003 Columbus Campus General Funds Budget is \$8.2 million. The total of new FY03 multi-year commitments plus future obligations in FY 2004 and FY 2005 ranges between \$8.5 and \$12.0 million primarily as a result of adding Budget Rebasing

commitments. Therefore, caution needs to be exercised in making additional future multi-year commitments until the University's financial picture improves.

In addition to the specific multi-year commitments listed above, the University will continue to need to fund increases in a number of areas of the General Funds budget. These include:

- Competitive annual compensation increases for faculty, staff and student employees
- Continuing needs for increases in supplies
- Implementation of the G-QUE and I-QUE recommendations
- Support of research
- Deferred maintenance and other capital needs
- Academic and administrative computing needs
- Student Financial Aid
- Unfunded legal mandates
- Diversity and Outreach
- Other Academic Plan initiatives

Commitments of Central One-Time General Funds

The commitment for the Trustees' Chair is the fourth year of a five-year commitment. In July 2000, the new automated General Ledger (GL) System was successfully implemented including adjustments to the Procurement and Human Resources Systems. An internal loan needed to complete this initiative will be paid off by FY 2003. Responsibility for the operation and maintenance of the GL, Procurement and Human

Resources Systems has been integrated into the University's existing OIT organization.

Central One-Time General Funds					
Commitment	Initial FY	FY 02 Actual	FY 03 Est Inc	FY 04 Est Inc	FY 05 Est Inc
PharmD Support	1998	\$0.14	\$0.14	\$0	\$0
Trustees' Chair	1999	0.34	0.34	0.10	0
Internal Loan Repayment	2001	7.00	7.00	0	0
OIT Support	2002	3.00	1.00	0	0

In October 2000, the University Board of Trustees approved the creation of the President's Strategic Investment Fund. The purpose of this fund is to allow the President to direct one-time resources into areas of strategic investment supportive of the Academic Plan.

Resources for the Strategic Investment Fund come from both general funds freed up by moving Development off the General Fund and from Research Challenge. These are one-time funds only. The Board resolution prohibits use of these resources for continuing commitments. Use of these funds is at the President's discretion and must be reported annually to the Board of Trustees. Commitments to date total \$24.89 million are distributed as identified in the following table.

President's Strategic Investment Fund						
	Base	FY03	FY04	FY05	FY06	Total
Micro MD	\$5.70					\$5.70
Medical Informatics	1.50	1.25	1.25			4.00
P-12 Initiative	.20	.20	.20	.20		.80
World Class Faculty		.38				.38
Library Acq.	.71					.71
Grad. Recruit	.36					.36
Math, BioSci	.20					.20
COMPH Pharmacology				.93	.92	1.85
Biomed Resch Tower ¹²			2.40	1.80	.80	5.00
Outreach & Engagement	.19	.15	.15			.49
Tech Transfer		.80	.80	.80		2.40
Undergrad Experience		1.00	1.00	1.00		3.00
Total	\$8.86	\$3.78	\$5.80	\$4.73	\$1.72	\$24.89

Central Commitments of Non-General Funds

As the University moves to diversify its funding sources, the commitment of non-General Fund sources is becoming much more significant. The following table lists ongoing commitments of non-General Fund sources that are primarily endowment and unrestricted gift money.

¹² Does not include \$3.0 million from FY 2002 indirect cost recoveries.

Central Commitments Non-General Funds			
Project	Total	Source	Time Frame
Campus Partners	\$25.0	Endowment	97-02
	5.8	Unrest Gift	95-05
Science & Tech Campus Oper.	2.7	Ofc of Research	03-12
Science & Tech Campus Loan	21.0	Endowment	97-22

College and Other Unit Commitments

As the University moves to a more decentralized structure, colleges and other administrative units will be taking on greater financial responsibility. The section below lists specific initiatives where colleges and large administrative units have been given or have given loans to accomplish certain objectives.

New commitments are 1) Olympic Sports – Athletics has been authorized to proceed with planning for a capital campaign to build a series of facilities related to Olympic sports. 2) ULAR is proceeding with a 25,000 square foot addition and expansion of facilities to be funded from several sources including State Capital Appropriations, NIH Grants and bonds, which will be paid off from indirect cost recoveries. It should be noted that Prologue Inc. has been fully funded and has been taken off the list. Remaining commitments include:

College and Other Unit Commitments				
Project	College/ Unit	Total	Source ¹³	Time Frame
Med Ohio Health, Inc.	Health System	\$5.60	Patient Revenues	95-06
Telescope project	MAPS	0.50	Cost Recoveries	98-04
Heart & Lung Inst. Addit. Construction Operating	Medicine	0.63 0.13	Cost Recoveries	98-13
MRI	Medicine	1.50	Cost Recoveries	99-05
Ohio Stadium	Athletics	195.00	Tickets, Club Seats, Boxes	99-29
Schottenstein Center	Student Affairs	55.20	Ticket Sales	99-29
Heart Hospital	Health System	82.60	Patient Revenues	01-21
Micro MD Bio Mems	Central Research Medicine Engineer	4.50 1.50 1.50	Strat. Invst Various Various	01-21
Executive Residence	Business	31.00	Rental Revenues	02-33
Bio-Med Research Fac.	Medicine	TBD	Cost Recoveries	05-25
Olympic Sports	Athletics	45.0	Capital Campaign	03-?
Lab Animal	ULAR	8.2	Cost Recovr	03-18

¹³ Increases in cost recoveries are guaranteed by the respective college and/or department

In addition to these specific multi-year commitments by the colleges, we want to highlight some of the financial issues facing The Ohio State University Medical Center and the Department of Athletics.

The Ohio State University Medical Center is the most comprehensive in the country. It currently is facing three financial challenges: 1) the competitive challenges presented by managed care, 2) the competitive challenge for research dollars and 3) the recent purchase of Park Hospital to create University Hospitals East. Recent hires in the area of medical research will provide an enormous competitive advantage in cancer, heart and lung, and biomedical research. However, these new hires will also require a corresponding significant investment of financial support. Initial support has been made possible by the transfer of funds from past successful operations of the James Cancer Hospital and Solove Research Institute, and now the President's Strategic Reserve among other sources.

The pressures of responding to managed-care competition are likely to continue. The Ohio State University Medical Center accounts for nearly 40% of the entire OSU budget from all sources. While The Ohio State University Medical Center is currently in a positive financial position, the ability to remain strong academically and financially depends on the ability of the University and the Medical Center to balance internal demands for more resources in support of

teaching and research against external market forces centered primarily on cost.

The Ohio State University's Athletics' program is the largest in the country and one of only a handful that contributes resources back to the University. The program has aggressively embarked on an effort to correct a number of chronic problems that have needed attention for quite some time, including inadequate facilities, equity issues in coaches' pay and Title IX compliance. Facility investments alone resulted in a quarter of a billion dollar commitment over the last few years. While the program is still financially sound, future revenue growth is not guaranteed. It is essential that new commitments be carefully balanced against future resources so that the program remains financially viable.

Capital

Capital commitments were addressed as part of the preparation for the Biennial Capital Request, and thus will not be dealt with in great detail here. However, we would like to emphasize the following:

- Most of the University's capital needs for replacement and renewal of existing academic building space must be met through the State capital funding process.
- Even if state support remains constant or grows slightly, the University will need to make a commitment in additional continuing funds to address deferred maintenance problems

(\$250,000-\$500,000 per year for the next 3-5 years).

- Capital needs in Athletics and the Medical Center should be balanced against operating needs and expected income sources, as discussed previously.
- Although adequate funds are available to meet the needs described above, existing funding sources are not sufficient to embark on additional major university financed construction projects without additional funding sources.
- Although issuing tax-exempt bonds has been a popular funding source over the years, the University has only a finite capacity to issue bonds before negatively impacting its strong credit rating.

Maintaining a high rating will keep us from paying higher interest rates. Thus, we need to carefully manage the amount of bonds issued in the future.

Conclusions

Financial projections show that if present trends continue, the University will have sufficient funds to cover its commitments, but will not have discretionary funds available to embark on other significant new initiatives. Thus, if any new initiatives are desired or existing initiatives expanded, the University needs to reduce other commitments or secure additional funds.

SELECTED DEFINITIONS

Current Funds are those funds that are earned and expended in the current fiscal year. They include the General Fund, Earnings Operations and Restricted Funds. Excluded entirely from this report are Non-Current Funds such as Plant Funds, Loan Funds and Endowment Principal.

General Funds are unrestricted resources available for allocation in support of core instruction; instructional support and related general administrative and physical plant expenditures.

Earnings Operations are also unrestricted with resources generated from the sales and services of the earnings units. While not a requirement, these resources are generally designated to the unit generating the revenue. Included are the Hospitals & CHRI, Auxiliaries and departmental earnings units.

Auxiliaries are specifically identified by the State as the following earnings operations: Residence & Dining Halls, Intercollegiate Athletics, Student Unions, Bookstores, Traffic & Parking, Fawcett Center, University Airport and Property Management.

Unrestricted refers to the sum of general funds plus earnings operations.

Restricted Funds are funds whose use has been designated by an external agency or individual and limited to support a specific purpose and/or unit. Included is Sponsored Programs.

Instruction and Departmental Research includes all direct and applicable allocated expenditures for all activities that are part of the University's instructional program. It includes expenditures for departmental research and public service that are not separately budgeted.

Academic Support includes all funds expended for activities carried out primarily to provide support services that are an integral part of the operations of one of the three primary missions - instruction, research and public service. Included in this category are Academic Affairs Administration, Libraries, Museums & Galleries and the Deans' offices,

Student Services includes funds expended for those activities whose primary purpose is to contribute to students' emotional and physical well being, as well as their cultural and social development outside the context of the formal

instructional program. Included in this category are Admissions and Registration, Counseling, Student Health Service, Recreation & Intramural Sports, Student Financial Aid and the Student unions.

Institutional Support contains expenditures for operations that provide support services to the total University. Included in this category are Executive Management, Business and Finance, Human Resources, University Relations and Development.

Plant, Operations and Maintenance includes all expenditures of current funds for the operation and maintenance of the physical plant, net of amounts charged to auxiliary operations and hospitals. Included in this category are utilities, repair and renovations, custodial services, grounds maintenance, space rental and property insurance.

Separately Budgeted Research includes all expenditures for activities specifically organized to produce research outcomes, whether

commissioned by an external agency to the University (restricted) or the University (unrestricted) and includes matching funds applicable to the conditions set forth by the grant or contract. It does not include training grants or equipment grants.

Public Service includes all funds expended for activities that are established primarily to provide non-credit designated course offerings and services beneficial to individuals and groups external to the University. Included in this category are Continuing Education and Cooperative Extension Services.

Scholarships and Fellowships include expenditures in the form of outright grants and trainee stipends to individuals enrolled in formal coursework, either for credit or non-credit.

One-Time Funds are cash payments made to colleges and departments on a discretionary basis. The source of the funds is the cash balance carried forward from the previous year.

SUMMARY OF BUDGETED RESOURCES AND EXPENDITURES

TOTAL UNIVERSITY

(IN THOUSANDS)

RESOURCES

Government Support

State

Federal

Local

Subtotal Government Support

Student Fees

Instructional, General & Tuition

Other

Subtotal Student Fees

Other Resources

Health System

Auxiliaries

Departmental Sales & Services

Private Grants & Contracts

Other

Subtotal Other Resources

Total Resources

(1)

2001-02 Revised Budget	2002-03 Budget	Dollar Change	Percent Change
477,042	478,362	1,320	0.3%
212,698	228,193	15,495	7.3%
23,817	24,585	768	3.2%
713,557	731,139	17,582	2.5%
386,678	433,624	46,946	12.1%
21,676	22,487	811	3.7%
408,354	456,111	47,757	11.7%
672,403	828,517	156,114	23.2%
170,079	188,217	18,138	10.7%
70,854	80,907	10,053	14.2%
174,643	224,739	50,096	28.7%
59,018	38,607	(20,411)	-34.6%
1,146,997	1,360,987	213,990	18.7%
2,268,908	2,548,237	279,329	12.3%

EXPENDITURES

Instructional & General

Separately Budgeted Research

Public Service

Scholarships & Fellowships

Auxiliaries

Health System

(1)

Total Expenditures

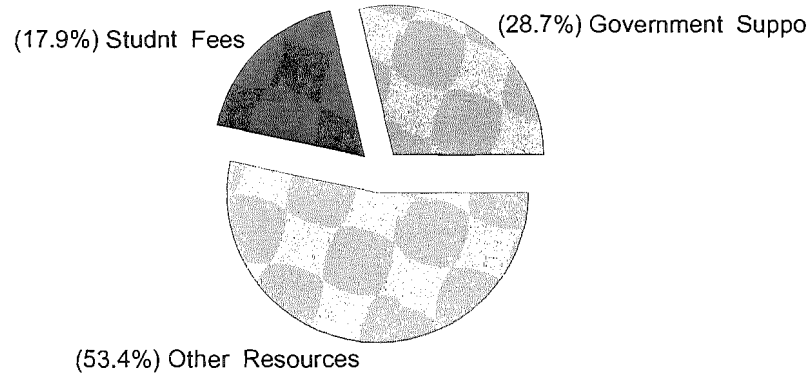
930,924	989,846	58,922	6.3%
280,504	307,540	27,036	9.6%
105,837	110,652	4,815	4.5%
99,264	110,149	10,885	11.0%
177,343	196,539	19,196	10.8%
680,232	831,626	151,394	22.3%
2,274,104	2,546,352	272,248	12.0%

(1) Health Systems budget includes University Hospital, Hospital East, CHRI, Harding and Networks.
The FY 2002 budget was revised down \$52.6 million to eliminate inter hospital transfers.

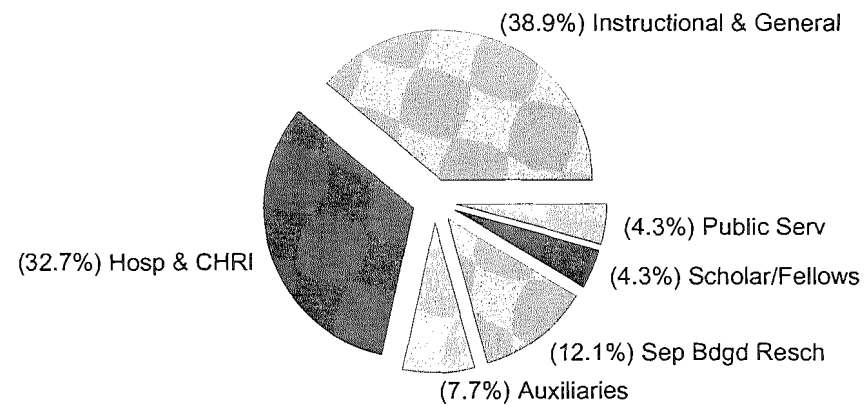
SUMMARY OF BUDGETED RESOURCES AND EXPENDITURES

TOTAL UNIVERSITY - FY 2003

RESOURCES BY SOURCE



EXPENDITURES BY FUNCTION



SUMMARY OF ANNUAL STUDENT FEES

COLUMBUS CAMPUS

		INSTRUCTIONAL & GENERAL FEES				NONRESIDENT SURCHARGE			
		FY 2002 Fees	FY 2003 Fees	Dollar Change	Percent Change	FY 2002 Fees	FY 2003 Fees	Dollar Change	Percent Change
Undergraduate:									
Continuing Students	(1)	4,761	5,190	429	9.0%	8,766	9,423	657	7.5%
New Students	(1)	4,761	5,664	903	19.0%	8,766	9,423	657	7.5%
Graduate Programs:									
Graduate		6,306	6,612	306	4.9%	10,071	10,575	504	5.0%
MLHR		6,843	7,176	333	4.9%	10,071	10,575	504	5.0%
MBA		11,334	12,891	1,557	13.7%	10,071	10,575	504	5.0%
EMBA		27,402	29,331	1,929	7.0%	10,071	10,575	504	5.0%
Master of Accounting		15,810	16,590	780	4.9%	10,071	10,575	504	5.0%
Health Administration	(2)	6,306	8,100	1,794	28.4%	10,071	10,575	504	5.0%
Public Health MPH	(2)	6,306	6,909	603	9.6%	10,071	10,575	504	5.0%
Public Health PEP	(2)	6,306	7,029	723	11.5%	10,071	10,575	504	5.0%
Master of Physical Therapy		6,561	7,191	630	9.6%	10,071	10,575	504	5.0%
Professional:									
Pharmacy		7,716	8,610	894	11.6%	10,716	11,250	534	5.0%
Dentistry		12,840	14,349	1,509	11.8%	20,688	21,720	1,032	5.0%
Optometry		10,374	11,286	912	8.8%	20,688	21,720	1,032	5.0%
Veterinary Medicine		12,012	13,188	1,176	9.8%	21,381	22,449	1,068	5.0%
Law		10,826	11,880	1,054	9.7%	10,876	11,420	544	5.0%
Medicine	(3)	15,168	17,412	2,244	14.8%	22,065	23,169	1,104	5.0%

Notes:

Full time fees for Undergraduate Students are for 12+ credit hours.

Full time fees for Graduate and Professional Students are for 10+ credit hours.

- (1) For the first time, undergraduate fees are broken out between continuing and new students.
- (2) These are existing programs that will have differential fees greater than the graduate fee for the first time.
- (3) Medicine will offer tuition credits to students in levels 1, 2 & 3 bringing their effective instructional fee increases to 11.8% for levels 1 & 2, and 8.2% for level 3.

DETAIL OF BUDGETED RESOURCES AND EXPENDITURES TOTAL UNIVERSITY BY FUND (IN THOUSANDS)

		2001-02	2002-2003			
		Revised	General	Earnings	Restricted	Total
		Budget				Budget
						Percent
						Change
RESOURCES						
Government Support						
State Support						
State Share of Instruction		326,628	326,628			326,628
Appropriations		114,353	21,384		92,969	114,353
Ohio Grants & Contracts		36,061	1,470		35,911	37,381
Subtotal State Support		477,042	349,482		128,880	478,362
Federal Grants & Contracts		212,698	40,421		187,772	228,193
Local Grants & Contracts		23,817	3,535		21,050	24,585
Subtotal Government		713,557	393,437		337,702	731,139
Student Fees						
Instructional, General and Tuition		386,678	433,624			433,624
Other		21,676	13,458	9,029		22,487
Subtotal Student Fees		408,354	447,082	9,029		456,111
Other Resources						
Health System	(1)	672,403		828,517		828,517
Auxiliary Sales & Services		170,079		188,217		188,217
Departmental Sales & Services		70,854		80,907		80,907
Private Grants & Contracts		174,643	9,844		214,895	224,739
Endowment Income	(2)	39,005	5,300		15,667	20,967
Investment Income		12,844	11,657		50	11,707
Other		7,169	5,796	137		5,933
Subtotal Other		1,146,997	32,597	1,097,778	230,612	1,360,987
Total Resources		2,268,908	873,116	1,106,807	568,314	2,548,237
EXPENDITURES						
Instruction & General						
Instruction & Departmental Research		598,490	478,142	43,055	91,008	612,205
Academic Support		112,318	96,263	10,761	12,757	119,781
Student Services		52,230	56,096	6,843	1,575	64,514
Institutional Support		77,234	90,514	9,623	12,395	112,532
Plant, Operations & Maintenance		90,652	73,954	76	6,784	80,814
Subtotal Instruction & General		930,924	794,969	70,358	124,519	989,846
Separately Budgeted Research		280,504	16,627	10,587	280,326	307,540
Public Service		105,837	6,479	13,550	90,623	110,652
Scholarships & Fellowships		99,264	54,653		55,496	110,149
Auxiliaries		177,343		188,689	7,850	196,539
Health System	(1)	680,232		822,126	9,500	831,626
Total Expenditures		2,274,104	872,728	1,105,310	568,314	2,546,352

(1) Health Systems budget includes University Hospital, Hospital East, CHRI, Harding and Networks. The FY 2002 budget was revised down \$52.6 million to eliminate inter hospital transfers.

(2) Restricted Endowment reflects reclassification of some revenue to Private Grants & Contracts.

(3) General funds expenditures reflects a new budgeting methodology whereby the budget more closely tracks expenditures in accordance with the financial statements.

DETAIL OF BUDGETED RESOURCES AND EXPENDITURES **COLUMBUS CAMPUS BY FUND** (IN THOUSANDS)

RESOURCES

Government Support
 State Support
 State Share of Instruction
 Appropriations
 Ohio Grants & Contracts
 Subtotal State Support
 Federal Grants & Contracts
 Local Grants & Contracts
 Subtotal Government

Student Fees
 Instructional, General and Tuition
 Other
 Subtotal Student Fees

Other Resources
 Health System
 Auxiliary Sales & Services
 Departmental Sales & Services
 Private Grants & Contracts
 Endowment Income
 Investment Income
 Other
 Subtotal Other

Total Resources

EXPENDITURES

Instruction & General
 Instruction & Departmental Research
 Academic Support
 Student Services
 Institutional Support
 Plant, Operations & Maintenance
 Subtotal Instruction & General

Separately Budgeted Research
 Public Service
 Scholarships & Fellowships
 Auxiliaries
 Health System

Total Expenditures

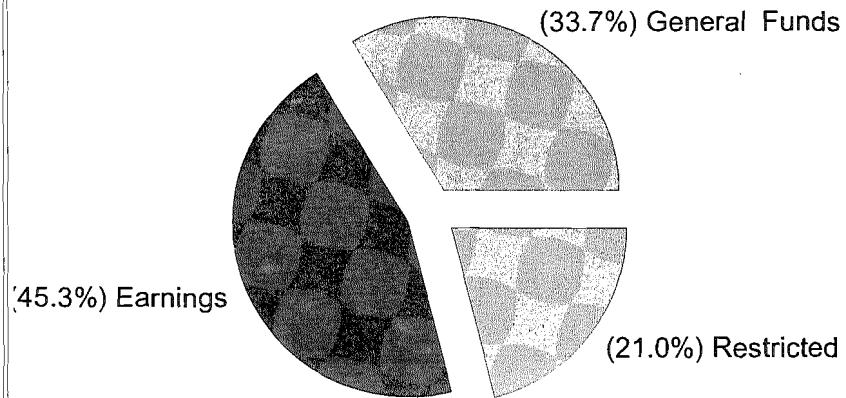
	2001-02 Revised Budget	2002-2003			Total Budget	Percent Change
		General	Earnings	Restricted		
Government Support						
State Support						
State Share of Instruction	305,389	305,389			305,389	
Appropriations	73,867	18,250		55,617	73,867	
Ohio Grants & Contracts	35,136	1,470		35,000	36,470	3.8%
Subtotal State Support	414,392	325,109		90,617	415,726	0.3%
Federal Grants & Contracts	200,694	40,421		175,000	215,421	7.3%
Local Grants & Contracts	23,724	3,535		21,000	24,535	3.4%
Subtotal Government	638,810	369,064		286,617	655,681	2.6%
Student Fees						
Instructional, General and Tuition	363,054	407,433			407,433	12.2%
Other	19,890	12,790	8,200		20,990	5.5%
Subtotal Student Fees	382,944	420,223	8,200		428,423	11.9%
Other Resources						
Health System	(1) 672,403		828,517		828,517	23.2%
Auxiliary Sales & Services	169,975		188,117		188,117	10.7%
Departmental Sales & Services	67,700		77,000		77,000	13.7%
Private Grants & Contracts	169,800	9,844		210,000	219,844	29.5%
Endowment Income	(2) 38,375	5,300		15,000	20,300	-47.1%
Investment Income	11,300	10,600			10,600	-6.2%
Other	6,561	5,295			5,295	-19.3%
Subtotal Other	1,136,114	31,039	1,093,634	225,000	1,349,673	18.8%
Total Resources	2,157,868	820,326	1,101,834	511,617	2,433,777	12.8%
EXPENDITURES						
Instruction & General						
Instruction & Departmental Research	568,111	451,155	41,868	90,000	583,023	2.6%
Academic Support	102,241	89,577	9,358	9,700	108,635	6.3%
Student Services	47,864	49,336	6,807	1,517	57,660	20.5%
Institutional Support	70,523	84,099	9,375	12,000	105,474	49.6%
Plant, Operations & Maintenance	80,462	69,215		1,750	70,965	-11.8%
Subtotal Instruction & General	869,201	743,382	67,408	114,967	925,757	6.5%
Separately Budgeted Research	239,331	16,627	10,587	239,300	266,514	11.4%
Public Service	104,111	6,334	11,852	90,000	108,186	3.9%
Scholarships & Fellowships	93,801	53,983		50,000	103,983	10.9%
Auxiliaries	177,260		188,591	7,850	196,441	10.8%
Health System	(1) 680,232		822,126	9,500	831,626	22.3%
Total Expenditures	2,163,936	820,326	1,100,564	511,617	2,432,507	12.4%

- (1) Health Systems budget includes University Hospital, Hospital East, CHRI, Harding and Networks. The FY 2002 budget was revised down \$52.6 million to eliminate inter hospital transfers.
- (2) Restricted Endowment reflects reclassification of some revenue to Private Grants & Contracts.
- (2) General funds expenditures reflects a new budgeting methodology whereby the budget more closely tracks expenditures in accordance with the financial statements.

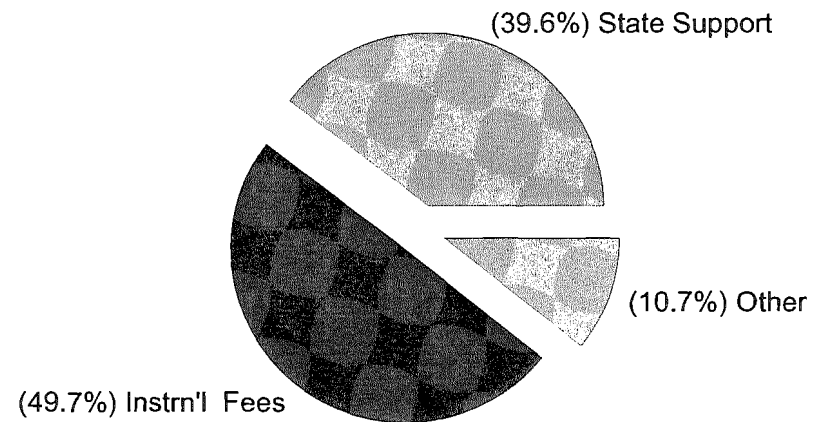
BUDGETED RESOURCES

COLUMBUS CAMPUS - FY 2003

RESOURCES BY FUND



GENERAL FUNDS BY SOURCE



DETAIL OF BUDGETED RESOURCES AND EXPENDITURES
LIMA CAMPUS BY FUND
(IN THOUSANDS)

2001-02 Revised Budget	2002-2003			Total Budget	Percent Change
	General	Earnings	Restricted		

RESOURCES

Government Support
State Support

State Share of Instruction
Appropriations
Ohio Grants & Contracts
Subtotal State Support
Federal Grants & Contracts
Local Grants & Contracts
Subtotal Government

3,956	3,956			3,956	
850	672		178	850	
109			109	109	
4,915	4,628		287	4,915	
750			800	800	6.7%
5,665	4,628		1,087	5,715	0.9%

Student Fees

Instructional, General and Tuition
Other
Subtotal Student Fees

4,484	5,170			5,170	15.3%
997	330	829		1,159	16.2%
5,481	5,500	829		6,329	15.5%

Other Resources

Health System
Auxiliary Sales & Services
Departmental Sales & Services
Private Grants & Contracts
Endowment Income
Investment Income
Other
Subtotal Other

2		2		2	
77		192		192	149.4%
70			40	40	-42.9%
85			60	60	-29.4%
260	150			150	-42.3%
208	120	137		257	23.6%
702	270	331	100	701	-0.1%

Total Resources

11,848	10,398	1,160	1,187	12,745	7.6%
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EXPENDITURES

Instruction & General

Instruction & Departmental Research
Academic Support
Student Services
Institutional Support
Plant, Operations & Maintenance
Subtotal Instruction & General

(1)

5,606	5,525		95	5,620	0.2%
1,269	1,368		25	1,393	9.8%
984	1,418		25	1,443	46.6%
1,072	1,151	235	35	1,421	32.6%
971	749			749	-22.9%
9,902	10,211	235	180	10,626	7.3%

Separately Budgeted Research

Public Service
Scholarships & Fellowships
Auxiliaries
Health System

34			34	34	
905	116	923	65	1,104	22.0%
958			908	908	-5.2%
2		2		2	

Total Expenditures

11,801	10,327	1,160	1,187	12,674	7.4%
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(1) Student Services increases are due primarily to a correction in the way the Regional Campus Service Charge is allocated based on the Columbus Campus costs built into the calculation.

DETAIL OF BUDGETED RESOURCES AND EXPENDITURES
MANSFIELD CAMPUS BY FUND
(IN THOUSANDS)

	2001-02 Revised Budget	2002-2003			Total Budget	Percent Change
		General	Earnings	Restricted		
RESOURCES						
Government Support						
State Support						
State Share of Instruction	4,165	4,165			4,165	
Appropriations	991	726		265	991	
Ohio Grants & Contracts	238			263	263	10.5%
Subtotal State Support	5,394	4,891		528	5,419	0.5%
Federal Grants & Contracts	885			1,072	1,072	21.1%
Local Grants & Contracts	38					-100.0%
Subtotal Government	6,317	4,891		1,600	6,491	2.8%
Student Fees						
Instructional, General and Tuition	4,946	5,215			5,215	5.4%
Other	235	80			80	-66.0%
Subtotal Student Fees	5,181	5,295			5,295	2.2%
Other Resources						
Health System						
Auxiliary Sales & Services	45		45		45	
Departmental Sales & Services	26		456		456	1653.8%
Private Grants & Contracts	273			119	119	-56.4%
Endowment Income	71			95	95	33.8%
Investment Income	420	336			336	-20.0%
Other						
Subtotal Other	835	336	501	214	1,051	25.9%
Total Resources	12,333	10,522	501	1,814	12,837	4.1%
EXPENDITURES						
Instruction & General						
Instruction & Departmental Research	5,834	5,384	13	145	5,542	-5.0%
Academic Support	1,210	1,325		12	1,337	10.5%
Student Services	714	1,286	18	25	1,329	86.1%
Institutional Support	1,089	1,147		74	1,221	12.1%
Plant, Operations & Maintenance	894	789	70	32	891	-0.3%
Subtotal Instruction & General	9,741	9,931	101	288	10,320	5.9%
Separately Budgeted Research	115			80	80	-30.4%
Public Service	466	29	355	71	455	-2.4%
Scholarships & Fellowships	1,625	520		1,375	1,895	16.6%
Auxiliaries	26		45		45	73.1%
Health System						
Total Expenditures	11,973	10,480	501	1,814	12,795	6.9%

(1)

DETAIL OF BUDGETED RESOURCES AND EXPENDITURES **MARION CAMPUS BY FUND** **(IN THOUSANDS)**

	2001-02 Revised Budget	2002-2003			Total Budget	Percent Change
		General	Earnings	Restricted		
RESOURCES						
Government Support						
State Support						
State Share of Instruction	3,461	3,461			3,461	
Appropriations	544	537		7	544	
Ohio Grants & Contracts	58			19	19	-67.2%
Subtotal State Support	4,063	3,998		26	4,024	-1.0%
Federal Grants & Contracts	514			700	700	36.2%
Local Grants & Contracts						
Subtotal Government	4,577	3,998		726	4,724	3.2%
Student Fees						
Instructional, General and Tuition	4,375	5,494			5,494	25.6%
Other	62	68			68	9.7%
Subtotal Student Fees	4,437	5,562			5,562	25.4%
Other Resources						
Health System						
Auxiliary Sales & Services	4					-100.0%
Departmental Sales & Services	449		604		604	34.5%
Private Grants & Contracts	360			646	646	79.4%
Endowment Income	149			205	205	37.6%
Investment Income	384	261		50	311	-19.0%
Other	66	66			66	
Subtotal Other	1,412	327	604	901	1,832	29.7%
Total Resources	10,426	9,887	604	1,627	12,118	16.2%
EXPENDITURES						
Instruction & General						
Instruction & Departmental Research	4,829	4,531	546	57	5,134	6.3%
Academic Support	1,405	1,691			1,691	20.4%
Student Services	805	1,443	18		1,461	81.5%
Institutional Support	1,045	1,215		80	1,295	23.9%
Plant, Operations & Maintenance	681	772	6		778	14.2%
Subtotal Instruction & General	8,765	9,652	570	137	10,359	18.2%
Separately Budgeted Research	50					-100.0%
Public Service	180			147	147	-18.3%
Scholarships & Fellowships	1,195	150		1,343	1,493	24.9%
Auxiliaries	4					-100.0%
Health System						
Total Expenditures	10,194	9,802	570	1,627	11,999	17.7%

(1)

DETAIL OF BUDGETED RESOURCES AND EXPENDITURES
NEWARK CAMPUS BY FUND
(IN THOUSANDS)

RESOURCES

Government Support

State Support

State Share of Instruction

Appropriations

Ohio Grants & Contracts

Subtotal State Support

Federal Grants & Contracts

Local Grants & Contracts

Subtotal Government

Student Fees

Instructional, General and Tuition

Other

Subtotal Student Fees

Other Resources

Health System

Auxiliary Sales & Services

Departmental Sales & Services

Private Grants & Contracts

Endowment Income

Investment Income

Other

Subtotal Other

Total Resources

EXPENDITURES

Instruction & General

Instruction & Departmental Research

Academic Support

Student Services

Institutional Support

Plant, Operations & Maintenance

Subtotal Instruction & General

Separately Budgeted Research

Public Service

Scholarships & Fellowships

Auxiliaries

Health System

Total Expenditures

	2001-02 Revised Budget	2002-2003			Total Budget	Percent Change
		General	Earnings	Restricted		
Government Support						
State Support						
State Share of Instruction	5,142	5,142			5,142	
Appropriations	1,127	782		345	1,127	
Ohio Grants & Contracts	20			20	20	
Subtotal State Support	6,289	5,924		365	6,289	
Federal Grants & Contracts	800			1,000	1,000	25.0%
Local Grants & Contracts						
Subtotal Government	7,089	5,924		1,365	7,289	2.8%
Student Fees						
Instructional, General and Tuition	6,584	6,836			6,836	3.8%
Other	190	190			190	
Subtotal Student Fees	6,774	7,026			7,026	3.7%
Other Resources						
Health System						
Auxiliary Sales & Services	53		53		53	
Departmental Sales & Services						
Private Grants & Contracts	50			40	40	-20.0%
Endowment Income	45			52	52	15.6%
Investment Income	405	285			285	-29.6%
Other	255	243			243	-4.7%
Subtotal Other	808	528	53	92	673	-16.7%
Total Resources	14,671	13,478	53	1,457	14,988	2.2%
EXPENDITURES						
Instruction & General						
Instruction & Departmental Research	7,644	7,136		211	7,347	-3.9%
Academic Support	1,573	1,496			1,496	-4.9%
Student Services	1,327	1,765		5	1,770	33.4%
Institutional Support	1,582	1,768		76	1,844	16.6%
Plant, Operations & Maintenance	1,321	1,270			1,270	-3.9%
Subtotal Instruction & General	13,447	13,435		292	13,727	2.1%
Separately Budgeted Research	24			15	15	-37.5%
Public Service						
Scholarships & Fellowships	995			1,150	1,150	15.6%
Auxiliaries	51		51		51	
Health System						
Total Expenditures	14,517	13,435	51	1,457	14,943	2.9%

(1)

DETAIL OF BUDGETED RESOURCES AND EXPENDITURES
AGRICULTURAL TECHNICAL INSTITUTE BY FUND
(IN THOUSANDS)

	2001-02 Revised Budget	2002-2003			Total Budget	Percent Change
		General	Earnings	Restricted		
RESOURCES						
Government Support						
State Support						
State Share of Instruction	4,515	4,515			4,515	
Appropriations	567	417		150	567	
Ohio Grants & Contracts	150			150	150	
Subtotal State Support	5,232	4,932		300	5,232	
Federal Grants & Contracts	1,055			1,200	1,200	13.7%
Local Grants & Contracts						
Subtotal Government	6,287	4,932		1,500	6,432	2.3%
Student Fees						
Instructional, General and Tuition	3,235	3,476			3,476	7.4%
Other	302					-100.0%
Subtotal Student Fees	3,537	3,476			3,476	-1.7%
Other Resources						
Health System						
Auxiliary Sales & Services						
Departmental Sales & Services	1,452		1,285		1,285	-11.5%
Private Grants & Contracts	90			50	50	-44.4%
Endowment Income	80			55	55	-31.3%
Investment Income	75	25			25	-66.7%
Other	79	72			72	-8.9%
Subtotal Other	1,776	97	1,285	105	1,487	-16.3%
Total Resources	11,600	8,505	1,285	1,605	11,395	-1.8%
EXPENDITURES						
Instruction & General						
Instruction & Departmental Research	6,416	4,411	628	450	5,489	-14.4%
Academic Support	470	806	33	20	859	82.8%
Student Services	536	848		3	851	58.8%
Institutional Support	1,922	1,134	13	120	1,267	-34.1%
Plant, Operations & Maintenance	1,323	1,159		2	1,161	-12.2%
Subtotal Instruction & General	10,667	8,358	674	595	9,627	-9.7%
Separately Budgeted Research	229			220	220	-3.9%
Public Service	100		420	240	660	560.0%
Scholarships & Fellowships	525			550	550	4.8%
Auxiliaries						
Health System						
Total Expenditures	11,521	8,358	1,094	1,605	11,057	-4.0%

(1) Student Services increases are due primarily to a correction in the way the Regional Campus Service Charge is allocated based on the Columbus Campus costs built into the calculation.

DETAIL OF BUDGETED RESOURCES AND EXPENDITURES
OHIO AGRICULTURAL AND RESEARCH DEVELOPMENT CENTER BY FUND
(IN THOUSANDS)

	2001-02 Revised Budget	2002-2003			Total Budget	Percent Change
		General	Earnings	Restricted		
RESOURCES						
Government Support						
State Support						
State Share of Instruction						
Appropriations	36,407			36,407	36,407	
Ohio Grants & Contracts	350			350	350	
Subtotal State Support	36,757			36,757	36,757	
Federal Grants & Contracts	8,000			8,000	8,000	
Local Grants & Contracts	55			50	50	-9.1%
Subtotal Government	44,812			44,807	44,807	-0.0%
Student Fees						
Instructional, General and Tuition						
Other						
Subtotal Student Fees						
Other Resources						
Health System						
Auxiliary Sales & Services						
Departmental Sales & Services	1,150		1,370		1,370	19.1%
Private Grants & Contracts	4,000			4,000	4,000	
Endowment Income	200			200	200	
Investment Income						
Other						
Subtotal Other	5,350		1,370	4,200	5,570	4.1%
Total Resources	50,162		1,370	49,007	50,377	0.4%
EXPENDITURES						
Instruction & General						
Instruction & Departmental Research	50			50	50	
Academic Support	4,150		1,370	3,000	4,370	5.3%
Student Services						
Institutional Support	1			10	10	900.0%
Plant, Operations & Maintenance	5,000			5,000	5,000	
Subtotal Instruction & General	9,201		1,370	8,060	9,430	2.5%
Separately Budgeted Research	40,721			40,677	40,677	-0.1%
Public Service	75			100	100	33.3%
Scholarships & Fellowships	165			170	170	3.0%
Auxiliaries						
Health System						
Total Expenditures	50,162		1,370	49,007	50,377	0.4%

SUMMARY OF STATE SUPPORT

COLUMBUS CAMPUS

(IN THOUSANDS)

	2001-02	2002-2003		Dollar Change	Percent Change
	Revised Budget	General Funds	Restricted	Total Budget	
CORE FUNDING					
Instructional Funding	305,389	305,389		305,389	0 0.0%
Mission-Based Core Funding:					
Success Challenge	9,224	9,224		9,224	0 0.0%
Research Challenge	8,070	8,070		8,070	0 0.0%
Priorities in Graduate Education	795		795	795	0 0.0%
Subtotal Core Funding	323,478	322,683	795	323,478	0 0.0%
APPROPRIATIONS					
OSU-Specific Line Items:					
Cooperative Extension	26,046		26,046	26,046	0 0.0%
Clinical Teaching	15,030		15,030	15,030	0 0.0%
Sea Grants	282		282	282	0 0.0%
Dental/Veterinary Medicine	1,937		1,937	1,937	0 0.0%
Supercomputer	4,544		4,544	4,544	0 0.0%
OARNET	3,300		3,300	3,300	0 0.0%
OSU Glenn Institute	345	345		345	0 0.0%
BioMEMS Program	230		230	230	0 0.0%
Subtotal OSU-Specific Line Items	51,714	345	51,369	51,714	0 0.0%
General Line Items					
Math/Science Teaching Improvement	363		363	363	0 0.0%
Urban Universities	227		227	227	0 0.0%
College Readiness Initiatives	41		41	41	0 0.0%
Library Book Depository	424	424		424	0 0.0%
Student Support Services	187	187		187	0 0.0%
Capital Component	1,160		1,160	1,160	0 0.0%
Medical Items:					
Family Practice	908		908	908	0 0.0%
Primary Care	378		378	378	0 0.0%
Geriatric Medicine	146		146	146	0 0.0%
Area Health Education Center	230		230	230	0 0.0%
Subtotal General Line Items	4,064	611	3,453	4,064	0 0.0%
Total Appropriations	55,778	956	54,822	55,778	0 0.0%
OHIO GRANTS & CONTRACTS	35,136	1,470	35,000	36,470	1,334 3.8%
TOTAL STATE SUPPORT	414,392	325,109	90,617	415,726	1,334 0.3%

SUMMARY OF STATE SUPPORT EXTENDED CAMPUSES (IN THOUSANDS)

	2001-02 Total Budget	General Funds	2002-03 Restricted	Total Budget	Dollar Change	Percent Change
<u>LIMA CAMPUS</u>						
State Share of Instruction	3,956	3,956		3,956	0	0.0%
State Appropriations						
Access Challenge	612	612		612	0	0.0%
Jobs Challenge	60	60		60	0	0.0%
Capital Component	178		178	178	0	
Subtotal Appropriations	850	672	178	850	0	0.0%
State Grants & Contracts	109	0	109	109	0	0.0%
Total Lima Campus	4,915	4,628	287	4,915	0	0.0%
<u>MANSFIELD CAMPUS</u>						
State Share of Instruction	4,165	4,165		4,165	0	0.0%
State Appropriations						
Access Challenge	669	669		669	0	0.0%
Jobs Challenge	57	57		57	0	0.0%
Capital Component	265		265	265	0	0.0%
Subtotal Appropriations	991	726	265	991	0	0.0%
State Grants & Contracts	238		263	263	25	10.5%
Total Mansfield Campus	5,394	4,891	528	5,419	25	0.5%
<u>MARION CAMPUS</u>						
State Share of Instruction	3,461	3,461		3,461	0	0.0%
State Appropriations						
Access Challenge	477	477		477	0	0.0%
Jobs Challenge	60	60		60	0	0.0%
Capital Component	7		7	7	0	0.0%
Subtotal Appropriations	544	537	7	544	0	0.0%
State Grants & Contracts	58		19	19	(39)	-67.2%
Total Marion Campus	4,063	3,998	26	4,024	(39)	-1.0%

SUMMARY OF STATE SUPPORT EXTENDED CAMPUSES (IN THOUSANDS)

	2001-02 Total Budget	General Funds	2002-03 Restricted	Total Budget	Dollar Change	Percent Change
<u>NEWARK CAMPUS</u>						
State Share of Instruction	5,142	5,142		5,142	0	0.0%
State Appropriations						
Access Challenge	740	740		740	0	0.0%
Jobs Challenge	42	42		42	0	0.0%
Capital Component	345		345	345	0	0.0%
Subtotal Appropriations	1,127	782	345	1,127	0	0.0%
State Grants & Contracts	20		20	20	0	0.0%
Total Newark Campus	6,289	5,924	365	6,289	0	0.0%
<u>AGRICULTURAL TECH INSTITUTE</u>						
State Share of Instruction	4,515	4,515		4,515	0	0.0%
State Appropriations						
Access Challenge	349	349		349	0	0.0%
Jobs Challenge	68	68		68	0	0.0%
Capital Component	150		150	150	0	0.0%
Subtotal Appropriations	567	417	150	567	0	0.0%
State Grants & Contracts	150		150	150	0	0.0%
Total ATI	5,232	4,932	300	5,232	0	0.0%
<u>OARDC</u>						
Appropriations	36,407		36,407	36,407	0	0.0%
State Grants & Contracts	350		350	350	0	0.0%
Total OARDC	36,757	0	36,757	36,757	0	0.0%
<u>TOTAL EXTENDED CAMPUSES</u>						
State Share of Instruction	21,239	21,239	0	21,239	0	0.0%
Appropriations	40,486	3,134	37,352	40,486	0	0.0%
State Grants & Contracts	925	0	911	911	(14)	-1.5%
Total Extended Campuses	62,650	24,373	38,263	62,636	(14)	-0.0%

SUMMARY OF STUDENT FEE INCOME

COLUMBUS CAMPUS

(IN THOUSANDS)

INSTRUCTIONAL FEES

Resident Fees
General Fees
Non-Resident Surcharge

Subtotal Instructional Fees

OTHER FEES

Application Fees
Acceptance Fees
Instructional Technology Fees
Study Abroad
Continuing Education
Flight Instruction
Medical Instrument Fees
COTA Fees
Other

Subtotal Other Fees

TOTAL STUDENT FEES INCOME

FY 2002 BUDGET	FY 2003 BUDGET	DOLLAR CHANGE	PERCENT CHANGE
254,473	287,887	33,414	13.1%
17,007	17,687	680	4.0%
91,670	101,859	10,189	11.1%
363,150	407,433	44,283	12.2%
1,500	1,500	0	0.0%
750	750	0	0.0%
2,390	2,690	300	12.6%
2,735	3,000	265	9.7%
250	250	0	0.0%
675	710	35	5.2%
1,300	1,400	100	7.7%
1,400	1,400	0	0.0%
1,090	1,090	0	0.0%
12,090	12,790	700	5.8%
375,240	420,223	44,983	12.0%

2002-2003 STUDENT FEE SCHEDULE
FEE PER QUARTER
COLUMBUS CAMPUS

Undergraduate *
Continuing

Credit Hours	Instructional Fees	General Fees	Resident Total	Non-Resident Tuition	Non-Resident Total
0	134	8	142	131	273
1	268	15	283	262	545
2	335	23	358	524	882
3	403	30	433	785	1,218
4	537	40	577	1,047	1,624
5	671	50	721	1,309	2,030
6	805	60	865	1,571	2,436
7	939	70	1,009	1,832	2,841
8	1,073	80	1,153	2,094	3,247
9	1,208	90	1,298	2,356	3,654
10	1,342	100	1,442	2,618	4,060
11	1,476	110	1,586	2,879	4,465
12+	1,610	120	1,730	3,141	4,871

Undergraduate *
New Students

Credit Hours	Instructional Fees	General Fees	Resident Total	Non-Resident Tuition	Non-Resident Total
0	148	8	156	131	287
1	295	15	310	262	572
2	368	23	391	524	915
3	442	30	472	785	1,257
4	589	40	629	1,047	1,676
5	737	50	787	1,309	2,096
6	884	60	944	1,571	2,515
7	1,031	70	1,101	1,832	2,933
8	1,179	80	1,259	2,094	3,353
9	1,326	90	1,416	2,356	3,772
10	1,473	100	1,573	2,618	4,191
11	1,621	110	1,731	2,879	4,610
12+	1,768	120	1,888	3,141	5,029

* Undergraduate Fees Effective Summer Quarter 2002.

2002-2003 STUDENT FEE SCHEDULE
FEES PER QUARTER
COLUMBUS CAMPUS

Graduate

Credit Hours	Instructional Fees	General Fees	Resident Total	Non- Resident Tuition	Non- Resident Total
0	131	8	139	177	316
1	261	15	276	353	629
2	417	24	441	705	1,146
3	625	36	661	1,058	1,719
4	834	48	882	1,410	2,292
5	1,042	60	1,102	1,763	2,865
6	1,250	72	1,322	2,115	3,437
7	1,459	84	1,543	2,468	4,011
8	1,667	96	1,763	2,820	4,583
9	1,876	108	1,984	3,173	5,157
10+	2,084	120	2,204	3,525	5,729

MLHR

Credit Hours	Instructional Fees	General Fees	Resident Total	Non- Resident Tuition	Non- Resident Total
0	142	8	150	177	327
1	284	15	299	353	652
2	454	24	478	705	1,183
3	682	36	718	1,058	1,776
4	909	48	957	1,410	2,367
5	1,136	60	1,196	1,763	2,959
6	1,363	72	1,435	2,115	3,550
7	1,590	84	1,674	2,468	4,142
8	1,818	96	1,914	2,820	4,734
9	2,045	108	2,153	3,173	5,326
10+	2,272	120	2,392	3,525	5,917

2002-2003 STUDENT FEE SCHEDULE
FEE PER QUARTER
COLUMBUS CAMPUS

MBA

Credit Hours	Instructional Fees	General Fees	Resident Total	Non-Resident Tuition	Non-Resident Total
0	261	8	269	177	446
1	522	15	537	353	890
2	835	24	859	705	1,564
3	1,253	36	1,289	1,058	2,347
4	1,671	48	1,719	1,410	3,129
5	2,089	60	2,149	1,763	3,912
6	2,506	72	2,578	2,115	4,693
7	2,924	84	3,008	2,468	5,476
8	3,342	96	3,438	2,820	6,258
9	3,759	108	3,867	3,173	7,040
10+	4,177	120	4,297	3,525	7,822

EMBA

Credit Hours	Instructional Fees	General Fees	Resident Total	Non-Resident Tuition	Non-Resident Total
0	604	8	612	177	789
1	1,207	15	1,222	353	1,575
2	1,931	24	1,955	705	2,660
3	2,897	36	2,933	1,058	3,991
4	3,863	48	3,911	1,410	5,321
5	4,829	60	4,889	1,763	6,652
6	5,794	72	5,866	2,115	7,981
7	6,760	84	6,844	2,468	9,312
8	7,726	96	7,822	2,820	10,642
9	8,691	108	8,799	3,173	11,972
10+	9,657	120	9,777	3,525	13,302

2002-2003 STUDENT FEE SCHEDULE
FEES PER QUARTER
COLUMBUS CAMPUS

Master of Accounting

Credit Hours	Instructional Fees	General Fees	Resident Total	Non-Resident Tuition	Non-Resident Total
0	338	8	346	177	523
1	676	15	691	353	1,044
2	1,082	24	1,106	705	1,811
3	1,623	36	1,659	1,058	2,717
4	2,164	48	2,212	1,410	3,622
5	2,705	60	2,765	1,763	4,528
6	3,246	72	3,318	2,115	5,433
7	3,787	84	3,871	2,468	6,339
8	4,328	96	4,424	2,820	7,244
9	4,869	108	4,977	3,173	8,150
10+	5,410	120	5,530	3,525	9,055

Health Administration

Credit Hours	Instructional Fees	General Fees	Resident Total	Non-Resident Tuition	Non-Resident Total
0	162	8	170	177	347
1	323	15	338	353	691
2	516	24	540	705	1,245
3	774	36	810	1,058	1,868
4	1,032	48	1,080	1,410	2,490
5	1,290	60	1,350	1,763	3,113
6	1,548	72	1,620	2,115	3,735
7	1,806	84	1,890	2,468	4,358
8	2,064	96	2,160	2,820	4,980
9	2,322	108	2,430	3,173	5,603
10+	2,580	120	2,700	3,525	6,225

2002-2003 STUDENT FEE SCHEDULE
FEES PER QUARTER
COLUMBUS CAMPUS

Public Health MPH

Credit Hours	Instructional Fees	General Fees	Resident Total	Non-Resident Tuition	Non-Resident Total
0	137	8	145	177	322
1	273	15	288	353	641
2	437	24	461	705	1,166
3	655	36	691	1,058	1,749
4	873	48	921	1,410	2,331
5	1,092	60	1,152	1,763	2,915
6	1,310	72	1,382	2,115	3,497
7	1,528	84	1,612	2,468	4,080
8	1,746	96	1,842	2,820	4,662
9	1,965	108	2,073	3,173	5,246
10+	2,183	120	2,303	3,525	5,828

Public Health PEP

Credit Hours	Instructional Fees	General Fees	Resident Total	Non-Resident Tuition	Non-Resident Total
0	139	8	147	177	324
1	278	15	293	353	646
2	445	24	469	705	1,174
3	667	36	703	1,058	1,761
4	889	48	937	1,410	2,347
5	1,112	60	1,172	1,763	2,935
6	1,334	72	1,406	2,115	3,521
7	1,556	84	1,640	2,468	4,108
8	1,778	96	1,874	2,820	4,694
9	2,001	108	2,109	3,173	5,282
10+	2,223	120	2,343	3,525	5,868

2002-2003 STUDENT FEE SCHEDULE
FEES PER QUARTER
COLUMBUS CAMPUS

Master of Physical Therapy

Credit Hours	Instructional Fees	General Fees	Resident Total	Non-Resident Tuition	Non-Resident Total
0	143	8	151	177	328
1	285	15	300	353	653
2	455	24	479	705	1,184
3	683	36	719	1,058	1,777
4	911	48	959	1,410	2,369
5	1,139	60	1,199	1,763	2,962
6	1,366	72	1,438	2,115	3,553
7	1,594	84	1,678	2,468	4,146
8	1,822	96	1,918	2,820	4,738
9	2,049	108	2,157	3,173	5,330
10+	2,277	120	2,397	3,525	5,922

Pharmacy

Credit Hours	Instructional Fees	General Fees	Resident Total	Non-Resident Tuition	Non-Resident Total
0	172	8	180	235	415
1	344	15	359	469	828
2	550	24	574	750	1,324
3	825	36	861	1,125	1,986
4	1,100	48	1,148	1,500	2,648
5	1,375	60	1,435	1,875	3,310
6	1,650	72	1,722	2,250	3,972
7	1,925	84	2,009	2,625	4,634
8	2,200	96	2,296	3,000	5,296
9	2,475	108	2,583	3,375	5,958
10+	2,750	120	2,870	3,750	6,620

2002-2003 STUDENT FEE SCHEDULE
FEES PER QUARTER
COLUMBUS CAMPUS

Medicine Fees*

Credit Hours	Instructional Fees	General Fees	Resident Total	Non-Resident Tuition	Non-Resident Total
0	356	8	364	483	847
1	711	15	726	965	1,691
2	1,137	24	1,161	1,545	2,706
3	1,705	36	1,741	2,317	4,058
4	2,274	48	2,322	3,089	5,411
5	2,842	60	2,902	3,862	6,764
6	3,410	72	3,482	4,634	8,116
7	3,979	84	4,063	5,406	9,469
8	4,547	96	4,643	6,178	10,821
9	5,116	108	5,224	6,951	12,175
10+	5,684	120	5,804	7,723	13,527

Optometry Fees

Credit Hours	Instructional Fees	General Fees	Resident Total	Non-Resident Tuition	Non-Resident Total
0	228	8	236	453	689
1	455	15	470	905	1,375
2	728	24	752	1,448	2,200
3	1,093	36	1,129	2,172	3,301
4	1,457	48	1,505	2,896	4,401
5	1,821	60	1,881	3,620	5,501
6	2,185	72	2,257	4,344	6,601
7	2,549	84	2,633	5,068	7,701
8	2,914	96	3,010	5,792	8,802
9	3,278	108	3,386	6,516	9,902
10+	3,642	120	3,762	7,240	11,002

* Medicine will offer tuition credits for students in levels 1, 2 & 3 that will effectively lower their fees in FY 2003.

2002-2003 STUDENT FEE SCHEDULE
FEES PER QUARTER
COLUMBUS CAMPUS

Dentistry Fees

Credit Hours	Instructional Fees	General Fees	Resident Total	Non-Resident Tuition	Non-Resident Total
0	292	8	300	453	753
1	583	15	598	905	1,503
2	933	24	957	1,448	2,405
3	1,399	36	1,435	2,172	3,607
4	1,865	48	1,913	2,896	4,809
5	2,332	60	2,392	3,620	6,012
6	2,798	72	2,870	4,344	7,214
7	3,264	84	3,348	5,068	8,416
8	3,730	96	3,826	5,792	9,618
9	4,197	108	4,305	6,516	10,821
10+	4,663	120	4,783	7,240	12,023

Vet Medicine Fees

Credit Hours	Instructional Fees	General Fees	Resident Total	Non-Resident Tuition	Non-Resident Total
0	268	8	276	468	744
1	535	15	550	935	1,485
2	855	24	879	1,497	2,376
3	1,283	36	1,319	2,245	3,564
4	1,710	48	1,758	2,993	4,751
5	2,138	60	2,198	3,742	5,940
6	2,566	72	2,638	4,490	7,128
7	2,993	84	3,077	5,238	8,315
8	3,421	96	3,517	5,986	9,503
9	3,848	108	3,956	6,735	10,691
10+	4,276	120	4,396	7,483	11,879

2002-2003 STUDENT FEE SCHEDULE
FEEES PER SEMESTER
COLUMBUS CAMPUS

Law (Semester)

Credit Hours	Instructional Fees	General Fees	Resident Total	Non- Resident Tuition	Non- Resident Total
0	360	12	372	357	729
1	720	23	743	714	1,457
2	1,152	36	1,188	1,142	2,330
3	1,728	54	1,782	1,713	3,495
4	2,304	72	2,376	2,284	4,660
5	2,880	90	2,970	2,855	5,825
6	3,456	108	3,564	3,426	6,990
7	4,032	126	4,158	3,997	8,155
8	4,608	144	4,752	4,568	9,320
9	5,184	162	5,346	5,139	10,485
10+	5,760	180	5,940	5,710	11,650

2002-2003 STUDENT FEE SCHEDULE
FEES PER QUARTER
LIMA, MANSFIELD, MARION AND NEWARK CAMPUSES

Undergraduate
Lower Division

Credit Hours	Instructional Fees	General Fees	* BOR - AC Credit	Resident Total	Non-Resident Tuition	Non-Resident Total
0	121	6	(17)	110	131	241
1	241	11	(33)	219	262	481
2	301	14	(42)	273	524	797
3	361	17	(50)	328	785	1,113
4	481	22	(67)	436	1,047	1,483
5	601	28	(83)	546	1,309	1,855
6	722	33	(100)	655	1,571	2,226
7	842	39	(117)	764	1,832	2,596
8	962	44	(133)	873	2,094	2,967
9	1,082	50	(150)	982	2,356	3,338
10	1,203	55	(167)	1,091	2,618	3,709
11	1,323	61	(183)	1,201	2,879	4,080
12+	1,443	66	(200)	1,309	3,141	4,450

Undergraduate
Upper Division

Credit Hours	Instructional Fees	General Fees	* BOR - AC Credit	Resident Total	Non-Resident Tuition	Non-Resident Total
0	121	6	(5)	122	131	253
1	241	11	(9)	243	262	505
2	301	14	(11)	304	524	828
3	361	17	(14)	364	785	1,149
4	481	22	(18)	485	1,047	1,532
5	601	28	(23)	606	1,309	1,915
6	722	33	(27)	728	1,571	2,299
7	842	39	(32)	849	1,832	2,681
8	962	44	(36)	970	2,094	3,064
9	1,082	50	(41)	1,091	2,356	3,447
10	1,203	55	(45)	1,213	2,618	3,831
11	1,323	61	(50)	1,334	2,879	4,213
12+	1,443	66	(54)	1,455	3,141	4,596

* The Board of Regents Access Challenge Credit represents additional subsidy to ATI and the Regional Campuses to make tuition more affordable.

2002-2003 STUDENT FEE SCHEDULE
FEES PER QUARTER
LIMA, MANSFIELD, MARION AND NEWARK CAMPUSES

Graduate

Credit Hours	Instructional Fees	General Fees	BOR - AC Credit	Resident Total	Non-Resident Tuition	Non-Resident Total
0	131	4	0	135	177	312
1	261	8	0	269	353	622
2	417	13	0	430	705	1,135
3	625	20	0	645	1,058	1,703
4	834	26	0	860	1,410	2,270
5	1,042	33	0	1,075	1,763	2,838
6	1,250	40	0	1,290	2,115	3,405
7	1,459	46	0	1,505	2,468	3,973
8	1,667	53	0	1,720	2,820	4,540
9	1,876	59	0	1,935	3,173	5,108
10+	2,084	66	0	2,150	3,525	5,675

AGRICULTURAL TECHNICAL INSTITUTE

Undergraduate

Credit Hours	Instructional Fees	General Fees	BOR - AC Credit	Resident Total	Non-Resident Tuition	Non-Resident Total
0	120	6	(16)	110	131	241
1	240	11	(31)	220	262	482
2	300	14	(38)	276	524	800
3	360	17	(46)	331	785	1,116
4	479	22	(61)	440	1,047	1,487
5	599	28	(76)	551	1,309	1,860
6	719	33	(92)	660	1,571	2,231
7	839	39	(107)	771	1,832	2,603
8	959	44	(122)	881	2,094	2,975
9	1,079	50	(137)	992	2,356	3,348
10	1,198	55	(153)	1,100	2,618	3,718
11	1,318	61	(168)	1,211	2,879	4,090
12+	1,438	66	(183)	1,321	3,141	4,462

* The Board of Regents Access Challenge Credit represents additional subsidy to ATI and the Regional Campuses to make tuition more affordable.

ANNUAL FEE HISTORY

COLUMBUS CAMPUS RESIDENT FEES

		FY 1993	FY 1999	FY 2000	FY 2001	FY 2002	FY 2003	10 Year Average Increase
Undergraduate:	(1)							
Continuing Students	(2)	2,799	3,879	4,110	4,356	4,761	5,190	6.4%
New Students	(2)	2,799	3,879	4,110	4,356	4,761	5,664	7.3%
Graduate Programs:	(1)							
Graduate		3,966	5,445	5,730	6,021	6,306	6,612	5.2%
MLHR		3,966	5,445	5,730	6,021	6,843	7,176	6.1%
MBA	(4)	3,966	5,898	6,744	9,024	11,334	12,891	12.5%
EMBA	(3)	NA	NA	NA	26,076	27,402	29,331	NA
Master of Accounting	(3)	NA	NA	NA	15,036	15,810	16,590	NA
Health Administration	(4)	3,966	5,445	5,730	6,021	6,306	8,100	7.4%
Public Health MPH	(4)	3,966	5,445	5,730	6,021	6,306	6,909	5.7%
Public Health PEP	(4)	3,966	5,445	5,730	6,021	6,306	7,029	5.9%
Master of Physical Therapy	(3)	NA	NA	NA	NA	6,561	7,191	NA
Professional:	(1)							
Pharmacy		4,697	6,429	6,765	7,107	7,716	8,610	6.2%
Dentistry		6,321	9,813	10,746	11,757	12,840	14,349	8.5%
Optometry		6,321	8,955	9,420	9,897	10,374	11,286	6.0%
Veterinary Medicine		6,321	9,306	10,191	11,049	12,012	13,188	7.6%
Law		4,584	7,692	8,424	9,984	10,826	11,880	10.0%
Medicine	(5)	7,710	11,637	12,744	13,821	15,168	17,412	8.5%

- (1) Full time fees for undergraduates are 12+ hours & for Graduates and Professional programs are 10+.
- (2) For the first time, undergraduate fees are broken out between continuing and new students.
- (3) These are new degree granting programs.
- (4) These are graduate programs that once charged the graduate fee but now have differential fees.
- (5) Medicine will offer tuition credits to students in levels 1, 2 & 3 bringing their effective instructional fee increases to 11.8% for levels 1 & 2, and 8.2% for level 3.

SUMMARY OF TYPICAL STUDENT FEES COLUMBUS CAMPUS

RESIDENT FEES

Instructional & General
Room & Board
Text Books/Supplies

Subtotal

Health Insurance
Parking & Bus Pass
COTA Pass
Football Tickets
Basketball Tickets

Total

(1)
(2)
(3)

(4)
(5)
(6)
(6)

2000-02
Fees

2002-03
Fees

Dollar
Change

Percent
Change

2000-02
Fees

2002-03
Fees

Dollar
Change

Percent
Change

UNDERGRADUATE FEES CONTINUING STUDENTS

UNDERGRADUATE FEES NEW STUDENTS

4,761 5,190 429 9.0%

5,937 6,219 282 4.7%

932 979 47 5.0%

11,630 12,388 758 6.5%

753 813 60 8.0%

149 163 15 10.1%

27 27 0 0.0%

100 105 5 5.0%

64 64 0 0.0%

12,723 13,560 838 6.6%

4,761 5,664 903 19.0%

5,937 6,219 282 4.7%

932 979 47 5.0%

11,630 12,862 1,232 10.6%

753 813 60 8.0%

149 163 15 10.1%

27 27 0 0.0%

100 105 5 5.0%

64 64 0 0.0%

12,723 14,034 1,312 10.3%

NON-RESIDENT FEES

Instructional & General
Non-Resident Tuition
Room & Board
Text Books/Supplies

Subtotal

Health Insurance
Parking & Bus Pass
COTA Pass
Football Tickets
Basketball Tickets

Total

(1)
(2)
(3)

(4)
(5)
(6)
(6)

4,761 5,190 429 9.0%

8,766 9,423 657 7.5%

5,937 6,219 282 4.7%

932 979 47 5.0%

20,396 21,811 1,415 6.9%

753 813 60 8.0%

149 163 15 10.1%

27 27 0 0.0%

100 105 5 5.0%

64 64 0 0.0%

21,489 22,983 1,495 7.0%

4,761 5,664 903 19.0%

8,766 9,423 657 7.5%

5,937 6,219 282 4.7%

932 979 47 5.0%

20,396 22,285 1,889 9.3%

753 813 60 8.0%

149 163 15 10.1%

27 27 0 0.0%

100 105 5 5.0%

64 64 0 0.0%

21,489 23,457 1,969 9.2%

- (1) Will Increase 9.0% for continuing students and 19% for new students effective Summer 2002.
- (2) Undergraduate - Weighted average room rate +10 meal plan.
- (3) Estimated costs and inflationary increase per OSU Bookstore.
- (4) Student insurance is based on the single student medical only rate.
- (5) Using main campus rate.
- (6) Five football and eight basketball games.

DETAIL OF SELECTED RATES

OTHER STUDENT FEES

FY 2003

Description	Timing	Rate	Percent Change
Housing, Food Service and Event Centers			
Undergraduate (Weighted average room rates + 10 meals/week)	Quarter	2,073.00	4.7%
Graduate (Single + Phone)	Month	364.00	4.3%
Married Student Housing (2 bedroom apartment)	Month	600.00	6.0%
Board Only (10 meals/week)	Quarter	835.00	4.4%
Parking & Transportation			
Parking, 4-Wheel Vehicle (Main Campus)	Annual	163.80	10.3%
Parking, 4-Wheel Vehicle (West Campus)	Annual	41.40	9.5%
COTA Bus Pass	Quarter	9.00	0.0%
Recreational Fees			
Football Tickets	Per Game	21.00	5.0%
Basketball Tickets	Per Game	8.00 - 12.00	0.0%
Golf Course Membership	Annual	550.00	0.0%
Golf Course Greens Fees	Per Round	14.00 - 18.00	0.0%
Larkins Locker Fee	Quarter	13.00	4.0%
Student Health Insurance			
Student	Quarter	287.00	14.3%
Student & Spouse	Quarter	735.00	14.5%
Student & Children	Quarter	740.00	14.4%
Student, Spouse & Children	Quarter	992.00	14.4%
Law Students	Semester	431.00 - 1,488.00	14.4%
Early Arriving Students	Month	96.00 - 331.00	14.5%
Clinic Fees			
Dental Hygiene EFDA Fee	Quarter	117.00	9.3%
Nursing Clinical Fee (Undergraduate)	Quarter	150.00	New
Nursing Clinical Fee (Graduate)	Annual	600.00 - 750.00	New

DETAIL OF SELECTED RATES

OTHER STUDENT FEES

FY 2002

Description	Timing	Rate	Percent Change
Learning Technology Fees			
College of Arts (Undergraduate & Graduate)	Quarter	43.00	0.0%
College of Business (Undergraduate)	Quarter	85.00	0.0%
College of Business (Graduate)	Quarter	120.00	0.0%
College of Engineering (Undergraduate)	Quarter	110.00	0.0%
College of Engineering (Graduate)	Quarter	120.00	0.0%
College of Nursing (Undergraduate & Graduate)	Quarter	43.00	0.0%
Department of CIS in Math & Phys Sci (Undergraduate)	Quarter	73.00	0.0%
Department of CIS in Math & Phys Sci (Graduate)	Quarter	80.00	0.0%
School of Public Policy & Management (Graduate)	Quarter	120.00	0.0%
Equipment Fees			
Optometry Equipment	One Time	340.00	0.0%
Dental Clinical Education Support Fee	Quarter	902.00	8.9%
Dental Hygiene Clinical Education Support Fee	Quarter	347.00	9.1%
Application Fees			
International (All Students)	One Time	40.00	0.0%
Domestic (All Students)	One Time	30.00	0.0%
Acceptance Fees			
Undergraduate	One Time	70.00	0.0%
Graduate		N/A	N/A
Professional	One Time	25.00	0.0%
Penalties (all students)			
Late Registration - After 1st day of classes	Quarter	100.00	0.0%
Late Registration - After 2nd Friday of classes	Quarter	500.00	0.0%
Late Fee Payment - After 1st day of classes	Quarter	100.00	0.0%
Late Fee Payment - After 2nd Friday of classes	Quarter	300.00	0.0%

DETAIL OF SELECTED RATES **FACULTY, STAFF & DEPARTMENTAL FEES** **FY 2002**

Description	Timing	Rate	Percent Change
Health Insurance *			
Prime Care Plan			
Individual	Month	41.15	18.8%
Family	Month	128.59	18.8%
OSUHP Plan			
Individual	Month	166.06	4.1%
Family	Month	518.96	4.1%
Traditional Plan			
Individual	Month	166.06	4.1%
Family	Month	518.96	4.1%
Buckeye Plan			
Individual	Month	16.63	18.8%
Family	Month	51.96	18.8%
Parking & Transportation			
Parking, 4-Wheel Vehicle A Decal (Main Campus)	Annual	474.00	9.7%
Parking, 4-Wheel Vehicle B Decal (Main Campus)	Annual	247.20	9.9%
Recreational Fees			
Football Tickets	Per Game	36.00	2.9%
Basketball Tickets	Per Game	15.00 - 20.50	0.0%
Golf Course Membership	Annual	1,600.00	0.0%
Golf Course Greens Fees	Per Round	23.00 - 30.00	0.0%
Departmental Rates			
Basic Telephone Service	Month	20.00	-3.1%
University Health System	Various	Various	15.0%

(*) Reflects transition to common risk pool.

SUMMARY OF RESIDENT STUDENT FEES

BIG TEN PUBLIC INSTITUTIONS

FOR FY 2002 AND FY 2003

206

	UNDERGRADUATE FEES CONTINUING STUDENTS				UNDERGRADUATE FEES NEW STUDENTS			
	FY 2002	FY 2003	1 Year	5-Year	FY 2002	FY 2003	1 Year	5-Year
	Fees	Fees	% Change	Avg % Change	Fees	Fees	% Change	Avg % Change
Penn State	7,574	8,634	14.0%	9.4%	7,574	8,634	14.0%	9.4%
Michigan	7,375	7,803	5.8%	5.0%	7,375	7,803	5.8%	5.0%
Michigan State	5,912	6,415	8.5%	5.5%	5,912	6,415	8.5%	5.5%
Minnesota	5,536	6,280	13.4%	8.1%	5,536	6,280	13.4%	8.1%
Illinois	5,254	5,736	9.2%	6.4%	5,754	6,736	17.1%	11.0%
Indiana	4,734	5,315	12.3%	9.0%	4,734	5,315	12.3%	9.0%
Ohio State	4,761	5,189	9.0%	6.4%	4,761	5,663	18.9%	8.8%
Purdue	4,164	4,580	10.0%	7.3%	4,164	5,580	34.0%	11.3%
Wisconsin*	4,086	4,454	9.0%	7.5%	4,086	4,454	9.0%	7.5%
Iowa	3,521	4,191	19.0%	10.4%	3,521	4,191	19.0%	10.4%
Average	5,292	5,860	11.0%	7.3%	5,342	6,107	15.2%	8.5%

Source: FY 2002 AAU Data Exchange -- Tuition and Fees Survey
FY 2003 Contact with Big Ten Schools

* Note: Numbers from this university are based on best available information.

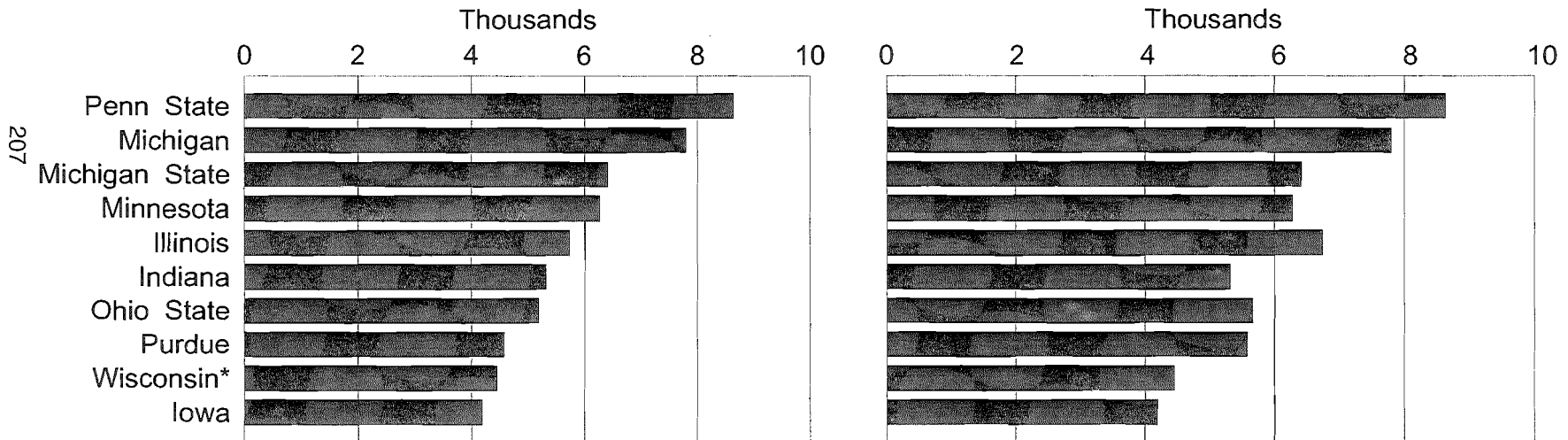
SUMMARY OF RESIDENT STUDENT FEES

BIG TEN PUBLIC INSTITUTIONS

FISCAL YEAR 2003

UNDERGRADUATE FEES -- CONTINUING

UNDERGRADUATE FEES -- NEW



Source: Contact with Big Ten schools

* Note: Numbers from this university are based on best available information.

SUMMARY OF AUXILIARY OPERATIONS

FISCAL YEAR 2003

IN THOUSANDS

COLUMBUS CAMPUS

Housing, Food Services
and Event Centers

(1)

Resources			Expenditures & Transfers				Total Budget	Net Income
Auxiliary	General Funds	Restricted	Expend	Debt Service	Other Transfers			
74,678	1,888		76,566	61,826	8,167	6,146	76,139	427

Intercollegiate Athletics

7,850

71,185		7,850	79,035	59,299	16,127	3,550	78,976	59
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Schottenstein Center

16,856			16,856	14,012	2,830		16,842	14
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Bookstores

(2)

2,290			2,290	2,241			2,241	49
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Transportation & Parking Svs

(3)

17,123			17,123	14,409	3,093	502	18,004	(881)
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University Airport

(3)

5,317			5,317	5,094	209	40	5,343	(26)
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Real Estate & Prop Mgt

(3)

668			668	784			784	(116)
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Subtotal Columbus

188,117	1,888	7,850	197,855	157,665	30,426	10,238	198,329	(474)
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LIMA CAMPUS

Parking Facility

2

2

2

2

0

MANSFIELD CAMPUS

Parking Facility

45

45

45

45

0

NEWARK CAMPUS

Parking Facility

53

53

51

51

2

GRAND TOTAL

188,217	1,888	7,850	197,955	157,763	30,426	10,238	198,427	(472)
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(1) Includes Student Housing & Food Service, The Fawcett Center, Student Unions, ATI Dorm, Newark Housing and the Blackwell.

(2) Consists primarily of the Medical Bookstore. The Main Bookstore and Regional Bookstores have been privatized.

(3) Net losses will be offset by carry over cash balances.

HOUSING, FOOD SERVICES AND EVENT CENTERS

(IN THOUSANDS)

RESOURCES

		FY 2002 BUDGET	FY 2003 BUDGET	DOLLAR CHANGE	PERCENT CHANGE
Food Service		17,769	16,936	(833)	-4.7%
Housing		38,136	39,084	948	2.5%
Fawcett Center	(1)	4,384	2,861	(1,523)	-34.7%
ATI Residence Hall		1,311	1,763	452	34.5%
Newark Housing	(2)	0	517	517	
Ohio Union	(3)	1,482	1,195	(287)	-19.4%
Blackwell	(2)	0	6,546	6,546	
Other	(4)	5,140	5,776	636	12.4%
General Funds Support	(5)	1,828	1,888	60	3.3%
Subtotal Resources		70,050	76,566	6,516	9.3%

EXPENDITURES & TRANSFERS

Personnel		22,935	23,962	1,027	4.5%
Benefits		5,688	6,597	909	16.0%
Supplies & Services		24,686	26,191	1,505	6.1%
Equipment		1,356	1,633	277	20.4%
University Overhead		3,521	3,443	(78)	-2.2%
Debt Service		7,899	8,167	268	3.4%
Other Transfers	(6)	3,536	6,146	2,610	73.8%
Subtotal Expenditures & Transfers		69,621	76,139	6,518	9.4%

NET INCOME

	429	427	(2)	-0.5%
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- (1) Budget has decreased due to the loss of hotel business.
- (2) New operation beginning FY 2003.
- (3) FY 2002 budgets restated to correct for double counting of the Unions General Fund Support.
- (4) Includes Success, Center, Buck ID, Summer Conferences, Oxley's and Drake.
- (5) FY 2002 budget restated to include additional support of the ID Center and the Living Learning Center.
- (6) FY 2002 budget was restated to remove double counting of Student Unions support. The large increase in FY 2003 is due to an increase in the Capital Reserves.

INTERCOLLEGIATE ATHLETICS

(IN THOUSANDS)

		FY 2002 BUDGET	FY 2003 BUDGET	DOLLAR CHANGE	PERCENT CHANGE
RESOURCES					
Auxiliary					
Football		21,010	26,580	5,570	26.5%
Stadium Revenue	(1)	14,610	15,010	400	2.7%
Men's Basketball		10,698	11,628	930	8.7%
Other Sports		1,223	1,260	37	3.0%
Golf Course		2,947	2,947	0	0.0%
Other		10,350	13,760	3,410	32.9%
Subtotal Auxiliary		60,838	71,185	10,347	17.0%
Restricted					
Fund Raising		7,800	7,850	50	0.6%
Total Resources		68,638	79,035	10,397	15.1%
EXPENDITURES & TRANSFERS					
Auxiliary					
Personnel		17,819	19,184	1,365	7.7%
Benefits		3,930	4,618	688	17.5%
Supplies & Services		18,102	21,014	2,912	16.1%
Equipment		444	1,080	636	143.2%
Schottenstein Rent		1,511	1,572	61	4.0%
University Overhead	(2)	2,622	3,216	594	22.7%
Athletics Grants-In-Aid	(3)	0	765	765	NA
Debt Service	(4)	14,400	16,127	1,727	12.0%
Other Transfers	(5)	1,781	3,550	1,769	99.3%
Subtotal Auxiliary		60,609	71,126	10,517	17.4%
Restricted					
Athletics Grants-In-Aid	(3)	7,609	7,850	241	3.2%
Debt Service		191	0	(191)	-100.0%
Subtotal Restricted		7,800	7,850	50	0.6%
Total Expenditures & Transfers		68,409	78,976	10,567	15.4%
NET INCOME		229	59	(170)	

(1) Consists of ticket surcharge, club seats, suite rental and scoreboard revenue. FY 2002 was restated to include this budget.

(2) Increase is partly due to a 17% increase in resources and partly due to under budgeting for FY 2002.

(3) Athletics Grants-In-Aid were fully funded by restricted funds in FY 2002 but must be supplemented by Auxiliary funds in FY 2003.

(4) FY 2002 budget was restated to include Stadium debt service.

(5) Large increase due to setting up a \$2.5 million operating reserve to offset future projected cash flow problems.

THE OHIO STATE UNIVERSITY HEALTH SYSTEM *

(IN THOUSANDS)

RESOURCES

Auxiliary

Inpatient Revenue (Net)
 Outpatient Revenue (Net)
 Other
 Subtotal Auxiliary

(1)

Restricted

State Appropriation
 Other
 Subtotal Restricted

(2)

Total Resources

EXPENDITURES & TRANSFERS

Auxiliary

Patient Services
 Student Education
 Research
 Administration
 Plant, Operation & Maintenance
 Other
 Transfers To Plant (Net)
 Subtotal Auxiliary

(1)

(3)

Restricted

Total Expenditures & Transfers

NET INCOME

	FY 2002 BUDGET	FY 2003 BUDGET	DOLLAR CHANGE	PERCENT CHANGE
RESOURCES				
Auxiliary				
Inpatient Revenue (Net)	422,076	520,895	98,819	23.4%
Outpatient Revenue (Net)	205,479	264,839	59,360	28.9%
Other	44,848	42,783	(2,065)	-4.6%
Subtotal Auxiliary	672,403	828,517	156,114	23.2%
Restricted				
State Appropriation	9,842	6,100	(3,742)	-38.0%
Other	3,400	3,400	0	
Subtotal Restricted	13,242	9,500	(3,742)	-28.3%
Total Resources	685,645	838,017	152,372	22.2%
EXPENDITURES & TRANSFERS				
Auxiliary				
Patient Services	417,098	535,916	118,818	28.5%
Student Education	15,354	18,582	3,228	21.0%
Research	2,607	2,477	(130)	-5.0%
Administration	168,408	188,738	20,330	12.1%
Plant, Operation & Maintenance	23,986	32,926	8,940	37.3%
Other	0	0	0	
Transfers To Plant (Net)	39,539	43,487	3,948	10.0%
Subtotal Auxiliary	666,992	822,126	155,134	23.3%
Restricted	13,240	9,500	(3,740)	-28.2%
Total Expenditures & Transfers	680,232	831,626	151,394	22.3%
NET INCOME	5,413	6,391	978	18.1%

* Includes University Hospitals, James Cancer Hospital & Solove Research Institute, University Hospital East, Harding Hospital, and the Hospital Network.

(1) FY 2002 budgets were reduced by \$52.6 million representing interdepartmental transfers between hospitals.

(2) The portion of the Clinical Teaching Subsidy previously allocated to the Health System is being reallocated to support the clinical academic mission of the College of Medicine and Public Health. The FY 2002 6% State cut is reflected in the FY 2002 budget.

(3) Due to additional utilities, a change in capitalization policy, and an increase in personnel in environmental services.

STATE SHARE OF INSTRUCTION AND STUDENT FEES

TEN YEAR TREND

COLUMBUS CAMPUS

(IN THOUSANDS)

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		State Share of Instruction (1)			Student Fees (2)		
		Subsidy	Dollar Change	Percent Change	Fees	Dollar Change	Percent Change
FY 1993	Actual	246,645	(11,476)	-4.5%	208,345	10,712	5.4%
FY 1994	Actual	254,355	7,710	3.1%	216,202	7,857	3.8%
FY 1995	Actual	267,000	12,645	5.0%	228,170	11,968	5.5%
FY 1996	Actual	275,834	8,834	3.3%	241,051	12,881	5.6%
FY 1997	Actual	287,565	11,731	4.3%	253,512	12,461	5.2%
FY 1998	Actual	303,057	15,492	5.4%	269,094	15,582	6.1%
FY 1999	Actual	311,787	8,730	2.9%	286,218	17,124	6.4%
FY 2000	Actual	324,623	12,836	4.1%	306,757	20,539	7.2%
FY 2001	Actual	337,754	13,131	4.0%	329,059	22,302	7.3%
FY 2002	Budget	322,683	(15,071)	-4.5%	363,150	34,091	10.4%
FY 2003	Budget	322,683	0	0.0%	407,433	44,283	12.2%
Ten Year Average Increase				2.7%			6.9%

(1) The State Share of Instruction includes Research Challenge and Success Challenge funds.

(2) Student Fees include Instructional, General and Non-Resident Fees.

HEADCOUNT ENROLLMENTS

AUTUMN QUARTER, 1992-2002

<u>Columbus Campus</u>								
Year	Undergrad	Graduate	Law	Dentistry	Medicine	Optometry	Pharmacy	Veterinary Medicine
1992	38,958	10,586	650	373	858	239	18	501
1993	37,062	10,934	665	359	856	241	19	508
1994	36,165	10,735	654	354	850	242	24	518
1995	35,475	10,495	678	360	863	249	36	521
1996	35,485	10,149	683	371	848	249	44	523
1997	35,647	9,907	666	380	855	247	41	535
1998	36,252	9,538	634	375	842	247	94	529
1999	36,092	9,153	628	372	832	248	147	531
2000	35,749	9,382	643	379	832	245	195	527
2001	36,049	9,452	655	395	844	245	300	537
2002	36,368	9,711	654	398	832	245	348	538

<u>Summary By Campus</u>							
Year	Columbus	Lima	Mansfield	Marion	Newark	ATI	Grand Total
1992	52,183	1,410	1,465	1,066	1,732	729	58,585
1993	50,644	1,348	1,412	1,046	1,675	713	56,838
1994	49,542	1,232	1,504	1,209	1,560	740	55,787
1995	48,677	1,244	1,359	1,171	1,548	783	54,782
1996	48,352	1,281	1,343	1,312	1,611	827	54,726
1997	48,278	1,374	1,460	1,105	1,676	925	54,818
1998	48,511	1,321	1,517	1,141	1,778	965	55,233
1999	48,003	1,323	1,573	1,176	1,883	1,031	54,989
2000	47,952	1,238	1,583	1,276	2,025	969	55,043
2001	48,477	1,356	1,495	1,390	2,079	940	55,737
2002	49,094	1,380	1,550	1,405	2,010	940	56,379